LAMAR S. SMITH, Texas CHAIRMAN AL-15-001-3395

EDDIE BERNICE JOHNSON, Texas - RANKING MEMBER

no final response for Michael McCaul

## Congress of the United States House of Representatives

COMMITTEE ON SCIENCE, SPACE, AND TECHNOLOGY

2321 RAYBURN HOUSE OFFICE BUILDING

WASHINGTON, DC 20515-6301

(202) 225~6371 www.science flouse.gov

August 31, 2015

The Honorable Gina McCarthy Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue, NW Washington, D.C. 20460

Dear Administrator McCarthy:

On December 17, 2014, the U.S. Environmental Protection Agency (EPA) issued its proposed rule for ozone National Ambient Air Quality Standards (NAAQS). The proposed rule would set more stringent standards, lowering the primary standard from the current 75 parts per billion (ppb) to a range of 65 to 70 ppb. If enacted, this rule is likely to be the costliest rule EPA has ever proposed.

We are concerned that EPA may not have properly analyzed the underlying scientific issues that have been raised since the official comment period for the rule has closed. These issues include serious concerns raised about background ozone and the reliance on a single study as the basis for setting the proposed standard. The American people deserve a thorough and complete analysis of this proposed rule.

The Committee is concerned about the impact of background ozone on the attainability of EPA's proposed ozone standard across the entire United States. Background ozone comes from both natural sources and foreign emission sources.<sup>2</sup> As EPA admits its proposed rule:

[T]here is no question that, as the levels of alternative prospective standards are lowered, background will represent increasingly larger fractions of total O<sub>3</sub> levels and may subsequently complicate efforts to attain these standards.<sup>3</sup>

http://www.nam.org/Newsroom/Press-Releases/2015/02/NAM--Proposed-Ozone-Rule-Still-The-Most-Costly/

<sup>&</sup>lt;sup>2</sup> http://www.asl-associates.com/natural.htm

<sup>&</sup>lt;sup>3</sup> Federal Register, Vol. 79, No. 242 75383

15-001-3395

The Honorable Gina McCarthy August 31, 2015 Page 2

In testimony before the Committee and in response to follow-up questions from Committee Members, Dr. Allen Lefohn, an expert on ozone and a past Executive Editor of the journal Atmospheric Environment, indicated that the large amount of emission reductions required to meet EPA's proposed lower ozone standard highlights the levels throughout the U.S. Dr. Lefohn also noted that ozone formed from background sources across the U.S. predominates during the spring months when anthropogenic sources have a much smaller impact. We are concerned about modeling results that indicate that exceedances of the proposed ozone standard will occur during the springtime, even when emissions are dramatically reduced across the U.S. EPA's recent proposal to extend the ozone-monitoring period to include the month of March will identify violations of the proposed standard that are associated with uncontrollable factors, which is especially concerning. Furthermore, the locations affected by the aforementioned monitoring season change can appear anywhere across the U.S., creating compliance issues for the entire country, not exclusively limited to the western U.S.

In addition to concerns related to background ozone, the Committee notes that EPA's proposed rule places the greatest weight on controlled human exposure studies, citing significant uncertainties with epidemiologic studies:

[T]he effects reported in controlled human exposure studies are due solely to O<sub>3</sub> exposures, and interpretation of study results is not complicated by the presence of co-occurring pollutants or pollutant mixtures (as is the case in epidemiologic studies). Therefore, she places the most weight on information from these controlled human exposure studies. <sup>10</sup>

Of these human exposure studies, however, it appears that only *one* controlled human exposure study, published in 2009 by Schelegle et al., shows effects that may be considered adverse at ozone concentrations below the current standard. The Schelegle study found small, reversible impacts at ozone concentrations roughly equivalent to 72 ppb. PA's proposed rule notes that controlled human exposure studies at lower ozone concentrations (60 and 63 ppb) "did not show statistically significant increases in respiratory symptoms compared to filtered air controls."

<sup>4</sup> http://docs.house.gov/meetings/SY/SY00/20150317/103159/HHRG-114-SY00-Wstate-LefohnA-20150317.pdf

<sup>&</sup>lt;sup>5</sup> H. Comm. on Science, Space and Technology, Reality Check: The Impact and Achievability of EPA's Proposed Ozone Standards, 114<sup>th</sup> Congress (Mar. 17, 2015), Questions for the Record, Dr. Allen Lefohn

hidl<sup>9</sup>

http://www.cpa.gov/ttn/naaqs/standards/ozone/data/Rice-2014-O3MonitoringSeasonAnal-EPA-HQ-OAR-2008-0699-0383.pdf

<sup>&</sup>lt;sup>8</sup> H. Comm. on Science, Space and Technology, Reality Check: The Impact and Achievability of EPA's Proposed Ozone Standards, 114<sup>th</sup> Congress (Mar. 17, 2015), Questions for the Record, Dr. Allen Lefohn <sup>9</sup> ibid

<sup>10 75288,</sup> Federal Register, Vol. 79, No. 242

<sup>11</sup> Schelegle et al., 6.6-Hour Inhalation of Ozone Concentrations from 60 to 87 Parts per Billion in Healthy Humans, Am J Respir Crit Care Med. 2009 Aug 1;180(3):265-72.

<sup>13 75304,</sup> Federal Register, Vol. 79, No. 242

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Based on this evidence, the proposal states that the Administrator concludes that the controlled human exposure studies "strongly support setting the level of a revised [ozone] standard no higher than 70 ppb."

However, the 2009 Schelegle et al. study contains serious deficiencies that were not discussed in the proposed rule. For example, this study does not replicate key results from previous peer-reviewed studies, and another peer-reviewed study has raised questions about the lack of consistency between Schelegle's results and the two studies by Adams et al (2003, 2006). 16

We noted that there was a relative lack of coherence of the 70 and 80 ppb experiments reported by Schelegle et al. (2009) compared with the other 4 studies, as well as an inconsistency of response by subjects.<sup>17</sup>

The Committee is concerned with such a heavy reliance on one potentially flawed study as basis for EPA's proposed rule, and believes that these concerns warrant further deliberation before EPA finalizes the rule.

The aforementioned concerns raise many questions about the necessity and validity of enacting a new, more stringent ozone NAAQS rule. In order to assist the Committee with its oversight, please provide the following documents, in electronic format:

- 1. All documents and communications referring or relating to EPA's analysis of the influence of background ozone in the springtime on the attainment of a lower ozone standard throughout the entire United States.
- 2. All documents and communications referring or relating to EPA's analysis of the relationship between background ozone and the anthropogenic emissions reductions that will be required during both the summer and the spring to attain the proposed lower standards.
- 3. All documents and communications referring or relating to any plan or strategy to address the influence of background ozone on the attainment of a lower ozone standard.
- 4. All documents and communications referring or relating to EPA's analysis of estimates for mortality and morbidity health risk that were influenced by background ozone and also by anthropogenic sources, as ozone emissions are reduced.

Lefohn AS, Hazucha MJ, Shadwick D, Adams WC., "An alternative form and level of the human health ozone standard", Inhal Toxicol. 2010 Oct;22(12):999-1011

Adams W.C. Comparison of chamber and face-mask 6.6-hour exposure to 0.08 ppm ozone via square-wave and triangular profiles on pulmonary responses. Inhal Toxicol 2003;15:265-281

<sup>17</sup> Lefohn AS, Hazucha MJ, Shadwick D, Adams WC., "An alternative form and level of the human health ozone standard", Inhal Toxicol. 2010 Oct;22(12):999-1011

<sup>&</sup>lt;sup>14</sup> 75304, Federal Register, Vol. 79, No. 242

Adams W.C. Comparison of chamber 6.6-h exposures to 0.04-0.08 ppm ozone via square-wave and triangular profiles on pulmonary responses. Inhal Toxicol 2006;18:127-136

The Honorable Gina McCarthy August 31, 2015 Page 4

- 5. All documents and communications referring or relating to EPA's analysis of the influence of background ozone and anthropogenic sources on lung function risk estimates.
- 6. All documents and communications referring or related to the 2009 Schelegle et al. study.
- 7. All documents and communications between EPA and the Office of Management and Budget (OMB) regarding background ozone issues and the 2009 Schelegle et al study.
- 8. All documents and communications between EPA and outside groups referring or related to the 2009 Schelegle et al study.

Because the rule must be finalized by October 1, 2015, please provide responses as soon as possible, but no later than 5:00 p.m. on Monday, September 14, 2015. When producing documents to the Committee, please deliver production sets to the following locations:

- Majority Staff of the House Science Committee in Room 2321 of the Rayburn House Office Building
- Minority Staff of the House Science Committee in Building
   Room 394 of the Ford House Office

If you have any questions about this request, please contact Richard Yamada or Joe Brazauskas of the Science, Space, and Technology Committee staff at 202-225-6371. Thank you for your attention to this matter.

Sincerely,

Lamar Smith

Chairman

Lep. F James Sensenbrenner Jr.

Chair nan Emeritus

Rep. Randy Veugebauer

Member of Congress

Rep. Frank Lucas
Vice Chairman

( ) a //

Rep. Dana Rohrabacher Member of Congress

Rep. Michael McCaul Member of Congress The Honorable Gina McCarthy August 31, 2015 Page 5

Ren. Mo Brooks

Rep. Mo Brooks Member of Congress

Rev. Jim Bridenstine

Chairman

Subcommittee on Environment

Rep. Bill Johnson Member of Congress

Rep. Steve Knight Member of Congress

Rep. Bruce Westerman Member of Congress

Rep. Darry Loudermilk

Chairman

cc:

Subcommittee on Oversight

Rep. Randy Hultgren Member of Congress

Rep. Randy Weber

Chairman

Subcommittee on Energy

Kep. John Moolenaar Member of Congress

Rep. Brian Babin

Chairman

Subcommittee on Space

Rep. Stry Palmer
Member of Congress

Rep. Ralph Lee Abraham Member of Congress

The Honorable Eddie Bernice Johnson, Ranking Minority Member, House Committee on Science, Space and Technology

MICHAEL T. McCAUL 10TH DISTRICT, TEXAS

FRESHMAN REPRESENTATIVE TO HOUSE REPUBLICAN LEADERSHIP

> COMMITTEE ON HOMELAND SECURITY

COMMITTEE ON INTERNATIONAL RELATIONS

COMMITTEE ON SCIENCE

AL-06-001-0775

Congress of the United States House of Representatives

Washington, DC 20515-4310

WASHINGTON OFFICE 415 CANNON HOUSE OFFICE BUILDING Washington, DC 20515 (202) 225-2401

AUSTIN OFFICE 903 SAN JACINTO, SUITE 320 AUSTIN, TX 78701 (512) 473-2357

2000 SOUTH MARKET, SUITE 303 **BRENHAM, TX 77833** (979) 830-8497

> KATY OFFICE 1550 FOXLAKE, SUITE 114 HOUSTON, TX 77084 (281) 398-1247

TOMBALL OFFICE TOMBALL ROSEWOOD PROFESSIONAL BUILDING 990 VILLAGE SQUARE, SUITE B TOMBALL, TX 77375 (281) 255-8372

June 28, 2006

The EPA Office of Grants Mail Code 3903 1200 Pennsylvania Ave, NW Washington, DC 20460

Dear Ms. Durrett:

I would like to express my support for The Regeneration Project's grant application, EPA OAR-CPPD-06-04: "Consumer Education About Reducing Greenhouse Gas Emission Through Awareness and Use of Energy-Efficient Products and Practices."

In preparing the grant application, Mr. Tutt cited many factors contributing to the need for this funding, especially concerning energy conservation and efficiency. He hopes to not only increase the level of knowledge about energy efficiency, but will also offer concrete ways in which people of faith can live out their commitment to stewardship of resources through the use of energy efficient products in both their homes and their houses of worship.

I ask that you give this grant application your most thoughtful and serious consideration. If you need additional information, please contact Thomas Brown in my Austin district office at (512) 473-2357, or feel free to call Reverend Tutt at (512) 218-8110.

I would very much appreciate your acknowledging receipt of this letter with a response to my Austin district office at 309 San Jacinto, Suite 320, Austin, TX 78701.

Thank you very much for your time and attention to this matter. Please do not hesitate to contact me if I may be of assistance as you make your determinations.

Sincerely.

wihl I.W'Cal Michael T. McCaul Member of Congress

MTM: vm

06-001-07.75



# UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, D.C. 20460

AUG 0 1 2006

OFFICE OF AIR AND RADIATION

The Honorable Michael T. McCaul Member, U.S. House of Representatives 309 San Jacinto, Suite 320 Austin, Texas 78701

Dear Congressman McCaul:

Thank you for your letter of June 28, 2006 expressing support for the Regeneration Project's application to receive funding from the U.S. Environmental Protection Agency (EPA) under grant opportunity EPA-OAR-CPPD-06-04 "Consumer Education about Reducing Greenhouse Gas Emissions Through Awareness and Use of Energy-Efficient Products and Practices." Your letter has been included as part of their overall application.

As this is a competitive solicitation, all applications submitted will be given equal review and consideration. Final award decisions will be made after the reviewers convene a technical evaluation panel to rate and rank the eligible applications. Applicants will be notified of EPA's decisions on funding after the solicitations have been approved.

Again, thank you for your letter. If you have further questions, please contact me or your staff may contact Ronna Landy, in EPA's Office of Congressional and Intergovernmental Relations, at (202) 564-3109.

Sincerely,

William L. Wehrum

Acting Assistant Administrator

AL-09-001-1088

noenclosures

MICHAEL T. McCAUL

10th District Texas

COMMITTEE ON HOMELAND SECURITY

RANKING MEMBER, SUBCOMMITTEE ON INTELLIGENCE, INFORMATION SHARING, AND TERRORISM RISK ASSESSMENT

COMMITTEE ON FOREIGN AFFAIRS

COMMITTEE ON SCIENCE AND TECHNOLOGY

REPUBLICAN POLICY COMMITTEE

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> CATY OF ICE 1550 FORLAKE, SUITE 125 HOUSTON TX 77384 [281] 298-1247

TOMBALL ROSEWOSG PROCESSIONAL BUILDING 990 VILLAGE SOMARE, SUITE B TOMBALL, TX 77375 281) 255-337?

July 23, 2009

SENT VIA FACSIMILE: 202/501-1519

Mr. Joyce Frank Acting Associate Administrator for Congressional and Intergovernmental Relations **Environmental Protection Agency** 1200 Pennsylvania Avenue, N'W, Room 3426 ARN Washington, DC 20460

RE:



Katy, Texas 77449

Dear Mr. Neugebauer:

I am writing on behalf of my constituent, , regarding his request for assistance with your office.

Enclosed please find a Privacy Authorization Form and other documentation provided by my constituent. I would appreciate if you would provide me with whatever information you may feel may help address my constituent's concerns. Please direct your response to my Brenham office at 2000 South Market Street, Brenham, Texas 77833.

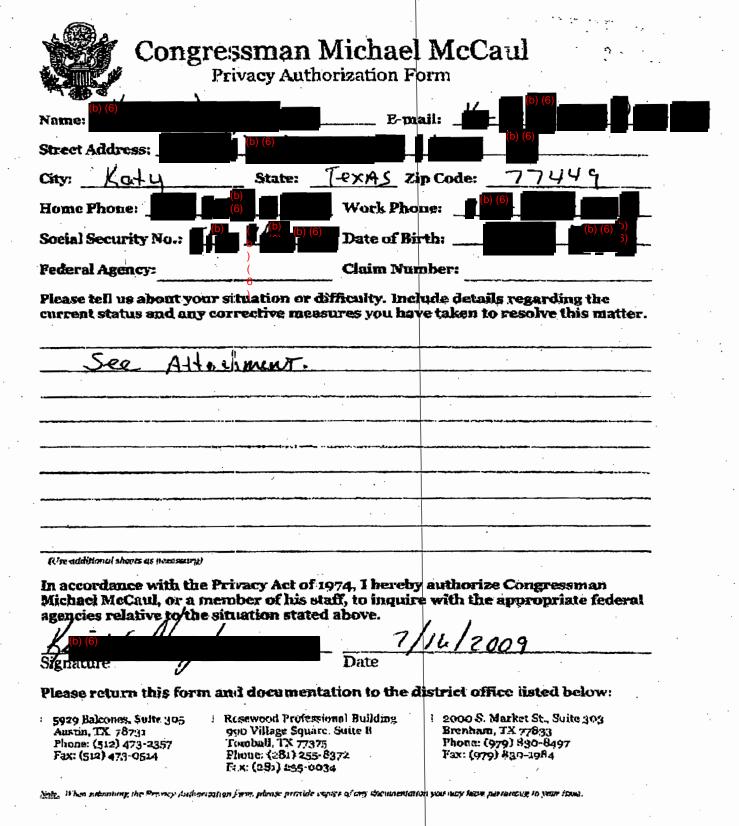
If you have any questions or concerns, you may contact Marita Mikeska at 979/830-8497. I am grateful for any assistance you are able to provide in the matter, and I look forward to hearing from you in the near future.

Sincerely,

W'Land Michael T. McCaul Member of Congress

MTM:mkm

http://www.trouse.gov/mrcaul PRINTED OF RECYCLED PAPER



To: 97983Ø1984

7/16/2009

#### Dear Congressman Michael McCaul.

On Saturday July 11<sup>th</sup> 2009 there was a fire next to my home in Katy, Texas. I was just taking my kids to the pool, and saw a huge mushroom cloud of black and grey smoke. It looked like something you see in the movies. I quickly sent my wife and kids to a friend's house outside of the area and grabbed my camera and went to see what was on fire.

It was Ram Chemical Supply at 4949 Greenhouse Road Houston, Texas 77084. This is a business that buys chemicals in bulk like chlorine and acids that are used in swimming pools, and then packages the products into smaller containers for sale in their stores, Warehouse Pool Supply. Warehouse Pool Supply has many of these locations all over Texas. The same owner of Ram Chemical Supply owns Warehouse Pool Supply.

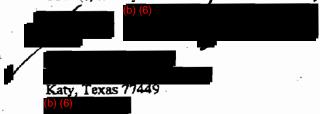
They are located in an unincorporated area of Harris County and they built the business before the cut off date to have inspections by the Harris County Fire Marshall. The term they use for this is "Grandfathered". They subsequently have not had a Fire Marshall Inspection to this date.

My concern is the rebuilding, in the same location, and the storing of these toxic chemicals again less than a mile from four schools and only 200 feet away from homes. (RELOADING A SUBURBAN BOMB!). It is public knowledge that they (Ram Chemical Supply) where here before the houses and the schools, but I feel they need to be a responsible company and relocate the chemicals to a more industrial part of town.

I also feel that if this was a "responsible" company they should have had some form of fire protection to put out fires that may occur, even though it wasn't required by code. I feel there should be legislation in place to prevent storing and processing of hazardous materials with out an adequate fire prevention system in place. "Grandfathered" or not!

Please help!

Thanks, A very concerned citizen and family man.



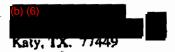


### HARRIS COUNTY, TEXAS

Fire Marshal's Office INSPECTION DIVISION

M. S. Montgomery Fire Marthal

July 14, 2009



Reference: 4949 Greenhouse Rd

RAM Chemical

Dear Mr.

The listed property is located in the unincorporated areas of Harris County, Texas. Harris County adopted the International Fire Code 2006 edition as of March 1, 2007. Certain buildings may be subject to inspection under Texas Local Government Code 352 (County Fire Marshal Statute). In addition the County Fire Marshal's office inspects facilities or businesses to satisfy the requirements of licensing agencies. The County Fire Marshal's office inspects gated and non-gated multi-unit housing projects to satisfy the code standards regarding vehicular or pedestrian gates and building identification.

A review of the Harris County Fire Marshal file indicates no inspection has been completed at the above location. There are no outstanding/open building or fire code violations at this time.

If you have further questions, please contact our office.

Respectfully yours,

Marlene Payne Sr. Administrative Coordinator-Inspections

Cc: files

www.hofmo.her

đ.q

2318 ATASCOCITA ROAD. HUMBLE, TX: 77396

TEL: 281-436-8000 - Faz: 281-436-8005

U.S. House of Represents

# AL-09-001-1088



#### **UNITED STATES ENVIRONMENTAL PROTECTION AGENCY**

REGION 6 1445 ROSS AVENUE, SUITE 1200 DALLAS, TX 75202-2733

AUG 18 2009

The Honorable Michael T. McCaul Member, United States House of Representatives 2000 South Market Street Brenham, TX 77833

Dear Congressman McCaul:

Thank you for your letter of July 23, 2009, to our headquarters office concerning Ram Chemical Supply in Katy, Texas. Your letter was referred to me for reply since Texas is within the jurisdiction of the Environmental Protection Agency (EPA) Region 6.

The facility is covered by the City of Houston's Local Emergency Planning Committee (LEPC), which helps the community prepare for potential hazardous chemical and other related disasters. Mr. Nick J. Guillen, LEPC Administrator in Houston, can be reached at (713) 884-3786 or <a href="Micholas.guillen@cityofhouston.net">Nicholas.guillen@cityofhouston.net</a>. We believe your constituent would be best served by working with the Houston LEPC.

My staff has also provided some additional information on this incident and the Ram facility. One of our On-Scene-Coordinators responded to this incident; his report is available online at <a href="https://www.epaosc.org/GreenhouseRoadChemicalFire">www.epaosc.org/GreenhouseRoadChemicalFire</a> and enclosed here. EPA's response work at this site is complete and any ongoing clean-up is the responsibility of the facility. They must report their progress to Mr. Greg Goode with the Houston regional office of the Texas Commission on Environmental Quality (TCEQ). You may contact Mr. Goode for more information at (713) 767-3578.

We also reviewed the facility's status under federal law. Our Resource Conservation and Recovery Act (RCRA) program indicated the chemicals your constituent mentioned are not listed as hazardous waste under the regulations, nor is Ram a registered or permitted hazardous waste facility under TCEQ's RCRA authority. Staff in our pesticides program confirmed Ram is registered as an active pesticide production facility (establishment number 048242-TX-001), but it has no enforcement actions and complies with their permit.

If you have any questions, please call me at (214) 665-2100, or your staff may contact Cynthia Fanning of my staff, at (214) 665-2142.

Sincerely yours,

Lawrence E. Starfield

Acting Regional Administrator

Enclosure

cc:

Ms. Betty Bell, TCEQ

Mr. Greg Goode, TCEQ

Mr. Nick Guillen, Houston LEPC

Internet Address (URL) • http://www.epa.gov

AL-14-000-1164

### Congress of the United States Washington, DC 20515

November 6, 2013

The Honorable Gina McCarthy EPA Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue NW Washington DC 20460

RE: Brick MACT

Dear Administrator McCarthy -

We are writing to express our concern regarding the Environmental Protection Agency's (EPA) proposed Maximum Achievable Control Technology (MACT) rule for brick and structural clay processes. This "brick MACT" could jeopardize the economic viability of brick manufacturers and distributors in our states and imperil hundreds of thousands of jobs nationwide. We urge you to exercise the discretion provided by Congress in the Clean Air Act (CAA) to minimize regulatory burdens on the brick industry that do not provide commensurate environmental benefit. We urge EPA to fully consider how such measures would affect public health and the economic vitality of brick manufacturers, distributors, and communities that rely on them for their livelihood.

The brick industry is in a unique situation. In 2003, EPA issued a Brick MACT that the brick industry implemented at a total compliance cost upward of \$100 million. Controls installed to comply with the 2003 MACT rule largely remain in operation. This 2003 MACT, however, was vacated in 2007 due to no fault of the brick industry. It is problematic when an industry is subject to two consecutive rounds of technology-based MACT rules, particularly after compliance was attained with the first technology-based MACT. Moreover, we are concerned that the lower emission levels attained from controls installed to comply with the 2003 vacated rule may be used as the baseline for the second MACT and may result in an even more stringent rule than would have been imposed absent the first MACT. This "MACT on MACT" situation could require the costly removal and replacement of still-viable air pollution control devices without producing actual environmental or human health benefits.

On December 7, 2012, EPA published a proposed schedule for a new Brick MACT pursuant to efforts to negotiate a consent decree with the complaintant in the case vacating the 2003 Brick MACT. We understand that EPA has amended this proposed consent decree to add an additional six months to the schedule for the proposed rule. We commend EPA for this decision. This newly proposed schedule envisions a final rule issuance late December of 2014. We urge EPA to continue to review the schedule and identify if and when additional changes to the final schedule should be made.

We respectfully request that EPA use this time to take the steps necessary to promulgate a rule which protects public health and the environment, but does not impose unwarranted burdens on significant portions of the brick industry. We believe such an approach would include the following:

- 1. Consideration of Work Practice Standards and Accurate Burden Estimates. We urge EPA to use the authority in the CAA to consider work practice. standards, wherever reasonable, including for the relatively small amount of metal HAP emissions, including mercury. This review should include an assessment of whether work practice standards are warranted for all pollutants not covered by a health-based standard. EPA is currently considering very expensive controls for the minimal amounts of mercury that the industry emits. The brick industry is on the list for MACT development because of acid gasses, not metal emissions, and to absorb crippling control costs to receive minor reductions in the amount of mercury and metals the industry emits may not be justified or even required to meet the requirements of the Clean Air Act. In addition, since EPA's estimated annual compliance costs are significant (running well over \$150,000,000 per year) and the rule will impact a substantial number of small businesses, thoughtful consideration of the additional reviews required to comply with the Regulatory Flexibility Act (RFA) are critical. EPA must then develop a thorough Initial Regulatory Flexibility Analysis that assesses the impacts on small businesses and examines less burdensome alternatives. EPA must also provide accurate estimates of the cost and a reasonable determination of the technical feasibility of control devices to meet the standard as an essential part of an initial RFA. We believe work practice standards could both protect the environment and eliminate unwarranted burdens.
- 2. Health-based standard. CAA Section 112(d)(4) allows for consideration of health-based thresholds when establishing MACT standards for a category. While this action is discretionary under the CAA, the unique MACT on MACT situation discussed above, as well as the limited quantity of emissions generated by brick manufacture especially as compared to other regulated industries subject to recent MACTs justify full consideration of the health-based approach for standards set pursuant to this rule. If EPA chooses not to pursue a health-based approach to this regulation, we ask that approach is not reasonable for this industry.
- 3. Establish reasonable subcategories. The CAA provides ample authority for EPA to use its discretion to establish subcategories when evaluating MACT for an industry. We urge EPA to use this discretion to minimize unnecessary "MACT on MACT" impacts for this industry, including the removal of viable air pollution control devices installed in good faith to comply with the 2003 MACT. At a minimum, EPA should maintain the same subcategories as in the 2003 rule. However, EPA should fully explore all potential subcategorization options.

4. Non-major sources. As EPA calculates the "MACT floor" for a category of major sources, we urge EPA to follow a literal reading of the CAA, which requires that EPA include only sources within the category when determining the MACT floor for existing sources. At present, we understand that EPA staff has indicated their intention of including sources from outside the category in the floor determination. By CAA definition, the floor determination for existing sources in a source category that includes only major sources should only include major sources. This would exclude all area sources, including "synthetic area sources." Congress made no provision in the CAA for EPA to create a third classification of sources because the definition of "area source" includes all facilities that do not meet the definition of "major source," including "synthetic area" sources. EPA is incorrectly treating this subset of area sources differently from other area sources.

Thank you for considering the incorporation of these environmentally-responsible and cost-conscious approaches as EPA develops the proposed Brick MACT rule. A reasonable standard will ensure that health and environment are protected and that this essential industry can continue to thrive, generate jobs in our states, and help our struggling economy rebound.

Sincerely,

Sill Johnson

Muheli Bachnan

Carles W Dent

Sanford D. B. Sloop Jr.

Doug Fambour

Shatt. Kujot GA-1

14-000-1164 Speni Bahar rdrian Smith Lat Tilveni Will Will / Amers Harsna Block som Cold Poteto July 7836 Dar Pkn TN-4 Bregg Hugen Robert Hobons Tom Groves -3/5h Dong Collett-09 H longo Bff Streetmake Andy Don maltra LAR-1

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Walter B. Somes

Melley Morre Capito

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Har E Assa

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Al-14-000-164

#### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

MAN 10 2014

OFFICE OF

The Honorable Michael T. McCaul U.S. House of Representatives Washington, DC 20515

Dear Congressman McCaul:

Thank you for your letter of November 6, 2013, co-signed by 51 of your colleagues, to U.S. Environmental Protection Agency Administrator Gina McCarthy, regarding standards that the EPA is in the process of developing for the brick industry. The Administrator asked that I respond on her behalf.

The EPA is required to set national emissions standards for hazardous air pollutants (NESHAP) under section 112(d) of the Clean Air Act (CAA). As you mention in your letter, although the EPA issued a NESHAP for this industry in 2003, the United States Court of Appeals for the District of Columbia Circuit vacated that rule in 2007. We are in the process of developing a new rule in response to the vacatur. The brick and structural clay manufacturing industry remains unregulated under CAA section 112(d) because no federal 112(d) standard is in place. Sources in this industry emit a number of air toxics, including hydrogen fluoride, hydrogen chloride and toxic metals (such as antimony, arsenic, beryllium, cadmium, chromium, cobalt, mercury, manganese, nickel, lead and selenium).

Your letter asks that the EPA consider work practice standards, wherever reasonable, and that we assess the cost impacts that the proposed standards will have on the brick industry. We agree that in some cases work practices may be appropriate, and we are assessing the potential use of work practice standards where it is reasonable and consistent with the requirements of the CAA. The EPA analyzes the costs that may be associated with all proposed rules and will conduct a regulatory impact analysis (RIA) to thoroughly assess the impacts.

Your letter also asks that we consider health-based standards and that we use our discretion to establish subcategories. We are aware of the brick industry's desire that we set health-based standards and we will consider them as we develop the proposed rule. We also agree that subcategorization is an important consideration and we are evaluating all potential subcategories that may be appropriate for the brick industry.

Your letter also raises concerns regarding the inclusion of "synthetic area sources" when determining the Maximum Achievable Control Technology (MACT) floor for existing sources. The CAA requires the MACT floor to be calculated based on the best-performing sources in the source category. As part of this rulemaking, we are considering all available flexibilities that will minimize the impacts on the brick industry while still meeting the legal requirements of the CAA.

14-00-1164

In closing, I would like to underscore that we are sensitive to the impact that this rulemaking may have on the brick industry. As we go forward, we are considering a variety of options based on the diversity of process units, operational characteristics and other factors affecting hazardous air pollutant emissions. I can assure you that we will consider the concerns of the brick industry as we develop the proposed rule.

Again, thank you for your letter. If you have further questions, please contact me or your staff may contact Cheryl Mackay in the EPA's Office of Congressional and Intergovernmental Relations at mackay.cheryl@epa.gov or (202) 564-2023.

Sincerely,

Janet G. McCabe

Acting Assistant Administrator

1-2 B. M.CL



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

AL-14-000-7699

WASHINGTON, D.C. 20460

MAY 1 5 2014

OFFICE OF CIVIL RIGHTS

The Honorable Michael McCaul Chairman Committee on Homeland Security U.S. House of Representatives Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to send you the enclosed copy of the U.S. Environmental Protection Agency's Fiscal Year 2013 annual report prepared in accordance with Section 203 of the Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (No FEAR Act), Public Law 107-174.

This report provides information regarding the number of cases arising under the respective areas of law cited in the No FEAR Act where discrimination was alleged; the amount of money required to be reimbursed by the EPA to the Judgment Fund in connection with such cases; the number of employees disciplined for discrimination, retaliation, harassment or any other infractions of any provision of law referred to under the Act; an analysis of trends and knowledge gained; and accomplishments.

An identical letter has been sent to each entity designated to receive this report as listed in Section 203 of the No FEAR Act. The U.S. Attorney General, the Chair of the U.S. Equal Employment Opportunity Commission, and the Director of the U.S. Office of Personnel Management will also be sent a copy of the report.

If you have any questions, please contact me, or your staff may contact Christina J. Moody in the EPA's Office of Congressional and Intergovernmental Relations at moody.christina@epa.gov or (202) 564-0260.

Sincerely.

Director

Enclosure

AL-14-000-7699

#### OFFICE OF CIVIL RIGHTS

# U.S. ENVIRONMENTAL PROTECTION AGENCY

Fiscal Year 2013

Annual Report to Congress
Pursuant to the
Notification and Federal Employee
Antidiscrimination and Retaliation
Act of 2002

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#### I. EXECUTIVE SUMMARY

The U.S. Environmental Protection Agency (EPA or Agency) provides its Annual Report to Congress as required by Section 203 of the Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (No FEAR Act), Public Law 107-174. As required, this report includes information related to the number of cases in Federal court pending or resolved in fiscal year (FY) 2013 and, in connection with those cases, their disposition; reimbursement(s) to the Judgment Fund; and the number of employees disciplined and the nature of the disciplinary action taken.

During FY 2013, there were a total of 12 cases pending before Federal courts. Among these cases, there were 9 claims of violation of Title VII of the Civil Rights Act of 1964; 4 claims of violations of the Rehabilitation Act; 4 claims of violation of the Age Discrimination in Employment Act; one claim of violation of the Equal Pay Act, and one claim of violation of 5 USC 2302.

Of the 12 cases noted above, one was settled during the reporting period. The settlement involved a total payment of \$500, all of which was designated for the payment of attorney's fees. This settlement amount was reimbursed to the Judgment Fund.

Of the remaining 11 cases, 3 were dismissed with prejudice, 2 are currently pending decisions on dispositive motions, one is pending a decision before the U.S. Court of Appeals for the Third Circuit, one is under settlement negotiations, and the remaining cases are at the discovery stage in U.S. Federal District Courts.

#### II. BACKGROUND

On May 15, 2002, Congress enacted the "Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002," or, as it is more commonly known, the No FEAR Act. One purpose of the Act is to "require that Federal agencies be accountable for violations of antidiscrimination and whistleblower protection laws." Public Law 107-174, Summary. In support of this purpose, Congress found that "agencies cannot be run effectively if those agencies practice or tolerate discrimination." Public Law 107-174, Title I, General Provisions, section 101(1).

Section 203 of the No FEAR Act requires that each Federal agency submit an annual Report to Congress not later than 180 days after the end of each fiscal year. Agencies must report on the number of Federal court cases pending or resolved in each fiscal year and arising under each of the respective areas of law specified in the Act in which discrimination or retaliation was alleged. In connection with those cases, agencies must report the status or disposition of the cases; the amount of money required to be reimbursed to the judgment fund; and the number of employees disciplined. Agencies must also report on any policies implemented related to appropriate disciplinary actions against a Federal employee who discriminated against any individual, or committed a prohibited personnel practice; any employees disciplined under such a policy for conduct inconsistent with Federal Antidiscrimination Laws and Whistleblower Protection Laws;

and an analysis of the data collected with respect to trends, causal analysis, and other information.

The Act imposes additional duties upon Federal agency employers intended to reinvigorate their longstanding obligation to provide a work environment free of discrimination and retaliation. The additional obligations contained in the No FEAR Act can be broken down into five categories:

- A Federal agency must reimburse the Judgment Fund for payments made to employees, former employees, or applicants for Federal employment because of actual or alleged violations of Federal employment discrimination laws, Federal whistleblower protection laws, and retaliation claims arising from the assertion of rights under those laws.
- An agency must provide annual notice to its employees, former employees, and applicants for Federal employment concerning the rights and remedies applicable to them under the employment discrimination and whistleblower protection laws.
- At least every two years, an agency must provide training to its employees, including managers, regarding the rights and remedies available under the employment discrimination and whistleblower protection laws.
- Quarterly, an agency must post on its public website summary statistical data pertaining to EEO complaints filed with the agency.

The President delegated responsibility to the Office of Personnel Management (OPM) for issuance of regulations governing implementation of Title II of the No FEAR Act. OPM published final regulations on the reimbursement provisions of the Act on May 10, 2006; final regulations to carry out the notification and training requirements of the Act were published on July 20, 2006; and the final regulations to implement the reporting and best practices provisions of the No FEAR Act on December 28, 2006. The Equal Employment Opportunity Commission (EEOC) published its final regulations to implement the posting requirements of Title III of the No FEAR Act on August 2, 2006. The EPA has prepared this report based on the provisions of the No FEAR Act in accordance with OPM and EEOC's final regulations.

#### III. DATA

#### a. Civil Cases

Section 203(a)(1) of the No FEAR Act requires that agencies include in their Annual Report "the number of cases arising under each of the respective provisions of law covered by paragraphs (1) and (2) of section 201(a) in which discrimination on the part of such agency was alleged."

Section 724.302 of OPM's final regulations on reporting and best practices clarifies section 203 (1) of the No FEAR Act stating that agencies report on the "number of cases in Federal Court [district and appellate] pending or resolved...arising under each of the respective provisions of the Federal Antidiscrimination laws and Whistleblower Protection Laws applicable to them...in which an employee, former Federal employee, or applicant alleged a violation(s) of these laws, separating data by the provision(s) of law involved."

During FY 2013, there were a total of 12 cases pending before Federal courts. Among these cases, there were 9 claims of violation of Title VII of the Civil Rights Act of 1964; 4 claims of violations of the Rehabilitation Act; 4 claims of violation of the Age Discrimination in Employment Act; one claim of violation of the Equal Pay Act, and one claim of violation of 5 USC 2302.

Of the 12 cases noted above, one was settled during the reporting period. The settlement involved a total payment of \$500, all of which was designated for the payment of attorney's fees. This settlement amount was reimbursed to the Judgment Fund.

Of the remaining 11 cases, 3 were dismissed with prejudice, 2 are currently pending decisions on dispositive motions, one is pending a decision before the U.S. Court of Appeals for the Third Circuit, one is under settlement negotiations, and the remaining cases are at the discovery stage in U.S. Federal District Courts.

#### b. Reimbursement to the Judgment Fund

During FY 2013, the Agency was required to reimburse the Judgment Fund \$500, all of which was designated for the payment of attorney's fees. This is \$174,500 less than the amount the Agency was required to reimburse to the Judgment Fund in FY 2012.

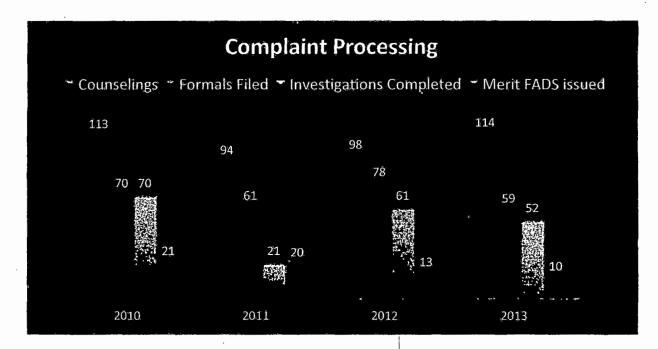
#### c. Disciplinary Actions (5 C.F.R. § 724.302 (a)(3) & (5))

There were no employees disciplined in FY 2013 in connection with any cases described in paragraph (a) above, or for any other conduct that is inconsistent with Federal Antidiscrimination Laws and Whistleblower Protection Laws or for conduct that constitutes prohibited personnel practices.

#### d. Final Year-End Data Posted Under Section 301(c)(1)(B)

The final year-end data posted pursuant to section 301(c)(1)(B) of the No FEAR Act is included in Appendix 1.

The final year-end data indicates that during FY 2013, there was a 23% reduction in the number of formal complaints filed compared to FY 2012. In FY 2012, 76 formal complaints of discrimination were filed with the Agency. During FY 2013, there were only 59 new administrative complaints of discrimination filed by 56 employees or applicants for employment. Three Agency employees filed more than one complaint during the reporting period.



During FY 2013, EPA's Office of Civil Rights (OCR) procedurally dismissed 7 complaints. The average time to process a dismissal was 147 days, a 31% reduction from the FY 2012 processing average of 212 days pending prior to dismissal.

FY 2013 complaint totals can be found in their entirety at Appendix 1 of this report.

#### e. Policy Description on Disciplinary Actions (5 C.F.R. § 724.302(a)(6))

The FY 2013 Agency EEO policy addresses a variety of topics including the prohibition of discrimination in the workplace and a reminder to all employees that the agency will review any finding of discrimination and take appropriate disciplinary or corrective action. The EEO policy, as well as information on addressing harassment and reasonable accommodation, was discussed in the mandatory Successful Leaders program for all new Agency supervisors and in the new employee orientation sessions.

The FY 2013 EEO Policy can be found in its entirety at Appendix 3 of this report.

Additionally, EPA Order 3110.6B, Adverse Actions, EPA Order 3120.1B, Conduct and Discipline, EPA Order 3120.2, Conduct and Discipline Senior Executive Service and applicable collective bargaining agreements, provide guidance to managers about the type of disciplinary actions that may be taken, when appropriate, in response to a finding of discriminatory behavior or conduct. Such actions may range from informal corrective actions such as a written warning to more formal disciplinary actions such as a suspension without pay or removal.

EPA has an ongoing commitment to continue to include clear expectations EEO in performance standards for managers. EPA has maintained revised SES standards that not only focus on preventing discrimination in hiring activities and promoting merit systems principles, but also require senior leaders to be personally involved in leading and implementing EEO and civil rights initiatives consistent with applicable laws and executive orders. In addition, at the end of

every performance cycle, the Director of OCR, Performance Review Board members, and Executive Review Board members evaluate management self-assessments to ensure that the respective rating is an appropriate reflection of the accomplishments listed.

### f. No FEAR Act Training Plans (5 C.F.R. § 724.302 (a)(9))

During FY 2013, we analyzed lessons learned from the EPA FY 2012 "No FEAR Act Training Course" that was hosted on the EPA eLearning site. The EPA eLearning site is an Internet-based training tool designed to support cross-functional training development needs for EPA employees. Based on input received from Agency employees regarding the 2012 training, we have contracted with Skillport to develop a more comprehensive training to include other areas such as discrimination based on gender stereotyping and the Genetic Information Nondiscrimination Act of 2008. We anticipate employees will be able to take the new training beginning Spring 2014. As with the 2012 NoFear Training, the eLearning site will be available for access 24 hours a day, 7 days a week, from work or home, allowing for maximum flexibility to meet the No FEAR Act training requirements. OCR, the Regional EEO Officers and the Headquarters Program Management Officers are planning to aggressively track and promote the successful completion of this training by individual offices, with a goal of reaching a 100% completion rate, Agency-wide, for the year.

# IV. ANALYSIS OF TRENDS, CAUSAL ANALYSIS AND PRACTICAL KNOWLEDGE GAINED THROUGH EXPERIENCE (5 C.F.R. § 724.302 (a)(7))

At the conclusion of FY 2013, the bases of alleged discrimination most often raised were: (1) retaliation; (2) sex; and (3) age. The 59 EEO complaints filed at EPA in FY 2013 contained 29 allegations of retaliation, 26 allegations of sex discrimination, and 22 allegations of age discrimination. While retaliation and sex remain the top bases alleged in complaints filed for the second year in a row, these totals are not only significantly lower than in the previous year, they are the lowest in the previous 5 years worth of historical data. It should also be noted that retaliation and age are among the top three bases most frequently alleged in discrimination complaints throughout the entire Federal workforce.

The data shows that the 0.31% of the Agency workforce of 17,002 employees that has filed complaints. This falls well below the last reported government-wide average of 0.53% of the workforce who filed complaints. At the time of reporting, government-wide totals beyond FY 2011 were not yet available.

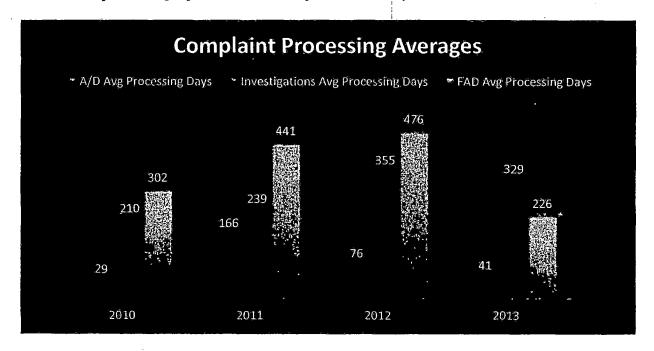
The Agency saw a 22% decrease in the number of complaints filed from FY 2012 to FY 2013. We attribute this in part to EPA's reinvigorated emphasis on the use of Alternative Dispute Resolution (ADR) to facilitate the ability of managers to hear about allegations of unlawful discrimination and to have an opportunity to resolve them at the lowest possible level. EPA managers and supervisors are required to participate, absent extenuating circumstances, as reiterated by the Administrator in her 2013 annual EEO Policy Statement. By certifying and training more EEO counselors and providing informational materials about the benefits of ADR in print and electronically, EPA's ADR participation rate during the informal process increased

As reported in FY 2011 Report of the Federal Workforce. http://www.eeoc.gov/federal/reports/fsp2011/index.cfm

from 33.7% in FY 2012 to 49.41% in FY 2013. These efforts also increased EPA's rate of providing timely EEO counseling from 69.39% in FY 2012 to 92.11% in FY 2013. The Agency is currently developing an ADR program that would focus on increasing the number of cases in which ADR is offered in the formal complaint process which may increase our resolution rate. This program would continue to promote resolution at the lowest possible level by reengaging complainants and managers during the investigative stage of the complaint and attempt resolution prior to completing the investigation.

EPA continues to stress training as a method for ultimately reducing the number of Federal court judgments, awards, and formal complaints as managers and supervisors expand their knowledge of their responsibilities to promote equal employment opportunity.

EPA completed investigations for complaints pending during FY 2013 with an average processing time of 321 days, 31 days sooner than the Agency FY 2012 average of 352 days. The average age of FADs pending in FY 2013 was 261 days, almost half of our FY 2012 average of 533 days and the lowest the Agency has seen in the previous 4 years. As discussed in the FY 2012 NoFear Report, the Agency focused extensively on revamping and streamlining the investigative process and strategically alternating between the processing of older and newer matters to improve the proportion of cases adjudicated timely.



### V. ADJUSTMENTS TO BUDGET (5 C.F.R. § 724.302(a)(2)(ii))

During FY 2012, the Agency was required to reimburse the Judgment Fund \$500 for the payment of attorney's fees.

# VI. ACTIONS PLANNED OR TAKEN TO IMPROVE COMPLAINT OR CIVIL RIGHTS PROGRAMS (5 C.F.R. § 724.302 (a)(7)(iv))

In March 2011, Administrator Lisa P. Jackson appointed the Civil Rights Executive Committee, chaired by Deputy Administrator Bob Perciasepe, to recommend actions necessary for building a model civil rights program at the agency. After extensive review of the program, the Civil Rights Executive Committee submitted a final report, Developing a Model Civil Rights Program for the Environmental Protection Agency, to the Administrator outlining the agency's commitment to strengthening civil rights, equal employment opportunities, diversity in the workplace and revitalizing the agency's implementation of external civil rights laws. The Administrator approved the report and recommendations on April 13, 2012. On May Agency Order which established the position of deputy civil rights official (DCRO) within each regional office and assistant administrator's office to serve as that office's primary point of accountability for assisting the OCR with effectively meeting the Agency's civil rights responsibilities and goals.

DCROs have broad oversight authority within their respective office or region for implementation of the civil rights program consistent with agency policy and directives, recognizing that offices or regions may need different staffing profiles for some functions. For example, Equal Employment Opportunity counselors are needed in every region, but at headquarters EEO counselors report to OCR rather than individual program offices. DCROs will identify and/or request adequate funding and resources for civil rights work and ensure their organizations have well-functioning policies, processes and management controls. Some of the activities that they will undertake include:

- Assuring that appropriate staff and expertise are available for their organizations to carry out an effective civil rights program including EEO counselors, alternate dispute resolution staff, special emphasis program managers and EEO officers.
- Developing and implementing the Equal Employment Opportunity Commission's
  Management Directive 715 Action Plans for their offices and regions that promote equal
  employment opportunity in a manner consistent with the agency's MD 715 Report, promote
  diversity and inclusion, and address other issues as required. Ensuring that the goals and
  objectives are communicated to subordinate management officials.
- Incorporating appropriate EEO and civil rights language into performance agreements as required for managers and as necessary for certain other positions.
- Facilitating informal EEO complaint resolution in conformance with Delegation 1-39, assuring the broad integration of well-functioning alternate dispute resolution approaches across the agency civil rights and employee relations activities and promoting the use of precomplaint processes as a means of resolving EEO matters.

EPA's civil rights program has taken several other steps to strengthen EPA's commitment to civil rights, equal employment opportunity and diversity in the workplace:

In FY 2013, OCR continued to make critical changes to its counseling program by
offering monthly training teleconferences to all EEO Counselor's, organized and
presented by OCR Employment Complaints Resolution Staff (ECRS) members to
Agency EEO Officials. The timeliness and quality of EEO Counselor's Reports

- continues to show marked improvement, and the utilization and success rate for ADR have all significantly improved.
- Within the EPA, every member of the Senior Executive Service continues to have a performance standard related to equal employment opportunity in the workplace. Senior managers must outline the specific initiatives and actions they have personally undertaken and the results or effectiveness of those actions. At the end of every performance cycle, the Director of the Office of Civil Rights, Performance Review Board members, and Executive Review Board members review these self-assessments to verify that the respective rating for the EEO performance standard is a reflection of the accomplishments listed.
- EPA has taken steps to improve the timeliness of EEO investigations. Of particular note is the new requirement for contractors to deliver investigations on schedule or receive reduced payment and/or terminate the contract.
- All EPA investigators and counselors continue to receive the required annual training and/or refresher training in accordance with MD 110.
- EPA works to comply with orders from administrative judges in a timely manner, and
  this is a factor that is included in the performance standard of the Assistant Director for
  the Office of Civil Rights, Employment Complaints
  Resolution Staff (ECRS). In
  addition, EPA has systems in place to ensure that the Agency initiates any monetary or
  other relief in a timely manner.
- In FY 2013, OCR's ECRS attended extensive FAD writing training as well as training related to writing acceptance and dismissal letters, analyzing hostile work environment claims and conducting thorough investigations.
- OCR also continues to post all No FEAR statistics on the OCR website on a quarterly basis.
- Members of OCR management make presentations during the monthly new employee orientations to ensure that all new employees are notified of the rights and remedies applicable to them under the employment discrimination and whistleblower protection laws.
- The Civil Rights Director and EEO Officials across the Agency participate in briefings, listening sessions, and brainstorming sessions to discuss EEO with managers, senior leaders and employees in order to identify specific action items that can continue to improve the Agency's EEO and civil rights program.

#### U.S. HOUSE OF REPRESENTATIVES

### COMMITTEE ON SCIENCE, SPACE, AND TECHNOLOGY

2321 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515-6301 (202) 225-6371 www.science.house.gov

September 23, 2011

The Honorable Gina McCarthy
Assistant Administrator, Office of Air and Radiation
U.S. Environmental Protection Agency
Ariel Rios Building
1200 Pennsylvania Avenue, N.W.
Mail Code: 6101A
Washington, DC 20460

Dear Assistant Administrator McCarthy:

As Members of the Committee on Science, Space, and Technology we write in regard to the Environmental Protection Agency's (EPA) Cross-State Air Pollution Rule (CSAPR) finalized on July 6, 2011. We remain concerned about the consequences that the finalized CSAPR will have on the 28 States included in the final rule. As the Committee continues to examine the process, scientific and technical basis, and associated economic and reliability impacts of CSAPR, it is essential that EPA explain certain features of the finalized rule, with a compliance deadline of just over 3 months from now, to those affected.

CSAPR, as finalized, will have significant implications on electricity generation, delivery, and affordability. Specifically, the Electric Reliability Council of Texas (ERCOT), the electric grid operator for the State of Texas, found CSAPR will require between 1,200 and 6,000 MW of generation to curtail operations during certain periods of the year. The recent record demand for electricity this summer in Texas, had it occurred with CSAPR in place, would have resulted in rolling blackouts on multiple days putting the welfare of Texas' citizens and economy at risk. Further, in order to comply with the rule, Texas power generator Luminant announced the need to idle two generating units and cease mining Texas lignite at three mines resulting in the loss of hundreds of jobs. The second largest municipal electricity generator in Florida, the Orlando Utilities Commission, expects that if compliance is required in the short time frame provided for by CSAPR, drastic operational adjustments and possible temporary plant shutdowns may be the consequence.

11-001 -6018

This all coming from one rule while several other new regulations by EPA are on the horizon, including those for sulfur dioxide, particulate matter, mercury, and hazardous air pollutants. These will add up to overlapping compliance schedules and further jeopardize electricity reliability and affordability and local jobs and economies. Additionally, the Federal Energy Regulatory Commission (FERC), statutorily required to protect our Nation's electric reliability, has noted the need for more involvement in the analysis of EPA rules to help reduce the possibility of reliability problems as a result of those rules.

It is our understanding that you have already recognized that technical adjustments to CSAPR may be necessary. We understand that EPA has offered to make adjustments, based on technical information provided to the Agency, resulting in the provision of additional allowances to companies and alterations to States' emissions budgets. In light of this, and the Committee's continued examination of CSAPR we ask that you provide responses to the following:

- 1) Please list and describe all meetings in the last three months with entities affected by CSAPR. Please include a description of any formal or informal commitments or offers the Agency may have made to such entities involving technical or other adjustments to their emissions budgets and allowance allocations under CSAPR as finalized.
- 2) Faced with overlapping compliance schedules and competing regulatory authorities, it is conceivable that electric generating units may, at some point, be subject to conflicting requirements from the relevant environmental authority and the relevant reliability authority. Please provide a description of all meetings and all documents in which the Agency considered or addressed this type of situation. Further, please describe your understanding of the responsibilities associated with an electric generating unit being designated as a "reliability/must run" unit. Finally, please explain to the Committee all interactions with other federal agencies, State agencies, regional transmission organizations or other relevant bodies in which such matters were discussed or considered.
- 3) How much more will electricity ratepayers in the 28 States affected by CSAPR pay for electricity by 2014 as a result of the rule?
- 4) The EPA Integrated Planning Model (IPM) is the basis of the Agency's analysis for CSAPR. Has the IPM undergone an audit other than by the federal government or a contractor for the federal government? As to the assumptions used to generate the projected IPM results for CSAPR, did EPA receive any input from outside the federal government? Is there anyone outside the federal government or the owners of the IPM with a comprehensive understanding of the algorithms, processes, and functions of the IPM and if so is that information publicly available?

#### **APPENDIX 1**

# Equal Employment Opportunity Data Posted Pursuant to the No Fear Act:

# EPA (and below)

For 4th Quarter 2013 for period ending September 30, 2013

|   | Comparative Data          |        |  |      |      |                   |  |
|---|---------------------------|--------|--|------|------|-------------------|--|
| Complaint Activity  | Previous Fiscal Year Data |        |  |      | ata  | 2013Thru09-       |  |
|   | 2008                      | 2009   | 2010   | 2011 | 2012 | 30                |  |
| Number of Complaints Filed  | 79                        | 77     | 70   | 64   | 76   | 59                |  |
| Number of Complainants  | 72                        | 71     | 63   | 61   | 75   | 56                |  |
| Repeat Filers   | 9                         | 8      | 9  | 3    | 2    | 3                 |  |
| Complaints by Basis   | Comparative Data          |        |  |      |      |                   |  |
|   | Pr                        | evious | and have been a supplied to the supplied of th |      |      |                   |  |
| Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed. | 2008                      | 2009   | 2010   | 2011 | 2012 | 2013Thru09-<br>30 |  |
| Race  | 42                        | 33     | 39   | 25   | 39   | 21                |  |
| Color   | 14                        | 9      | 14   | 10   | 13   | 6                 |  |
| Religion  | 2                         | 1      | 5  | 2    | 9    | 4                 |  |
| Reprisal  | 3,7                       | 35     | 47   | 39   | 43   | 29                |  |
| Sex   | 28                        | 35     | 28   | 29   | 41   | 26                |  |
| PDA   | 0                         | 0      | 0  | 0    | 0    | 0                 |  |
| National Origin   | 10                        | 6      | 14   | 10   | 13   | 12                |  |
| Equal Pay Act   | 0'                        | 0      | 0  | 2    | 1    | 1                 |  |
| Age   | 28                        | 37     | 28   | 21   | 35   | 22                |  |

|   | Comparative Data            |                           |         |        |   |      |                  |  |
|---|-----------------------------|---------------------------|---------|--------|---|------|------------------|--|
| Complaint Activity  |                             | Previous Fiscal Year Data |         |        |   |      | 2013Thru09-      |  |
|   |                             | 2008                      | 2009    | 2010   | 2011  | 2012 | 30               |  |
| Disability  | 1                           | 16                        | 25      | 21     | 24  | 23   | 18               |  |
| Genetics  | Park and the or the comment | 0                         | 0       | 0      | 0   | 0    | 0                |  |
| Non-EEO   |                             | 1                         | 0       | 0      | 1   | 8    | 7                |  |
| Compleints by James   |                             | Comparative Data          |         |        |   |      |                  |  |
| Complaints by Issue   | ]                           | Previou                   | s Fisca | l Year | Data  |      |                  |  |
| Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal | 2008                        | 200                       | 9 20    | 10     | 2011  | 2012 | 2013Thru09<br>30 |  |
| total complaints filed.   |                             |                           |         |        | 10 mm - 10 mm |      |                  |  |
| Appointment/Hire  | 0                           | 0                         | 2       | 2      | 1   | 5    | 5                |  |
| Assignment of Duties  | 12                          | 6                         | 1       | 8      | 12  | 11   | 5                |  |
| Awards  | 4                           | 2                         | . (     | 5      | 2   | . 5  | 0                |  |
| Conversion to Full-time   | 1                           | 0                         | (       | 0      | 0   | 2    | 0                |  |
| Disciplinary Action   |                             |                           |         | }      | <u>-</u>  |      |                  |  |

| Awards                  | .   4 | 2  | 6   | 2    | . 5  | 0 |
|-------------------------|-------|----|-----|------|--|---|
| Conversion to Full-time | 1     | 0  | 0   | 0    | 2  | 0 |
| Disciplinary Action     |       |    |     |      | no gar * arges \$ #Physiological Seculability of |   |
| Demotion                |       | 0  | 0   | 0.5  | 0  | 0 |
| Reprimand *             | 3     | 3  | 3   | 3.3  | 2  | 3 |
| Suspension              |       | 2  | 2   | 3    | 2.2  | 4 |
| Removal                 | 0     | 1  | 1:0 | 1/5点 | 12   | 0 |
| Other '                 | 0     | 0  | 3.5 | 2    | 4  | 2 |
| Duty Hours              | 0     | 0  | 1   | 3    | 3  | 2 |
| Evaluation Appraisal    | 17    | 9  | 14  | 11   | 21   | 9 |
| Examination/Test        | 0     | 0  | 0   | 1    | 0  | 0 |
| Harassment              |       |    |     |      |  |   |
|                         | 200   | 06 |     | 20   |  |   |

| Compleints by Is   |                                      |                                     |  | Со         | mpa  | rati | ve Da                                   | ta            |   |
|--|--------------------------------------|-------------------------------------|--|------------|------|------|---|---------------|---|
| Complaints by Is   | sue                                  | Pı                                  | revious F  | isca       | l Ye | ar D | ata                                     |               | THE SEA SEA ON THE SEA SEASON OF THE SEASON |
| Note: Complaints can la alleging multiple bases sum of the bases may notal complaints filed. | .The                                 | 2008                                | 2009   | 20         | )10  | 20   | )11                                     | 20            | 2013Thru09-<br>30   |
| Sexual   |                                      | i i                                 | 0  |            | ı    |      | 1                                       | 1             | 2   |
| Medical Examination  | 1                                    | 0                                   | 0  |            | 0    |      | 0                                       | 0             | 0   |
| Pay (Including Overtim   | e)                                   | 5                                   | 2  |            | 3    |      | 4                                       | 4             | 1   |
| Promotion/Non-Selection  | n                                    | 28                                  | 24   | 2          | 4    | 1    | 8                                       | 25            | 5 9   |
| Reassignment   | and the second section of the second | A Plant and Lamburg State Part & T. | Property of the state of the st |            |      |      | 2 Washington                            |               | to billion to   |
| Denied   |                                      | 1.23                                | 0  |            | 4    |      | 3                                       | 2             | Ō   |
| Directed   |                                      | 2                                   | 2  |            | 5    |      | 1                                       | 4             | 2   |
| Reasonable Accommod  | ation                                | 3                                   | 6  | 2          | 2    |      | В                                       | 7             | 8   |
| Reinstatement  | }                                    | 0                                   | 0  | (          | )    |      | 0                                       | 0             | 0   |
| Retirement   |                                      | 0                                   | 1  | (          | )    | (    | 0                                       | 1             | 0   |
| Termination  |                                      | 4                                   | 7  | 2          | 1    |      | 9                                       | 5             | 4   |
| Terms/Conditions of Employment   |                                      | 11                                  | 8  | 1          | 6    | 1    | 10                                      |               | 10  |
| Time and Attendance  |                                      | 13                                  | 7  | $\epsilon$ | 5    | (    | 5                                       | 17            | 6   |
| Training   |                                      | 6                                   | 7  | 6          | 5    | 4    | 4                                       | 10            | 2   |
| Other  |                                      | 0                                   | 0  | (          | )    | . (  | 0                                       | 7             | 3   |
|  |                                      |                                     | npar   | ative      | Da   | ta   |   |               |   |
| Processing Time  |                                      | Previous                            | Fiscal Y   | 'ear       | 1    |      |   | 2013Thru09-30 |   |
|  | 2008                                 | 2009                                | 20   | 10         | 20   | 11   | 201                                     | 2             | 2013 1 nru09-30   |
| Complaints pending dur   | ing fiscal y                         | /ear                                |  |            |      |      | , |               |   |
| Average number of days in investigation  | 205.84                               | 217.32                              | 214  | .40        | 236  | .82  | 352.                                    | 31            | 320.77  |

| Complete by I   |                | to the second transfer and the second se |          | Cor        | npa  | rativ | e Da | ıta                                      | and it is a sound material plant of the second consequence of the second   |
|---|----------------|--|----------|------------|------|-------|------|--|--|
| Complaints by I   | ssue           | Pro  | evious F | iscal      | Ye   | ar Da | ata  | -  | The second section of the section of the second section of the section of the second section of the secti |
| Note: Complaints can alleging multiple bases sum of the bases may total complaints filed. | s.The          | 2008   | 2009     | 2010       |      | 20    | 11   | 2012                                     | 2013Thru09-<br>30  |
| Average number of days in final action  | 261.40         | 192.96   | 171      | .29        | 39   | 8.16  | 318  | 3.42                                     | 147.95   |
| Complaint pending dur   | ing fiscal ye  | ear where he   | aring w  | as re      | que  | sted  |      |  | and definition related to the Commission of the  |
| Average number of days in investigation   | 215.97         | 211.79   | 204      | 1.77       | 24   | 2.18  | 347  | 7.38                                     | 325.31   |
| Average number of days in final action  | 44.22          | 125.75   |          | <b>D</b> . | 15   | 4.67  | 134  | 1.36                                     | 55.45  |
| Complaint pending dur   | ring fiscal ye | ear where he   | aring w  | as no      | t re | quest | ed   | er and an error projects of the executed | and the second s |
| Average number of days in investigation   | 183.18         | 225.34   | 228      | 3.69       | 21   | 8.60  | 360  | ).20                                     | 314.40   |
| Average number of days in final action  | 354.48         | 224.59   | 366      | 5.40       | 56   | 4.18  | 533  | 3.17                                     | 261.00   |

|   |         | the state of the state of | Con      | parative | Data | er and a strong high planeting province and the annual planet remain |
|---|---------|---------------------------|----------|----------|------|--|
| Complaints Dismissed by Agency                | -       | Previou                   | s Fiscal | Year Da  | ta   | 2013Thru09-  |
|   | 2008    | 2009                      | 2010     | 2011     | 2012 | 30   |
| Total Complaints Dismissed by<br>Agency       | 1       | 2                         | 3        | 3        | 10   | 7  |
| Average days pending prior to dismissal       | 64      | 62                        | 75       | 232      | 212  | 147  |
| Complain                                      | ts With | drawn b                   | у Сотр   | lainants |      |  |
| Total Complaints Withdrawn by<br>Complainants | 8       | 3                         | 2        | 4        | 11   | 19   |

|                            | Comparative Data         |   |   |       |    |          |         |   |   |      |         |   |  |  |
|----------------------------|--------------------------|---|---|-------|----|----------|---------|---|---|------|---------|---|--|--|
| Total Final Agency Actions |                          |   | P | revio | us | Fiscal Y | ar Data |   | , | 2013 | Thru09- |   |  |  |
| Finding Discrimination     | 2008 2009 2010 2011 2012 |   |   |       |    |          |         |   |   | 30   |         |   |  |  |
|                            | #                        | % | # | %     | #  | %        | #       | % | # | %    | #       | % |  |  |
| Total Number Findings      | 0                        |   | 0 |       | 0  |          | 0       |   | 1 |      | 0       |   |  |  |
| Without Hearing            | 0                        | 0 | 0 | 0     | 0  | 0        | 0       | 0 | 1 | 100  | 0       | 0 |  |  |
| With Hearing               | 0                        | 0 | 0 | 0     | 0  | 0        | 0       | 0 | 0 | 0    | 0       | 0 |  |  |

| Findings of Discrimination   |    | · Marin de district | e according to the Lab | ar area of produces one of | (   | Con  | pa  | rativ | e D | ata |      | No. , a recommendad de la companya d |
|--|----|---------------------|------------------------|----------------------------|-----|------|-----|-------|-----|-----|------|--|
| Rendered by Basis  |    | P                   | rev                    | ious                       | Fis | scal | Yea | r Da  | ta  |     | 2013 | Γhru09-  |
| Note: Complaints can be filed  | 20 | 008                 | 20                     | 009                        | 20  | 010  | 2   | 011   | 2   | 012 |      | 30   |
| alleging multiple bases. The sum<br>of the bases may not equal total<br>complaints and findings. | #  | %                   | #                      | %                          | #   | %    | #   | %     | #   | %   | #    | %  |
| Total Number Findings  | 0  |                     | 0                      |                            | 0   |      | 0   |       | 1   |     | 0    |  |
| Race   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Color  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Religion   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Reprisal   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 1   | 100 | 0    | 0  |
| Sex  | 0  | 0                   | 0                      | 0                          | 0.  | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| PDA  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| National Origin  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Equal Pay Act  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Age  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Disability   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Genetics   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0,   | 0  |
| Non-EEO  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
|  |    |                     |                        |                            |     |      |     |       |     |     |      |  |
| Findings After Hearing   | 0  |                     | 0                      |                            | 0   |      | 0   |       | 0   |     | 0    |  |
| Race   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Color  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Religion .   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0 .  | 0  |
| Reprisal   | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |
| Sex  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0 .  |
| PDA  | 0  | 0                   | 0                      | 0                          | 0   | 0    | 0   | 0     | 0   | 0   | 0    | 0  |

| Findings of Discrimination   | Comp<br>Previous Fiscal Y |     |     |       |     |      | npai            | rativ | e D | ata |      |        |  |
|--|---------------------------|-----|-----|-------|-----|------|-----------------|-------|-----|-----|------|--------|--|
| Rendered by Basis  |                           | I   | rev | /ious | Fis | scal | Yea             | r Da  | ıta |     | 2013 | Thru09 |  |
| Note: Complaints can be filed  | 20                        | 008 | 20  | 009   | 20  | )10  | 20              | 011   | 2   | 012 |      | 30     |  |
| alleging multiple bases. The sum of the bases may not equal total complaints and findings. | #                         | %   | #   | %     | #   | %    | #               | %     | #   | %   | # .  | %      |  |
| National Origin  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Equal Pay Act  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Age  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Disability   | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Genetics   | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Non-EEO  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
|  | 等<br>為<br>於               |     |     |       |     |      |                 |       |     |     |      |        |  |
| Findings Without Hearing   | 0                         |     | 0   |       | 0   |      | 0               |       | 1   |     | 0    |        |  |
| Race   | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | . 0 | 0    | 0      |  |
| Color  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Religion   | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Reprisal   | 0                         | 0.  | 0   | 0     | 0   | 0    | 0               | 0     | 1   | 100 | 0    | 0      |  |
| Sex  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| PDA  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| National Origin  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Equal Pay Act  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Age  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Disability   | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Genetics   | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Non-EEO  | 0                         | 0   | 0   | 0     | 0   | 0    | 0               | 0     | 0   | 0   | 0    | 0      |  |
| Findings of Discrimination   |                           |     |     |       | (   | Con  | omparative Data |       |     |     |      |        |  |

| Rendered by Issue        |     | F   | rev     | ious                                    | Fis | scal | Yea | r Da   | ıta |     | 2013 | Thru09-                             |
|--------------------------|-----|-----|---------|---|-----|------|-----|--------|-----|-----|------|-------------------------------------|
|                          | 20  | 008 | 20      | 009                                     | 20  | )10  | 20  | 011    | 2   | 012 |      | 30                                  |
| • .                      | #   | %   | #       | %                                       | #   | %    | #   | %      | #   | %   | #    | %                                   |
| Total Number Findings    | 0   |     | 0       | <b>阿拉</b>                               | 0   |      | 0   |        | 1   |     | 0    |                                     |
| Appointment/Hire         | .0  | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Assignment of Duties     | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Awards                   | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Conversion to Full-time  | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Disciplinary Action      |     |     |         |   |     |      |     |        |     |     |      |                                     |
| Demotion                 | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Reprimand                | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Suspension               | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Removal                  | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Other                    | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Duty Hours               | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Evaluation Appraisal     | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Examination/Test         | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Harassment               |     |     | · Annea |   | ,   |      |     | ****** |     | ,i  |      | animalay gara tarter at to Na Sarre |
| Non-Sexual               | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 1   | 100 | 0    | 0                                   |
| Sexual                   | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Medical Examination      | . 0 | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Pay (Including Overtime) | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | . 0                                 |
| Promotion/Non-Selection  | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Reassignment             |     | 1   | -       | *************************************** |     |      |     |        |     |     |      |                                     |
| Denied                   | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |
| Directed                 | 0   | 0   | 0       | 0                                       | 0   | 0    | 0   | 0      | 0   | 0   | 0    | 0                                   |

| Findings of Discrimination   |    |     |    | tomore of the se |     | Con  | ıpa | rativ | e D | ata |       | Marrier S. L. British (1942) |
|--|----|-----|----|------------------|-----|------|-----|-------|-----|-----|-------|------------------------------|
| Rendered by Basis  |    | I   | re | vious            | Fis | scal | Yea | ır Da | ta  |     | 20137 | Chru09-                      |
| Note: Complaints can be filed  | 20 | 008 | 2  | 009              | 20  | 010  | 2   | 011   | 2   | 012 |       | 30                           |
| alleging multiple bases. The sum of the bases may not equal total complaints and findings. |    | %   | #  | %                | #   | %    | #   | %     | #   | %   | #     | %                            |
| Reasonable Accommodation   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Reinstatement  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Retirement   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Termination  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Terms/Conditions of Employment   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Time and Attendance  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Training   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Other - User Defined   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
|  |    |     |    |                  |     |      |     |       |     |     |       |                              |
| Findings After Hearing   | 0  |     | 0  |                  | 0   |      | 0   |       | 0   |     | 0     |                              |
| Appointment/Hire   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Assignment of Duties   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Awards   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Conversion to Full-time  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Disciplinary Action  |    |     |    | ,                |     |      |     |       |     |     |       |                              |
| Demotion   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Reprimand  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Suspension   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Removal  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |
| Other  | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | - 0                          |
| Duty Hours   | 0  | 0   | 0  | 0                | 0   | 0    | 0   | 0     | 0   | 0   | 0     | 0                            |

| Findings of Discrimination   |    |     |     |      |     | Com  | ıpaı | rativ       | e D  | ata                 | a transmit i more material | ensant on terror — a smillet filmen |
|--|----|-----|-----|------|-----|------|------|-------------|------|---------------------|----------------------------|-------------------------------------|
| Rendered by Basis  |    | F   | rev | ious | Fis | scal | Yea  | r Da        | ta   |                     | 2013Thru09-                |                                     |
| Note: Complaints can be filed  | 20 | 800 | 20  | 009  | 20  | 010  | 20   | 011         | 2012 |                     |                            | 30                                  |
| alleging multiple bases. The sum<br>of the bases may not equal total<br>complaints and findings. | #  | %   | #   | %    | #   | %    | #    | %           | #    | %                   | #                          | . %                                 |
| Evaluation Appraisal   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Examination/Test   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | Ò                          | .0                                  |
| Harassment   |    |     |     |      |     |      |      | A-100-100-7 |      |                     |                            |                                     |
| Non-Sexual   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Sexual   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Medical Examination  | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Pay (Including Overtime)   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Promotion/Non-Selection  | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Reassignment   |    |     |     |      |     |      | ·    | 1           | -    | nå utt tun masten t |                            | Address of the second               |
| Denied   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | . 0                        | 0                                   |
| Directed   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Reasonable Accommodation   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Reinstatement  | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Retirement   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Termination  | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Terms/Conditions of Employment   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0.   | 0                   | 0                          | 0                                   |
| Time and Attendance  | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Training   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
| Other - User Defined   | 0  | 0   | 0   | 0    | 0   | 0    | 0    | 0           | 0    | 0                   | 0                          | 0                                   |
|  |    |     |     |      |     |      |      |             |      |                     |                            |                                     |
| Findings Without Hearing   | 0  |     | 0   |      | 0   |      | 0    |             | 1    |                     | 0                          |                                     |

| Findings of Discrimination   |    |     | **** |       |     | Con                                      | npa | rativ             | e D      | ata          | A AMPLICATION OF MANY |                              |
|--|----|-----|------|-------|-----|--|-----|-------------------|----------|--------------|-----------------------|------------------------------|
| Rendered by Basis  |    | ]   | Prev | vious | Fis | scal                                     | Yea | r D               | ata      | 1000         | 2013                  | Thru09-                      |
| Note: Complaints can be filed  | 20 | 800 | 20   | 009   | 20  | )10                                      | 2   | 011               | 2        | 012          |                       | 30                           |
| alleging multiple bases. The sum of the bases may not equal total complaints and findings. |    | %   | #    | %     | #   | %  | #   | %                 | #        | %            | #                     | %                            |
| Appointment/Hire   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Assignment of Duties   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Awards   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Conversion to Full-time  | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Disciplinary Action  |    |     |      |       |     |  |     |                   |          |              |                       |                              |
| Demotion   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Reprimand  | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Suspension   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Removal  | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Other  | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Duty Hours   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Evaluation Appraisal   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Examination/Test   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | . 0                          |
| Harassment   |    | *   |      |       |     | 's , , , , , , , , , , , , , , , , , , , |     | ed graduling grad | -leston- | August Augus |                       | and the second of the second |
| Non-Sexual   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 1        | 100          | 0                     | 0                            |
| Sexual   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Medical Examination  | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Pay (Including Overtime)   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |
| Promotion/Non-Selection  | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0 .                   | 0                            |
| Reassignment   |    | i   | 2    |       |     |  |     | v-                |          | An           | ware a not seen and   |                              |
| Denied   | 0  | 0   | 0    | 0     | 0   | 0  | 0   | 0                 | 0        | 0            | 0                     | 0                            |

| Findings of Discrimination   |    |     |     |      | (   | Com  | par | ativ | e D | ata |       |         |
|--|----|-----|-----|------|-----|------|-----|------|-----|-----|-------|---------|
| Rendered by Basis  |    | I   | rev | ious | Fig | scal | Yea | r Da | ıta |     | 20137 | Thru09- |
| Note: Complaints can be filed  | 20 | 008 | 20  | 2009 |     | 2010 |     | 2011 |     | 012 |       | 30 .    |
| alleging multiple bases. The sum of the bases may not equal total complaints and findings. | #  | %   | #   | %    | #   | %    | #   | %    | #   | %   | #     | %       |
| Directed   | 0  | 0   | 0   | 0    | 0   | Q    | 0   | 0    | 0   | 0   | 0     | 0       |
| Reasonable Accommodation   | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |
| Reinstatement  | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |
| Retirement   | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |
| Termination  | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |
| Terms/Conditions of Employment   | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |
| Time and Attendance  | 0  | . 0 | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | .0    | 0       |
| Training   | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |
| Other - User Defined   | 0  | 0   | 0   | 0    | 0   | 0    | 0   | 0    | 0   | 0   | 0     | 0       |

|   |      |          | Com      | parati | ve Data          | 1  |
|---|------|----------|----------|--------|------------------|--|
| Pending Complaints Filed in<br>Previous Fiscal Years by Status            | Pr   | evious   | Fiscal ` | Year D | ata              | 2013Thru09-  |
| •   | 2008 | 2009     | 2010     | 2011   | 2012             | 30   |
| Total complaints from previous Fiscal<br>Years                            | 70   | 90       | 117      | 111    | 119              | 136  |
| Total Complainants  | 65   | 82       | 102      | 89     | 99               | 123  |
| Number complaints pending   |      |          |          |        | ALTE TRANSPORTER | The second distribution of the second |
| Investigation   | l    | 1        | 0        | 6      | 2                | 2  |
| ROI issued, pending Complainant's action                                  | 0    | 0        | 1        | 0      | 4                | 3  |
| Hearing   | 3    | 9        | 12       | 31     | 36               | 53   |
| Final Agency Action   | 18   | 43       | 35       | 20     | 15               | 24   |
| Appeal with EEOC Office of Federal Operations                             | 15   | 14       | 15       | 18     | 22               | 27   |
|   |      |          | Com      | parati | ve Data          | The second secon |
| Complaint Investigations  | Pro  | evious l | Fiscal \ | Year D | ata              | 2013Thru09-  |
|   | 2008 | 2009     | 2010     | 2011   | 2012             | 30   |
| Pending Complaints Where<br>Investigations Exceed Required Time<br>Frames | 14   | 7        | 5        | 20     | 14               | 18   |

#### **APPENDIX 2**

**Anti-Harassment Policy** 

**MEMORANDUM** 

FROM: Administrator Lisa P. Jackson

TO: All EPA Employees

As a matter of policy, harassment of any kind will not be tolerated at the U.S. Environmental Protection Agency. When harassment is directed at an individual because of a lawfully protected basis and is sufficiently severe or pervasive that it creates a hostile work environment or takes the form of a tangible employment action, it is unlawful. It is EPA policy to ensure that appropriate measures are implemented to prevent harassment, either sexual or nonsexual, in the workplace and to correct harassing conduct before it becomes severe or pervasive. EPA policy also strictly prohibits any retaliation against an employee who reports a concern about workplace harassment or assists in any inquiry about such a report.

For the purposes of this policy, unlawful harassment is defined as any unwelcome verbal or physical conduct based on race; color; sex, including pregnancy and gender identity/expression; national origin; religion; age; prior protected EEO activity; protected genetic information; sexual orientation or status as a parent when:

a) the behavior can reasonably be considered to adversely affect the work environment; or b) an employment decision affecting the employee is based upon the employee's acceptance or rejection of such conduct.

Sexual harassment can be either a form of harassment based on a person's sex that need not involve conduct of a sexual nature or harassment involving any unwelcome sexual advance, request for sexual favors or other verbal or physical conduct of a sexual nature when:

- a. submission to such conduct is made explicitly or implicitly a term or condition of an employee's job, pay or career;
- b. submission to or rejection of such conduct by an employee is used as a basis for career or employment decisions affecting that employee; or
- c. such conduct has the purpose or effect of unreasonably interfering with an employee's performance or creates an intimidating, hostile or offensive environment.

Sexual harassment need not involve members of the opposite sex and can be perpetrated by and against members of either sex.

#### Examples of workplace harassment include:

- Oral or written communications that contain offensive name calling, jokes, slurs, negative stereotyping, hostility or threats. This includes comments or jokes that are distasteful or targeted at individuals or members of the lawfully protected bases set forth above.
- Nonverbal conduct, such as staring, leering and giving inappropriate gifts.
- Physical conduct, such as assault or unwanted touching.
- Visual images, such as derogatory or offensive pictures, cartoons or drawings. Such prohibited images include those in hard copy or electronic form.

The EPA does not permit harassment by or against anyone in the workplace. This includes any employee, applicant for EPA employment, grantee, contractor, Senior Environmental Employment enrollee or Federal Advisory Committee Act member. Workplace harassment should be reported immediately by the affected person to a first-line supervisor, a higher-level supervisor or manager in her or his chain of command, the Office of Inspector General or Labor and Employee Relations staff, as appropriate. Supervisors, in consultation with their human resources or legal offices, must conduct prompt, thorough and impartial inquiries.

If necessary and to the extent possible, measures must be taken to safeguard the anonymity of employees who file complaints. If management, in consultation with legal counsel, determines that harassment has occurred, it must be corrected as soon as possible. Harassing conduct by EPA employees need not rise to the level of unlawful harassment for it to constitute misconduct subject to corrective or disciplinary action.

In addition, EPA employees or applicants for employment may also use the complaint process established by the Equal Employment Opportunity Commission to file a complaint of harassment based on race, color, sex, religion, national origin, age, disability, prior protected EEO activity and protected genetic information for individual redress. To invoke that process, EPA employees and applicants must contact an EEO counselor within 45 days of an alleged incident of harassment. Reporting harassment to a supervisor in accordance with the previous paragraph does not satisfy this requirement and does not invoke the EEOC's process. EPA employees or applicants for employment may also report harassment based on sexual orientation and status as a parent to the EPA Office of Civil Rights.

Should you have any questions or need additional information about this policy, please contact the EPA Office of Human Resources at (202) 564-4600 or the EPA Office of Civil Rights at (202) 564-7272.

#### **APPENDIX 3**



#### THE ADMINISTRATOR OF THE ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON D.C. 20490

DEC 1 8 2013

#### **MEMORANDUM**

SUBJECT: 2013 Equal Employment Opportunity Policy Statement

FROM:

Gina McCarthy

TO:

All Employees

Fostering a diverse and inclusive work environment through equal employment is essential to our work and our service to the American people. I am proud to reaffirm the U.S. Environmental Protection Agency's commitment to equal employment opportunity in the workplace.

The EPA cannot and will not tolerate discrimination based on race; color; religion; sex, including pregnancy, sex stereotyping, gender identity or gender expression; national origin; sexual orientation; physical or mental disability; age; protected genetic information; status as a parent; marital status; political affiliation or retaliation based on previous EEO activity. The EPA also will not tolerate any type of harassment – either sexual or nonsexual – of any employee or applicant for employment. Employment decisions, including those related to hiring, training or awards, must be made in accordance with the merit-system principles contained in 5 U.S.C. § 2301.

I expect our management team to continue to provide first-class leadership in support of equal employment opportunities. I ask that EPA managers and employees take responsibility for treating each other with dignity and respect, reporting discriminatory conduct and preventing all types of discrimination, including harassment.

The EPA promotes the use of alternative-dispute-resolution methods to resolve workplace disputes or EEO complaints. Managers are reminded that their participation in agency-approved alternative-dispute-resolution efforts to resolve employee EEO complaints is required absent extraordinary circumstances as determined by the Office of Civil Rights' director or designee.

Any employee, manager or applicant for employment who believes he or she has been subjected to discrimination has a right to seek redress within 45 calendar days of the alleged discriminatory event by contacting the EPA's Office of Civil Rights Employment complaints resolution staff at (202) 564-7272 or an EEO officer at the regional or laboratory level. The agency will review any finding of discrimination and, when necessary, take appropriate disciplinary or corrective action.

A professional, productive and inclusive workplace is essential to the EPA's mission to protect human health and the environment. Unlawful discrimination in the workplace, including retaliation and harassment, undermines our ability to achieve our agency's mission. I appreciate your shared commitment to equal opportunity at the EPA and look forward to continuing our work together.

This naper is printed with vegetable-on-based inks and is 100-percent postconsumer recycled material, informs-fromgrocessed and socycles-on

Please provide the written responses by no later than two weeks from the date of this letter. If you have any questions regarding this request please contact the Subcommittee on Energy and Environment staff at (202) 225-8844.

Sincerely,

Ralph M. Hall

Ralph M. Hall Chairman Attains.

Andy Harris Chairman

Subcommittee on Energy & Environment

Baul C Brown 5

Paul C. Broun

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Lamar S. Smith

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Randy Neugebauer

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Michael T. McCaul

Da Rul

Dana Rohrabacher

Sandy Adams

Don Benny

Dan Benishek

cc: The Honorable Eddie Bernice Johnson, Ranking Member
The Honorable Brad Miller, Ranking Member, Subcommittee on Energy & Environment

AL-11-001-6018



#### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

MAR - 1 2012

OFFICE OF AIR AND RADIATION

The Honorable Michael T. McCaul U.S. House of Representatives Washington, D.C. 20515

Dear Congressman McCaul:

Thank you for your letter, co-signed by eight of your colleagues, requesting information related to the Cross-State Air Pollution Rule (CSAPR or Cross State Rule). The U.S. Environmental Protection Agency appreciates your comments, and I would like to assure you that we can achieve the goals of CSAPR without compromising the availability or reliability of affordable electricity.

In developing CSAPR and other power sector regulations, the EPA analyzed potential impacts on electric system capacity. These impacts are described in the Regulatory Impact Analyses that the EPA has conducted for the power sector rules. These analyses project that the EPA's rules will result in only a modest level of retirements — of older, dirtier, less efficient power plants — and that these retirements are not expected to have an adverse impact on electric generation resource adequacy. The EPA has benefited from discussions with the U.S. Department of Energy (DOE) and the Federal Energy Regulatory Commission (FERC) with regard to electric reliability issues and has incorporated information from the North American Electric Reliability Corporation (NERC) into its rulemakings.

Last fall, the DOE released a report presenting an independent assessment of generation resource adequacy under the final CSAPR and proposed MATS rules. The report is posted on DOE's website and can be accessed at: <a href="http://energy.gov/pi/office-policy-and-international-affairs/o

These results are consistent with the findings of a Bipartisan Policy Center report issued in July of last year, which concluded that "scenarios in which electric system reliability is broadly affected are unlikely to occur." M.J. Bradley & Associates and the Analysis Group have completed a series of reports on behalf of a group of electric utilities concluding that "the electric industry can comply with the EPA's air pollution rules without threatening electric reliability." An update to this report released in November underscores "the many tools that are available for ensuring electric reliability" as companies comply with these rules. 12

In developing CSAPR, the EPA relied on the best information available, in many cases information submitted by power plant operators or accessible in public documents, about the operation of certain power plants. After the EPA finalized CSAPR on the basis of this information, various parties submitted information updating, correcting or completing the information available to the EPA during the rulemaking process. This new information allowed the agency to identify data discrepancies and to update various assumptions regarding certain plants. Based on these updated assumptions, on February 7, 2012, the EPA finalized technical adjustments that provide flexibility by increasing budgets in 17 states. The adjustments include an approximately 50,000 ton increase to Texas' SO<sub>2</sub> budget and small increases to both Texas' ozone season NO<sub>x</sub> and annual NO<sub>x</sub> budgets with corresponding revisions to assurance levels and new unit set-asides.

In addition to the increase in the number of allowances that certain states would receive, the EPA finalized adjustments to increase a company's menu of compliance options by allowing sources to use an unlimited number of interstate allowances for compliance in 2012 and 2013. This was designed to provide greater assurance that the allowance trading market will continue to develop rapidly. The technical changes are substantial for certain states like Texas, although overall they maintain the extensive public health benefits of CSAPR and do not change the core elements or fundamental structure of the rule.

You should also be aware that, on December 30, 2011, the U.S. Court of Appeals for the District of Columbia Circuit stayed the Cross State Rule pending resolution of litigation challenging it. The Court order imposing the stay did not discuss the merits of the challenges. The EPA believes the Cross State Rule is legally sound and will continue defending it vigorously. While the stay is in effect, power plants will not have to comply with the Rule until the stay is lifted. Pursuant to the Court's order, CAIR, which was to be replaced by the Cross State Rule as of January 1, 2012, is now in effect.

CSAPR will achieve major public health and environmental benefits for Americans that are significantly greater than the costs. For example, in a single year (2014), the rule is projected to produce benefits valued at \$120 billion to \$280 billion, including the avoidance of:

- Up to 34,000 premature deaths
- 15,000 heart attacks
- 400,000 cases of aggravated asthma
- 19,000 cases of acute bronchitis
- 19,000 hospital and emergency room visits
- Over 1.8 million days when people miss work or school

Bipartisan Policy Center, June 2011, "Environmental Regulation and Electric System Reliability."

<sup>&</sup>lt;sup>12</sup> M.J. Bradley & Assocs. LLC & Analysis Group, November 2011, "Fall 2011 Update: Ensuring a Clean, Modern Electric Generating Fleet while Maintaining Electric System Reliability."

Detailed responses to your specific questions and requests are provided in the enclosures. Again, thank you for your letter. Please contact me with any questions, or your staff may contact Tom Dickerson in EPA's Office of Congressional and Intergovernmental Affairs at (202) 564-3638.

Sincerely,

Gina McCarthy

Assistant Administrator

#### **Enclosures**

cc: The Honorable Eddie Bernice Johnson

Ranking Member

Committee on Science, Space, and Technology

#### **ENCLOSURE**

1) Please list and describe all meetings in the last three months with entities affected by CSAPR. Please include a description of any formal or informal commitments or offers the Agency may have made to such entities involving technical or other adjustments to their emissions budgets and allowance allocations under CSAPR as finalized.

Please see the attached spreadsheet for the list of meetings that technical staff from EPA's Office of Air and Radiation Clean Air Markets Division, the division responsible for developing CSAPR, had with CSAPR stakeholders from the end of July until the signature of the proposed technical revisions. The results of those meetings are reflected in the proposed revisions rule to the extent that EPA found new and adequate technical information supporting the proposed changes to state budgets and unit-level allocations. All of the information obtained by EPA regarding the proposed revisions can be found in the public docket (EPA-HQ-OAR-2009-0491). EPA also solicited public comments on the proposal regarding any other previously unavailable technical information that stakeholders feel the Agency should consider as we move forward to implement the rule.

2) Faced with overlapping compliance schedules and competing regulatory authorities, it is conceivable that electric generating units may, at some point, be subject to conflicting requirements from the relevant environmental authority and the relevant reliability authority. Please provide a description of all meetings and all documents in which the Agency considered or addressed this type of situation. Further, please describe your understanding of the responsibilities associated with an electric generating unit being designated as a "reliability/must run" unit. Finally, please explain to the Committee all interactions with other federal agencies, State agencies, regional transmission organizations or other relevant bodies in which such matters were discussed or considered.

To the EPA's knowledge, the situation that you describe has occurred very infrequently. It can occur when a facility that had planned to shut down temporarily or permanently is required to run by DOE or FERC under section 202 or 207 of the Federal Power Act, respectively. However, the Clean Air Act provides sufficient flexibility to allow the EPA to bring a source into compliance when it is required to run for reliability purposes, and the EPA has used this authority in the past.

For example, during the 2001 energy shortfall in the West, in response to various State proclamations of emergency and orders from energy regulatory agencies, the EPA worked with the States, Independent System Operators and local air pollution agencies to formulate case-specific approaches that allowed critical projects to move forward quickly in order to minimize likelihood of blackouts. These approaches took the form of orders that acknowledged the violation of state air pollution limits and other requirements, in instances where sources were employing, or agreed to employ, appropriate air pollution-minimizing control technologies. In most of these agreements, sources also agreed to come into full compliance by a date certain, and in most cases agreed to specific emission limits during the noncompliant periods and to conduct, or to fund, environmentally beneficial projects and/or to purchase allowances that would offset pollution emitted during the time that the source was out of compliance. More recently, the EPA has used its enforcement tools to address reliability issues that might arise when plants are temporarily shut down in order to install emissions controls and to ensure reliable operation. In 2005 and 2006, the EPA worked with DOE, FERC, the District of Columbia Public Service Commission (DCPSC), the Virginia Department of Environmental Quality (VADEQ), and Mirant

Potomac River LLC (Mirant) to assess Mirant's impact on the Clean Air Act's National Ambient Air Quality Standards (NAAQS) and allow continued operation of its generating units at a level that both ensured electric reliability and minimized emissions of air pollutants. In response to an unexpected and sudden shutdown by Mirant of generating units to address NAAQS concerns, DOE ordered the utility to immediately restart and operate and promptly sought the EPA's consultation and involvement. Together, the EPA and DOE and the aforementioned entities resolved the matter through a number of steps that included a short-term informal agreement and formal administrative orders by the EPA and DOE that each accounted for the parallel authority of the other. The EPA order established a set of operating limitations and procedures designed to both protect air quality and provide the company with the operating flexibility needed to ensure reliable electrical service.

The EPA understands the term "reliability/must run unit" to be a term of art referring to a generating unit that the owner/operator has proposed to deactivate, but which has been identified by the relevant Regional Transmission Operator or other planning authority as needed to run under certain circumstances in order to maintain electric reliability. The planning authority and the owner/operator may enter into a contractual agreement that provides for the availability of the relevant unit to run as needed for reliability as well as for recovery by the owner/operator of necessary costs of operation. The North American Electric Reliability Corporation (NERC) has described reliability/must run (RMR) contracts as "contracts that require generation operation to satisfy bulk electric reliability requirements where the transmission system is inadequate to meet NERC reliability standards [which] may lead to operation of older, less efficient generation facilities in populated areas." The details of RMR arrangements can vary in each contract and across regions. CSAPR offers substantial unit-level flexibility such that individual units may continue to satisfy RMR obligations while obtaining (either through initial allocation or subsequent purchase) whatever allowances are necessary to support RMR-driven operations.

The EPA had extensive substantive contact and consultation with FERC and DOE as well as state utility regulators, Regional Transmission Organizations and other grid planning authorities, NERC and electric utilities and their representatives throughout the rulemaking process to discuss issues related to maintaining a robust and reliable grid while reducing power plant emissions of harmful pollutants. In addition we received numerous comments during the public comment process regarding issues related to reliability. EPA's final rules are stronger as a result of this process. For a discussion reflecting the EPA's consideration of electric reliability issues in connection with its power sector rulemakings, please see the preamble of the final Mercury and Air Toxics Standards rule. 77 Fed. Reg. 9304, 9406-11 (Feb. 16, 2012). These issues are also addressed in a recent policy memorandum issued by the EPA's Office of Enforcement and Compliance Assurance (OECA) on December 16, 2011, which discusses the EPA's intended approach regarding the use of administrative orders under Clean Air Act section 113(a) with respect to sources that must operate in noncompliance with the MATS rule for up to a year to address a specific and documented reliability concern. The policy can be accessed at: http://www.epa.gov/compliance/resources/policies/civil/erp/mats-erp.pdf.

<sup>&</sup>lt;sup>1</sup> Some may use the term "reliability/must run unit" more broadly to describe any unit that has been identified by a relevant planning or regulatory authority – e.g. DOE or FERC under section 202 or 207 of the Federal Power Act, or a State utility regulator – as needed to run in order to maintain electric reliability.

<sup>&</sup>lt;sup>2</sup> NERC comments to the Department of Energy, February 2, 2006, available at <a href="http://www.nerc.com/docs/docs/pubs/Final NERC Comments on DOE NOI on NIETCs 030606.pdf">http://www.nerc.com/docs/docs/pubs/Final NERC Comments on DOE NOI on NIETCs 030606.pdf</a>.

In addition to these consultations during rulemaking processes, the EPA is currently engaged, in consultation with FERC and DOE, in extensive outreach to these same stakeholders with regard to the implementation of the Mercury and Air Toxics Standards and other power sector rules — with a focus on supporting the planning and coordination necessary to maintain electric reliability. If you desire further information on this subject, the EPA will be happy to work with your staff to accommodate such interest.

3) How much more will electricity ratepayers in the 28 States affected by CSAPR pay for electricity by 2014 as a result of the rule?

As reported in the Regulatory Impact Analysis for CSAPR (<a href="http://www.epa.gov/airtransport/pdfs/FinalRIA.pdf">http://www.epa.gov/airtransport/pdfs/FinalRIA.pdf</a>), the projected retail electricity prices in the CSAPR region are projected to change by an average of only 0.8% in 2014.

4) The EPA Integrated Planning Model (IPM) is the basis of the Agency's analysis for CSAPR. Has the IPM undergone an audit other than by the federal government or a contractor for the federal government? As to the assumptions used to generate the projected IPM results for CSAPR, did EPA receive any input from outside the federal government? Is there anyone outside the federal government or the owners of the IPM with a comprehensive understanding of the algorithms, processes, and functions of the IPM and if so is that information publicly available?

EPA's version of IPM, as well as its regulatory applications, are regularly reviewed by the public and benefit from detailed public comments submitted to EPA in response to notice-and-comment rulemakings and Notices of Data Availability (NODAs). Documentation of the IPM modeling framework, including its algorithms, processes, and functions, is publicly available on the web at www.epa.gov/airmarkets/progsregs/epa-ipm/index.html. Along with other features of IPM, its algorithms, processes, and functions have been peer reviewed by panels of independent outside experts who focus on IPM's coal supply and transportation assumptions, natural gas assumptions, and power sector model formulation, among other areas. Beyond the modeling framework, all of the modeling assumptions specific to CSAPR are fully documented in two reports: Documentation for EPA Base Case v.4.10 Using the Integrated Planning Model (EPA 430-R-10-010) and Documentation Supplement for EPA Base Case v.4.10 FTransport - Updates for Final Transport Rule (EPA 430-K-11-004). These are publicly available for viewing and downloading from the web at www.epa.gov/airmarkets/progsregs/epa-ipm/BaseCasev410.html and www.epa.gov/airmarkets/progsregs/epa-ipm/CSAPR/docs/DocSuppv410\_FTransport.pdf. EPA explicitly solicited a broad range of public review and input on IPM modeling inputs and assumptions for use in developing the final CSAPR by publishing a Notice of Data Availability that appeared in the Federal Register on September 1, 2010 (75 FR 53613).

IPM has also been used by states, other Federal agencies, environmental groups, and industry, all of whom subject the model to their own review procedures as well.

| Group  | Meeting Date | Topic  |
|--|--------------|--|
| : NYISO and Department of Environmental Conservation                             | 7/27/2011    | Near-term operational constraints at New York units      |
| NYISO and Department of Environmental Conservation                               | 8/4/2011     | Near-term operational constraints at New York units      |
| Kansas Department of Health & Environment  | 8/11/2011    | Quantification of Kansas state budget                    |
| Louisisana Department of Environmental Quality and the Public Service Commission | 8/11/2011    | Quantification of Louisiana state budget                 |
| Mississippi Department of Environmental Quality                                  | 8/11/2011    | Quantification of Mississippi state budget               |
| NYISO and Department of Environmental Conservation                               | 8/11/2011    | Near-term operational constraints at New York units      |
| NYISO and Department of Environmental Conservation                               | 8/16/2011    | Near-term operational constraints at New York units      |
| Luminant   | 8/17/2011    | CSAPR compliance planning at Luminant units              |
| Louisisana Department of Environmental Quality and the Public Service Commission | 8/18/2011    | Quantification of Louisiana state budget                 |
| Multiple Florida Utilities   | 8/23/2011    | Basis for inclusion of Florida in CSAPR                  |
| Entergy  | 8/25/2011    | Near-term operational constraints at Entergy units       |
| Mississippi Department of Environmental Quality                                  | 8/25/2011    | Quantification of Mississippi state budget               |
| Class of '85   | 8/25/2011    | Presentation of final rule and projected impacts         |
| Xcel Energy  | 8/25/2011    | Presentation of final rule and projected impacts         |
| PSEG Energy Resources & Trade  | 8/30/2011    | Near-term operational constraints at New Jersey units    |
| NYISO and Department of Environmental Conservation                               | 8/30/2011    | Near-term operational constraints at New York units      |
| Entergy  | 8/31/2011    | Near-term operational constraints at Entergy units       |
| NYISO and Department of Environmental Conservation                               | 8/31/2011    | Near-term operational constraints at New York units      |
| NYISO and Department of Environmental Conservation                               | 9/1/2011     | Near-term operational constraints at New York units      |
| Luminant   | 9/2/2011     | CSAPR compliance planning at Luminant units              |
| NYISO and Department of Environmental Conservation                               | 9/6/2011     | Near-term operational constraints at New York units      |
| NYISO and Department of Environmental Conservation                               | 9/7/2011     | Near-term operational constraints at New York units      |
| Louisisana Department of Environmental Quality and the Public Service Commission | 9/12/2011    | Quantification of Louisiana state budget                 |
| Entergy  | 9/12/2011    | Near-term operational constraints at Entergy units       |
| NYISO and Department of Environmental Conservation                               | 9/12/2011    | Near-term operational constraints at New York units      |
| ERCOT  | 9/14/2011    | Quantification of Texas state budgets                    |
| NYISO and Department of Environmental Conservation                               | 9/15/2011    | Near-term operational constraints at New York units      |
| Luminant   | 9/16/2011    | CSAPR compliance planning at Luminant units              |
| Texas PUC, ERCOT   | 9/16/2011    | Quantification of Texas state budgets                    |
| PSEG Energy Resources & Trade  | 9/21/2011    | Near-term operational constraints at New Jersey units    |
| Dairyland Power  | 9/22/2011    | Quantification of Dairyland Power unit level allocations |
| Entergy  | 9/26/2011    | Near-term operational constraints at Entergy units       |
| Wisconsin Department of Natural Resources  | 9/26/2011    | Quantification of Wisconsin state budgets                |
| PJM  | 9/27/2011    | Near-term operational constraints at New Jersey units    |
| Southern Co.   | 9/28/2011    | Quantification of Georgia state budget                   |
| AEP  | 9/28/2011    | Quantification of Ohio state budgets                     |
| SPP  | 10/7/2011    | CSAPR and SPP  |
|  |              | ·  |

AL-16-000-4758



#### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

MAR - 2 2016

OFFICE OF

The Honorable Michael McCaul Chairman Committee on Homeland Security U.S. House of Representatives Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to send you the enclosed copy of the U.S. Environmental Protection Agency's (EPA) Fiscal Year 2015 annual report prepared in accordance with Section 203 of the Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (No FEAR Act), Public Law 107-174

This report provides information regarding the number of cases arising under the respective areas of law cited in the No FEAR Act where discrimination was alleged; the amount of money required to be reimbursed by the EPA to the Judgment Fund in connection with such cases; the number of employees disciplined for discrimination, retaliation, harassment or any other infractions of any provision of law referred to under the Act; an analysis of trends and knowledge gained; and accomplishments.

An identical letter has been sent to each entity designated to receive this report as listed in Section 203 of the No FEAR Act. The U.S. Attorney General, the Chair of the U.S. Equal Employment Opportunity Commission, and the Director of the U.S. Office of Personnel Management will also be sent a copy of the report.

If you have any questions, please contact me, or your staff may contact Thea J. Williams in the EPA's Office of Congressional and Intergovernmental Relations at williams.thea@epa.gov or (202) 564-2064.

Sincerely,

Velveta Golightly-Howell

Director

Enclosure

AL-16-000-4758

#### OFFICE OF CIVIL RIGHTS

## U.S. ENVIRONMENTAL PROTECTION AGENCY

Fiscal Year 2015

Annual Report to Congress
Pursuant to the
Notification and Federal Employee
Antidiscrimination and Retaliation
Act of 2002

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#### I. EXECUTIVE SUMMARY

The U.S. Environmental Protection Agency (EPA or Agency) provides its Annual Report to Congress as required by Section 203 of the Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (No FEAR Act), Public Law 107-174. As required, this report includes information related to the number of cases in Federal court pending or resolved in fiscal year (FY) 2015 and, in connection with those cases, their disposition; reimbursement(s) to the Judgment Fund; and the number of employees disciplined and the nature of the disciplinary action taken.

During FY 2015, there were a total of 13 cases pending before Federal courts. Among these cases, there were eight (8) claims of violation of Title VII, seven (7) claims of violation of the Rehabilitation Act, five (5) claims of violation of the Age Discrimination in Employment Act, and one (1) claim of violation of 5 U.S.C. 2302.

Final Agency Actions involving a finding of discrimination may be issued on the record or following an Equal Employment Opportunity Commission (EEOC) Administrative Hearing. The No FEAR Act requires Federal agencies to post the total number of final actions involving a finding of discrimination, along with the issues in and bases for such complaints. In 2015, EPA had one (1) finding of discrimination following an EEOC Administrative Hearing.

EPA is dedicated to establishing and maintaining a model Civil Rights Program that serves as an example for all Federal agencies. EPA's commitment to this goal is reflected in the subject report which the Agency respectfully submits for review.

#### II. BACKGROUND

On May 15, 2002, Congress enacted the "Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002," or, as it is more commonly known, the No FEAR Act. One purpose of the Act is to "require that Federal agencies be accountable for violations of antidiscrimination and whistleblower protection laws." Public Law 107-174, Summary. In support of this purpose, Congress found that "agencies cannot be run effectively, if they practice or tolerate discrimination." Public Law 107-174, Title I, General Provisions, section 101(1).

Section 203 of the No FEAR Act requires that each Federal agency submit an annual Report to Congress not later than 180 days after the end of each fiscal year. Agencies must report on the number of Federal court cases pending or resolved in each fiscal year and arising under each of the respective areas of law specified in the Act in which discrimination or retaliation was alleged. In connection with those cases, agencies must report their status or disposition; the amount of money required to be reimbursed to the Judgment Fund; and the number of employees disciplined. Agencies must also report on any policies implemented related to appropriate disciplinary actions against a Federal employee who discriminated against any individual, or committed a prohibited personnel practice; any employees disciplined under such a policy for conduct inconsistent with Federal Antidiscrimination Laws and Whistleblower Protection Laws; and an analysis of the data collected relative to trends, causal analysis, and other information.

The Act imposes additional duties upon Federal agency employers intended to reinvigorate their longstanding obligation to provide a work environment free of discrimination and retaliation. The additional obligations contained in the No FEAR Act can be broken down into four (4) categories:

- A Federal agency must reimburse the Judgment Fund for payments made to employees, former employees, or applicants for Federal employment because of actual or alleged violations of Federal employment discrimination laws, Federal whistleblower protection laws, and retaliation claims arising from the assertion of rights under those laws.
- An agency must provide annual notice to its employees, former employees, and applicants for Federal employment concerning the rights and remedies applicable to them under the employment discrimination and whistleblower protection laws.
- At least every two (2) years, an agency must provide training to its employees, including managers, regarding the rights and remedies available under the employment discrimination and whistleblower protection laws.
- Quarterly, an agency must post on its public website summary statistical data pertaining to Equal Employment Opportunity (EEO) complaints filed with the agency.

The President delegated responsibility to the Office of Personnel Management (OPM) for issuance of regulations governing implementation of Title II of the No FEAR Act. OPM published final regulations on the reimbursement provisions of the Act on May 10, 2006. Final regulations to carry out the notification and training requirements of the Act were published on July 20, 2006, and OPM published the final regulations to implement the reporting and best practices provisions of the No FEAR Act on December 28, 2006. The EEOC published its final regulations to implement the posting requirements of Title III of the No FEAR Act on August 2, 2006. The EPA has prepared the subject report based on the provisions of the No FEAR Act in accordance with OPM and EEOC's final regulations.

#### III. DATA

#### a. Civil Cases

Section 203(a)(1) of the No FEAR Act requires that agencies include in their Annual Report "the number of cases arising under each of the respective provisions of law covered by paragraphs (1) and (2) of section 201(a) in which discrimination on the part of such agency was alleged." Section 724.302 of OPM's final regulations on reporting and best practices clarifies section 203 (1) of the No FEAR Act, stating that agencies report on the "number of cases in Federal Court [district and appellate] pending or resolved...arising under each of the respective provisions of the Federal Antidiscrimination laws and Whistleblower Protection Laws applicable to them...in which an employee, former Federal employee, or applicant alleged a violation(s) of these laws, separating data by the provision(s) of law involved."

During FY 2015, there were a total of thirteen (13) cases pending before Federal courts. Among these cases, there were eight (8) claims of violation of Title VII, seven (7) claims of violation of the Rehabilitation Act, five (5) claims of violation of the Age Discrimination in Employment Act, and one (1) claim of violation of 5 United States Code 2302, Prohibited Personnel Practices.

Of the thirteen (13) cases noted above, one (1) was settled during the reporting period. As part of that settlement, the agency agreed to pay a lump sum amount of \$17,000. This amount was paid directly by the agency and, therefore, no reimbursement to the Judgment Fund was required.

Another case involved a jury finding of retaliation against the agency. In that case, the jury awarded the plaintiff \$200,000 in compensatory damages and \$27,500 in back pay. The agency is awaiting a final order to be issued by the court on the amount of attorney's fees owed by the agency. A final decision on whether the agency will appeal the jury's finding in the case is pending.

Of the remaining eleven (11) cases, the agency prevailed on five (5) after filing dispositive motions with the court. An appeal on the dismissal of one (1) of those cases is pending. The agency is awaiting decisions on four (4) other cases in which it filed dispositive motions. Two (2) other cases are currently in pre-trial proceedings.

#### b. Reimbursement to the Judgment Fund

During FY 2015, the agency was not required to reimburse the Judgment Fund.

c. Disciplinary Actions (5 Code of Federal Regulations (C.F.R.) § 724.302 (a)(3) & (5))

There were no employees disciplined in FY 2015, in connection with any cases described in paragraph (a) above, or for any other conduct that is inconsistent with Federal Antidiscrimination Laws and Whistleblower Protection Laws or for conduct that constitutes prohibited personnel practices. Discipline as defined in § 724.102 means any one or a combination of the following actions: reprimand, suspension without pay, reduction in grade or pay, or removal.

#### d. Final Year-End Data Posted Under Section 301(c)(1)(B)

The final year-end data posted pursuant to section 301(c)(1)(B) of the No FEAR Act are included in Appendix 1. The final year-end data indicate that during FY 2015, there was a twenty-one percent (21%) increase in the number of formal complaints filed compared to FY 2014. In FY 2014, forty-eight (48) formal complaints of discrimination were filed with the agency. During FY 2015, there were fifty-eight (58) new administrative complaints of discrimination filed by fifty-seven (57) employees or applicants for employment. One (1) agency employee filed more than one (1) complaint during the reporting period. Based on a five (5) year trend analysis, the relatively low number of complaints filed in FY 14 was an anomaly that the agency attributed to FY 2014 being the only year within that trend analysis to report a

large separation of employees, including those employees participating in early out/buy-out retirement initiatives.

During FY 2015, EPA's Office of Civil Rights (OCR) saw a slight increase in the investigation timeframe by five percent (5%) (245.08 days in FY 2014 to 257.40 days in FY 2015). During FY 2015, EPA had one (1) finding of discrimination following an EEOC Administrative Hearing. FY 2015 complaint totals can be found in their entirety at Appendix 1 of this report.

#### e. Policy Description on Disciplinary Actions (5 C.F.R. § 724.302(a)(6))

The 2014 Agency EEO Policy addresses a variety of topics, including prohibition of discrimination in the workplace, and it includes a reminder to all employees that the agency will review any finding of discrimination and take disciplinary or corrective action, when appropriate. The EEO Policy, as well as information on addressing harassment and reasonable accommodation, was discussed in EPA's mandatory Successful Leaders Program for all new Agency supervisors. The 2014 EEO Policy can be found in its entirety at Appendix 3 of this report.

Additionally, EPA Order 3110.6B, Adverse Actions, EPA Order 3120.1B, Conduct and Discipline, EPA Order 3120.2, Conduct and Discipline, Senior Executive Service, and applicable collective bargaining agreements, provide guidance to managers about the type of disciplinary actions that may be taken, when appropriate, in response to a finding of discriminatory behavior or conduct. These actions may range from informal corrective actions, including oral admonishments and written warning, to more formal disciplinary actions such as a suspension without pay or removal to more formal disciplinary actions such as reprimands, suspensions without pay, reductions in grade or pay, up to removal.

EPA has an ongoing commitment to continue to include clear expectations about EEO in performance standards for managers. EPA has maintained revised Senior Executive Service standards that not only focus on preventing discrimination in hiring activities and promoting merit systems principles, but also require senior leaders to be personally involved in leading and implementing EEO and civil rights initiatives consistent with applicable laws. In addition, at the end of every performance cycle, the Director of OCR, Performance Review Board members, and Executive Review Board members evaluate management self-assessments to ensure that the respective rating is an appropriate reflection of the accomplishments listed.

#### f. No FEAR Act Training Plans (5 C.F.R. § 724.302 (a)(9))

No Fear Act training was not required for current agency employees in FY 2015. However, new employees were required to take the training within their first 90 days of onboarding. For FY 2016, agency employees are required to complete the No Fear training no later than December 31, 2016. The agency is committed to achieving a 100% completion rate for current employees for FY 2016.

## IV. ANALYSIS OF TRENDS, CAUSAL ANALYSIS AND PRACTICAL KNOWLEDGE GAINED THROUGH EXPERIENCE (5 C.F.R. § 724.302 (a)(7))

At the conclusion of FY 2015, the bases of alleged discrimination most often raised were: (1) retaliation; (2) age; and (3) sex. The fifty-eight (58) EEO complaints filed in EPA in FY 2015 contained thirty (30) allegations of retaliation, twenty-eight (28) allegations of age discrimination, and twenty-seven (27) allegations of sex discrimination. While retaliation remains the top basis alleged in complaints filed, it should be noted that retaliation, age and sex are the top three (3) bases most frequently alleged in discrimination complaints throughout the entire Federal workforce.<sup>1</sup>

The data show that the 0.36% of the agency workforce of 15,566 employees that have filed complaints falls well below the last reported government-wide average of 0.5% of the workforce that did.<sup>2</sup> EPA continues to stress training as a method for ultimately reducing the number of Federal court judgments, awards, and formal complaints, by having managers and supervisors continuously expand their knowledge of their responsibilities to promote equal employment opportunity. Additionally, EPA promotes training to help employees understand they also have a role in creating a workplace that promotes EEO.

EPA completed investigations for complaints pending during FY 2015 with an average processing time of 257 days with only two (2) investigations exceeding required time frames. As discussed in the FY 2012 No Fear Report, and implemented effectively during FY 2013 and 2014, the agency's revamped, streamlined investigative process has significantly improved the proportion of cases adjudicated within the applicable timeframes.

#### Pending Complaints Where Investigations Exceed Required Time Frames



During FY 2015, EPA's OCR procedurally dismissed six (6) complaints. The average time to process a dismissal was ninety-nine (99) days, reflecting a 62% decrease from the FY 2014 processing average of 258 days pending prior to dismissal. Contributing factors include the addition of a second OCR attorney advisor.

#### V. ADJUSTMENTS TO BUDGET (5 C.F.R. § 724.302(a)(2)(ii))

As reported, during FY 2015, the agency was required to reimburse the Judgment Fund in connection with two (2) settled cases. One settlement involved a payment of \$650,000, while the

As reported in FY 2014 Report of the Federal Workforce, http://www.eeoc.gov/federal/reports/fsp2014/index.cfm.

<sup>&</sup>lt;sup>2</sup> As reported in FY 2014 Report of the Federal Workforce. http://www.eeoc.gov/federal/reports/fsp2012/index.cfm.

other settlement involved a total payment of \$670,000, \$170,000 of which was designated for the payment of attorneys' fees.

## VI. ACTIONS PLANNED OR TAKEN TO IMPROVE COMPLAINT OR CIVIL RIGHTS PROGRAMS (5 C.F.R. § 724.302 (a)(7)(iv))

EPA's Civil Rights program has taken several steps to strengthen EPA's commitment to civil rights and equal employment opportunity in the workplace:

- EPA will continue to utilize the newly created, internal FAD management plan to strategically reduce the agency FAD docket.
- OCR is focused on improving processing time in accepting/dismissing complaints. In
  addition to imposing time elements in its EEO professionals' performance plans, the
  agency has two full-time attorneys among its staff in the civil rights office to review all
  formal complaints for acceptance/dismissal, write all dismissal decisions, and provide
  EEOC case law in its analyses to support its dismissal decisions.
- The EEO Training Committee continues to offer monthly training teleconferences to all EEO Counselors. The training has been presented by the EEO community, internal EPA partners and outside vendors. The timeliness and quality of EEO Counselors' Reports continue to show marked improvement and the utilization of and success rate for ADR have all significantly improved.
- EPA will increase its efforts to market the ADR program during the informal phase of EEO counseling, via centralized EEO intake. OCR anticipates that using ADR in this way will help reduce costs associated with adjudicating formal complaints. OCR will continue using the shared neutrals programs in regions at no cost to EPA. OCR will market and promote ADR as part of overall agency policy.

The agency is currently developing a formal ADR program that will focus on increasing its offer rate in the formal complaint process to attain an anticipated increase in its resolution rate. This program will continue to promote resolution at the lowest possible level by reengaging complainants and managers during a complaint's investigative stage and seek resolution prior to completing the investigation.

 OCR will continue to monitor and evaluate its current Standard Operation Procedures for investigations and its Statement of Work with the United States Postal Service, its investigative contractor. OCR will make adjustments to promote the efficiency of the investigative process with the goal of completing investigations within the 180 day requirement.

- To meet delineated goals, OCR will reevaluate its review and routing processes to determine the most efficient methods for obtaining legal sufficiency reviews while aggressively seeking to meet the regulatory requirement.
- within the EPA, every member of the Senior Executive Service has had a performance standard related to equal employment opportunity and diversity in the workplace for several years. Senior managers must outline the specific related initiatives and actions they have personally undertaken and the results or effectiveness of those actions. At the end of every performance cycle, the Director of the Office of Civil Rights, Performance Review Board members, and Executive Review Board members review these managers' self-assessments to verify that the respective rating for the EEO performance standard is a reflection of the accomplishments listed.
- All EPA investigators and counselors received the required annual training and/or refresher training in accordance with Management Directive 110.
- EPA works to comply with orders from Administrative Judges in a timely manner, and
  this is a factor that is included in the performance standard of the Assistant Director,
  Office of Civil Rights, Employment Complaints Resolution Staff (ECRS). In addition,
  EPA has established systems to ensure that the agency initiates any monetary or other
  relief in a timely manner.
- OCR posts all No FEAR statistics on the OCR website on a quarterly basis.
- OCR management members make presentations during the monthly new employee
  orientations to ensure that all new employees are notified of the rights and remedies
  applicable to them under the employment discrimination and whistleblower protection
  laws. New employees are also reminded of their obligation to complete No Fear Training
  within ninety (90) days of onboarding.
- The Civil Rights Director and EEO Officials across the agency participate in briefings, listening sessions, and brainstorming sessions to discuss EEO with managers, senior leaders and employees in order to identify and address any potential barriers and specific action items that can continue to improve the agency's EEO and Civil Rights program.

#### APPENDIX 1

# Equal Employment Opportunity Data Posted Pursuant to the No Fear Act:

## EPA (and below)

| For 4th Quarte                | er 2015 j                 | for peri | iod end     | ing Sep | tember   | 30, 2015 |
|-------------------------------|---------------------------|----------|-------------|---------|----------|----------|
|                               |                           |          | Con         | nparati | ve Data  | V.       |
| Complaint Activity            | P                         | revious  | 2015Thru09- |         |          |          |
|                               | 2010                      | 2011     | 2012        | 2013    | 2014     | 30       |
| Number of Complaints<br>Filed | 70                        | 64       | 79          | 62      | 48       | 58       |
| Number of Complainants        | 63                        | 61       | 77          | 59      | 45       | 57       |
| Repeat Filers                 | 7                         | 3        | 2           | 3       | 3        | 1        |
|                               |                           |          | Co          | mparat  | ive Data |          |
| Complaints by Basis           | Previous Fiscal Year Data |          |             |         |          |          |
| Note: Complaints can be       |                           |          |             |         |          | 2015Th00 |

| Complaints by Basis   |      | Comparative Data |                       |      |      |                   |  |  |
|---|------|------------------|-----------------------|------|------|-------------------|--|--|
| Complaints by Basis   | P    | revious          | a 1800-1806-1804-1804 |      |      |                   |  |  |
| Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed. | 2010 | 2011             | 2012                  | 2013 | 2014 | 2015Thru09-<br>30 |  |  |
| Race  | 39   | 25               | 40                    | 22   | 23   | 25                |  |  |
| Color   | 14   | 10               | 13                    | 7    | 10   | 11                |  |  |
| Religion  | 5    | 2                | 9                     | 4    | 3    | 5                 |  |  |

| •   |                  |                                    |          |             |          |                   |
|---|------------------|------------------------------------|----------|-------------|----------|-------------------|
| Reprisal  | 47               | 39                                 | 44       | 31          | 28       | 30                |
| Sex   | 28               | 29                                 | 42       | 27          | 14       | 27                |
| PDA   | 0 .              | 0                                  | 0        | 0           | 0        | 0                 |
| National Origin   | 14               | 10                                 | 13       | 12          | 10       | 11                |
| Equal Pay Act   | . 0              | 2                                  | . 1      | 1           | : 1      | 2                 |
| Age   | 28               | 21                                 | 37       | 22          | 22       | 28                |
| Disability  | 21               | 24                                 | 25       | 19          | 18       | 18                |
| Genetics  | 0                | 0                                  | 0        | . 0         | 0 .      | 1                 |
| Non-EEO   | 0                | 1                                  | 8        | 7           | 6        | 6                 |
|   | Comparative Data |                                    |          |             |          |                   |
| Complaints by Issue   | Pı               | evious                             | Fiscal Y | ear Da      | ta       |                   |
| Note: Complaints can be filed alleging multiple bases. The sum of the bases                                     | 2010             | 2011                               | 2012     | 2013        | 2014     | 2015Thru09-<br>30 |
| may not equal total complaints filed.   |                  | Mark vara e vocalization del terra |          |             |          |                   |
| · ·   | 2                | 1                                  | 5        | 5.          | 7        | 5                 |
| complaints filed.   | 2                | 1 12                               |          | 5.          | 7 5      | 5 16              |
| complaints filed.  Appointment/Hire   | 1                | <u> </u>                           | 5        |             | <u> </u> |                   |
| complaints filed.  Appointment/Hire  Assignment of Duties   | 18               | 12                                 | 5 12     | 5           | 5        | 16                |
| complaints filed.  Appointment/Hire  Assignment of Duties  Awards   | 18               | 12                                 | 5 12 5   | 5           | 5        | 16                |
| complaints filed.  Appointment/Hire  Assignment of Duties  Awards  Conversion to Full-time                      | 18               | 12                                 | 5 12 5   | 5           | 5        | 16                |
| complaints filed.  Appointment/Hire  Assignment of Duties  Awards  Conversion to Full-time  Disciplinary Action | 18<br>6<br>0     | 2 0                                | 5 12 5 2 | 5<br>0<br>0 | 3 0      | 16 4 0            |

| Removal  | : 0  | 1                | 2                    | 0          | . 3                 | 2                |
|--|--|------------------|----------------------|------------|---------------------|------------------|
| Other  | 3  | 2                | 4                    | 2          | 0                   | 0                |
| Duty Hours   | 1  | 3                | 3                    | 2          | 0                   | 0                |
| Evaluation Appraisal   | 14   | 11               | 21                   | 9          | 5                   | 5                |
| Examination/Test   | 0  | 1                | 0                    | 0          | 0.                  | 0.               |
| Harassment   |  |                  |                      |            | Prince See a second |                  |
| Non-Sexual   | 35   | 30%              | 32                   | 22         | 19                  | 28               |
| Sexual   | 1  | 1                | iji.                 | 2          | 1                   | 3 .1             |
| Medical Examination  | 0  | 0                | 0                    | 0          | 0                   | 0 .              |
| Pay (Including Overtime)   | 3  | 4                | 4                    | 1          | 0                   | 1                |
| Promotion/Non-Selection  | 24   | 18               | .26                  | 10         | 8                   | 5                |
| Reassignment   | go affergráfið Svell eindelig í Írik, hekkeyskýrir - pro |                  | ·                    |            |                     |                  |
| Denied   | 4  | 3                | 3                    | 0          | 2                   | 3. 3             |
|  |  | 3,016            |                      |            |                     |                  |
| Directed Directed  | 6  | 1.               | 5                    | 2          | 2                   | 4.               |
|  |  | 1 8              |                      | 2          | 2                   |                  |
| Directed   | 6  | 3 3 60           | 5                    |            |                     | 1,8              |
| Directed  Reasonable Accommodation   | <b>6</b>   | 8                | <b>5</b>             | 9          | 3                   | 4                |
| Directed  Reasonable Accommodation  Reinstatement  | 2  | 8<br>0           | 5<br>7 ·<br>0        | 9          | 3                   | 4 0              |
| Directed  Reasonable Accommodation  Reinstatement  Retirement  | 6 2 0 0  | 8<br>0<br>0      | 5<br>7 ·<br>0<br>2 . | 9 0        | 3 0 2               | 4<br>0           |
| Directed  Reasonable Accommodation  Reinstatement  Retirement  Termination  Terms/Conditions of            | 6 2 0 0 4  | 8<br>0<br>0<br>9 | 7 · 0 2              | 9 0 1 4    | 3 0 2 1             | 4 0 1 1          |
| Directed  Reasonable Accommodation  Reinstatement  Retirement  Termination  Terms/Conditions of Employment | 2<br>0<br>0<br>4   | 8<br>0<br>0<br>9 | 7 · 0 2              | 9 0 1 4 12 | 3<br>0<br>2<br>1    | 4<br>0<br>1<br>1 |

|   |                  |                                     |  | C      | отр   | ara    | tive D  | ata      |             |
|---|------------------|-------------------------------------|--|--------|-------|--------|---------|----------|-------------|
| Processing Time                         |                  | Previo                              | ous I  | Fisc   | al Ye | ear    | Data    |          | 2015Thru09- |
|   | 2010             | 2011                                |  | 201    | 12    | 2      | 013     | 2014     | 30          |
| Complaints pending d                    | uring fisc       | al year                             | ,  | ,      |       |        |         | ٦        |             |
| Average number of days in investigation | 285.43           | 274.3                               | 3  | 326    | .57   | 31     | 1.07    | 245.08   | 257.40      |
| Average number of days in final action  | 310.67           | 449.9                               | 05   | 409.47 |       | 198.44 |         | 289.65   | 321.81      |
| Complaints pending d                    | uring fisc       | al year                             | whe  | re h   | earin | g v    | vas req | uested   |             |
| Average number of days in investigation | 213.67           | 263.5                               | 7  | 324    | .42   | 31     | 4.44    | 249.50   | 259.25      |
| Average number of days in final action  | 0                | 0                                   | The second secon | 326    | .57   | 3      | 5.00    | 12.00    | 36.00       |
| Complaints pending d                    | uring fisc       | al year                             | whe  | re h   | earin | ıg v   | vas not | requeste | d           |
| Average number of days in investigation | 339.25           | 312.0                               | 00   | 328.83 |       | 306.58 |         | 233.87   | 253.36      |
| Average number of days in final action  | 310.67           | 449.9                               | 95   | 467.50 |       | 218.88 |         | 375.08   | 607.62      |
|   | Comparative Data |                                     |  |        |       |        |         |          |             |
| Complaints Dismiss Agency               | sed by           | Previous Fiscal Year Data 2015Thru0 |  |        |       |        |         |          |             |
|   |                  | 2010                                | 20   | 11     | 201   | 2      | 2013    | 2014     | 30          |
| Total Complaints Dis                    | missed           | 5                                   |  | )      | 10    |        | 6       | 9        | 6           |

| Average days pending to dismissal   | ssal |   |                                       | 3  | 441   | 21:     | 2     | 123    |      | 258 | 99   |         |
|---|------|---|---------------------------------------|--|-------|---------|-------|--------|------|-----|------|---------|
|   | Com  | plain                                   | ts V                                  | Vithd  | lraw  | n by (  | Com   | plair  | ant  | s   |      |         |
| Total Complaints Wit<br>by Complainants   | hdra | ıwn                                     | 2                                     | 2 3  |       | 12      | 12 19 |        | 3    |     |      | 8       |
|   |      |   |                                       | To the same of the |       | Comp    | oara  | tive l | Data | l   |      |         |
| Total Final Agency  |      | *************************************** | Pr                                    | eviou  | ıs Fi | iscal Y | ear   | Data   |      |     | 2015 | Thru09- |
| Actions Finding<br>Discrimination   | 2    | 010                                     | 2                                     | 011  | 2     | 012     | 20    | 13     | 2    | 014 |      | 30      |
|   | #    | %                                       | #                                     | %  | #     | %       | #     | %      | #    | %   | #    | %.      |
| Total Number<br>Findings  | 0    |   | 0                                     |  | 0     |         | 0     |        | 1    |     | 1    |         |
| Without Hearing   | 0    | 0                                       | 0                                     | 0  | 0     | 0       | 0     | 0      | 0    | 0.  | 0    | 0       |
| With Hearing  | 0    | 0                                       | 0                                     | 0  | 0     | 0       | 0     | 0      | 1    | 100 | 1    | 100     |
| Findings of   |      |   | · · · · · · · · · · · · · · · · · · · | -  |       | Comp    | ara   | tive I | ata  |     |      |         |
| Discrimination<br>Rendered by Basis   |      |   | Pr                                    | eviou  | s Fi  | scal Y  | ear   | Data   |      |     | 2015 | Thru09- |
| Note: Complaints  | 20   | 10                                      | 20                                    | )11  | 2     | 012     | 20    | 013    | 2    | 014 |      | 30      |
| can be filed alleging multiple bases. The sum of the bases may not equal total complaints and findings. | #    | %                                       | #                                     | %  | #     | %       | #     | %      | #    | %   | · #  | %       |
| Total Number<br>Findings  | 0    |   | 0                                     |  | 1     |         | 0     |        | 1    |     | . 1  |         |
| Race  | 0    | .0                                      | 0                                     | 0  | 0     | 0       | 0     | 0      | 1    | 100 | Ò    | 0       |
| Color   | 0    | 0                                       | 0                                     | 0  | 0     | .0      | 0     | 0      | 0    | 0   | 0    | 0       |
| Religion  | 0    | 0                                       | 0                                     | 0  | 0     | 0       | 0     | 0      | 0    | 0   | 0    | 0       |

| Reprisal                  | 0 | 0   | 0 | 0   | 1   | 100 | 0 | 0  | 0  | 0   | 0  | 0   |
|---------------------------|---|-----|---|-----|-----|-----|---|----|----|-----|----|-----|
| Sex                       | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 1  | 100 | 0  | 0   |
| PDA                       | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| National Origin           | 0 | . 0 | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| Equal Pay Act             | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | . 0 |
| Age                       | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | .1 | 100 | 0  | 0   |
| Disability                | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 1  | 100 |
| Genetics                  | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0. | 0   |
| Non-EEO                   | 0 | 0   | 0 | 0   | 0 . | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| Title sales and the       |   |     |   |     |     |     |   |    |    |     |    |     |
| Findings After<br>Hearing | 0 |     | 0 |     | 0   |     | 0 |    | 1  |     | 1  |     |
| Race                      | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 1  | 100 | 0  | 0   |
| Color                     | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| Religion                  | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | . 0 | 0  | 0   |
| Reprisal                  | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| Sex                       | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 1  | 100 | 0  | 0   |
| PDA                       | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| National Origin           | 0 | Ō   | 0 | 0   | 0   | 0   | 0 | .0 | 0  | 0   | 0  | 0   |
| Equal Pay Act             | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
| Age                       | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 1  | 100 | 0  | 0   |
| Disability                | 0 | 0   | 0 | 0   | 0   | 0   | 0 | 0  | 0  | 0   | 1  | 100 |
| Genetics                  | 0 | 0   | 0 | 0 , | 0   | 0   | 0 | 0  | 0  | 0   | 0  | 0   |
|                           |   |     |   |     |     |     |   |    |    |     |    |     |

| Non-EEO                          | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
|----------------------------------|----|------------------------------|----|----------|------|--------|-----|--------|------|-----|------|--|
|                                  |    |                              |    | 1),<br>1 |      |        |     |        |      |     |      | 14   |
| Findings Without<br>Hearing      | 0  |                              | 0. |          | 1    |        | 0   |        | 0    |     | 0    |  |
| Race                             | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| Color                            | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| Religion                         | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| Reprisal                         | 0  | 0                            | 0  | Ö        | 1    | 100    | 0   | 0      | 0    | 0   | 0    | 0  |
| Sex                              | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| PDA                              | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| National Origin                  | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| Equal Pay Act                    | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0.  | 0    | 0  |
| Age                              | 0  | 0                            | 0  | 0        | 0    | 0      | 0 . | 0      | 0    | 0   | 0    | 0  |
| Disability                       | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| Genetics                         | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | 0   | 0    | 0  |
| Non-EEO                          | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 0    | Ö   | 0    | 0  |
|                                  |    |                              |    |          |      | Comp   | ara | tive I | )ata |     |      | The state of the s |
| Findings of                      |    | and the second second second | Pr | eviou    | s Fi | scal Y | ear | Data   |      |     | 2015 | Thru09-  |
| Discrimination Rendered by Issue | 20 | 2010 2011 2012 2013 2014 30  |    |          |      |        |     |        | . 1  |     |      |  |
|                                  | #  | %                            | #  | %        | #    | %      | #   | %      | #    | %   | #    | %  |
| Total Number<br>Findings         | 0  |                              | 0  |          | 1    |        | 0   |        | 1    |     | 1    |  |
| Appointment/Hire                 | 0  | 0                            | 0  | 0        | 0    | 0      | 0   | 0      | 1    | 100 | 0    | 0  |

| Assignment of Duties        | 0 | 0        | 0           | 0. | 0  | .0  | 0 | 0   | 0 | 0 - | 0  | 0   |
|-----------------------------|---|----------|-------------|----|----|-----|---|-----|---|-----|----|-----|
| Awards                      | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Conversion to Full-<br>time | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Disciplinary Action         |   |          |             |    |    |     |   | :   |   |     |    |     |
| Demotion                    | 0 | 0        | 0           | 0  | 0  | 0   | 0 | . 0 | 0 | 0   | 0  | 0   |
| Reprimand                   | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Suspension                  | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Removal                     | 0 | 0        | Ó           | .0 | 0  | 0   | 0 | 0.  | 0 | 0   | 0. | 0   |
| Other                       | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | .0 | 0   |
| Duty Hours                  | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 1  | 100 |
| Evaluation<br>Appraisal     | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Examination/Test            | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Harassment                  |   | <u> </u> | <del></del> |    |    |     |   |     |   |     |    |     |
| Non-Sexual                  | 0 | 0        | 0           | 0  | 1  | 100 | 0 | 0   | 0 | 0   | 0  | 0   |
| Sexual                      | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0 - | 0  | 0   |
| Medical<br>Examination      | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Pay (Including<br>Overtime) | 0 | 0        | 0           | 0  | 0  | . 0 | 0 | 0   | 0 | 0.  | 0  | 0   |
| Promotion/Non-<br>Selection | 0 | 0        | 0           | 0  | 0  | 0   | 0 | 0   | 0 | 0   | 0  | 0   |
| Reassignment                |   | 1        |             | 1  | i! |     | 3 |     |   |     |    |     |

| Denied                         | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
|--------------------------------|---|-----|---|---|---|---|----|---|---|---------|---|-------|
| Directed                       | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Reasonable<br>Accommodation    | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 1 | 100   |
| Reinstatement                  | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Retirement                     | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Termination                    | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Terms/Conditions of Employment | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Time and<br>Attendance         | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Training                       | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | • 0 . |
| Other - User<br>Defined        | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | Ó | 0     |
|                                |   |     |   |   |   |   |    |   |   | 4 1 5 C |   |       |
| Findings After<br>Hearing      | 0 |     | 0 |   | 0 |   | .0 |   | 1 |         | 1 |       |
| Appointment/Hire               | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 1 | 100     | 0 | 0     |
| Assignment of Duties           | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Awards                         | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Conversion to Full-time        | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Disciplinary Action            |   |     |   |   |   |   |    |   |   |         |   | ,     |
| Demotion                       | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
| Reprimand                      | 0 | . 0 | 0 | 0 | 0 | 0 | 0  | 0 | 0 | 0       | 0 | 0     |
|                                |   |     |   |   |   |   |    |   |   |         |   |       |

| Suspension                  | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0.  |
|-----------------------------|---|-----|---|---|---|---|----|---|-----|-----|---|-----|
| Removal                     | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0 . |
| Other                       | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Duty Hours                  | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 1 | 100 |
| Evaluation<br>Appraisal     | 0 | .0  | 0 | 0 | 0 | 0 | 0  | 0 | .0  | 0   | 0 | 0   |
| Examination/Test            | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | . 0 | 0 | 0   |
| Harassment                  |   |     |   |   |   |   | -  |   |     |     | , |     |
| Non-Sexual                  | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Sexual                      | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Medical<br>Examination      | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Pay (Including<br>Overtime) | 0 | 0   | 0 | 0 | 0 | 0 | Ó  | 0 | 0.  | 0   | 0 | . 0 |
| Promotion/Non-<br>Selection | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0 - | 0   | 0 | 0   |
| Reassignment                |   |     |   |   |   |   | -A | , |     |     |   |     |
| Denied                      | 0 | . 0 | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Directed                    | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Reasonable<br>Accommodation | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 1 | 100 |
| Reinstatement               | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Retirement                  | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |
| Termination                 | 0 | 0   | 0 | 0 | 0 | 0 | 0  | 0 | 0   | 0   | 0 | 0   |

| Terms/Conditions of Employment | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
|--------------------------------|---------------|---|----------|-----|---|---|-----|---|----------|-----|-----|---|
| Time and<br>Attendance         | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Training                       | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Other - User<br>Defined        | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0 . | 0   | 0 |
|                                |               |   |          |     |   |   |     |   |          | i i |     |   |
| Findings Without<br>Hearing    | 0.            |   | 0        |     | 1 |   | 0   |   | 0        |     | 0   |   |
| Appointment/Hire               | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Assignment of Duties           | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Awards                         | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | . 0 | 0 |
| Conversion to Full-time        | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Disciplinary Action            | - <del></del> |   | <u> </u> |     |   |   |     | , | <u> </u> |     |     |   |
| Demotion                       | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Reprimand                      | 0             | 0 | 0        | 0   | 0 | 0 | 0   | . 0                                     | 0        | 0   | 0   | 0 |
| Suspension                     | 0             | 0 | 0        | - 0 | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Removal                        | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Other                          | 0             | 0 | 0        | 0   | 0 | 0 | . 0 | 0                                       | 0        | 0   | 0   | 0 |
| Duty Hours                     | 0.            | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0 · | 0   | 0 |
| Evaluation<br>Appraisal        | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |
| Examination/Test               | 0             | 0 | 0        | 0   | 0 | 0 | 0   | 0                                       | 0        | 0   | 0   | 0 |

| Harassment                     |   |   |   | Ang 19: 19: 10: 10 Albamotor & 1 |    |  |   |   |   |    |     |   |
|--------------------------------|---|---|---|----------------------------------|----|--|---|---|---|----|-----|---|
| Non-Sexual                     | 0 | 0 | 0 | 0                                | 1  | 100                                    | 0 | 0 | 0 | 0  | 0 . | 0 |
| Sexual                         | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Medical<br>Examination         | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Pay (Including<br>Overtime)    | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Promotion/Non-<br>Selection    | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Reassignment                   |   |   |   |                                  |    | ······································ |   |   |   |    |     |   |
| Denied                         | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0.  | 0 |
| Directed                       | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0. | 0   | 0 |
| Reasonable<br>Accommodation    | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Reinstatement                  | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Retirement                     | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Termination                    | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Terms/Conditions of Employment | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Time and<br>Attendance         | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Training                       | 0 | 0 | 0 | 0                                | .0 | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |
| Other - User<br>Defined        | 0 | 0 | 0 | 0                                | 0  | 0                                      | 0 | 0 | 0 | 0  | 0   | 0 |

| Darking Complete Piles  |      |         | Com      | parativ | e Data | udaru — "Pirini Sara Anad I nga gagana Pro-Parahingah aragala nga k |
|---|------|---------|----------|---------|--------|---|
| Pending Complaints Filed in Previous Fiscal Years by                      | Pı   | revious | Fiscal Y | ear Da  | ita    | 2015Thru09-   |
| Status  | 2010 | 2011    | 2012     | 2013    | 2014   | 30  |
| Total complaints from previous Fiscal Years                               | 52   | 61      | 59       | 87      | 87     | 87  |
| Total Complainants  | 47   | 48      | 52       | 79      | 82     | 78  |
| Number complaints pending   |      |         |          | ,       |        |   |
| Investigation   | 39   | 51      | 20       | 11      | 3      | 1 .   |
| ROI issued, pending<br>Complainant's action                               | 0    | 0       | 3        | 3       | 0 .    | 0   |
| Hearing   | 25   | 36      | 38       | 59      | 65     | 68  |
| Final Agency Action   | 37   | 19      | 12       | 22      | 20     | 20  |
| Appeal with EEOC<br>Office of Federal<br>Operations                       | 1    | 4       | 8        | 15      | 13     | 14  |
|   | ,    |         | Com      | parativ | e Data |   |
| Complaint Investigations  | Pı   | revious | Fiscal Y | ear Da  | ita    | 2015Thru09-   |
|   | 2010 | 2011    | 2012     | 2013    | 2014   | 30  |
| Pending Complaints Where<br>Investigations Exceed<br>Required Time Frames | 69   | 70      | 31       | 22      | 3      | 2   |

### **APPENDIX 2**



### THE ADMINISTRATOR OF THE ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON D.C. 20460

DEC 1 5 2014

### **MEMORANDUM**

SUBJECT: Anti-Harassment Policy Statement

FROM: Gina McCarthy

TO: All Employees

I want to reaffirm the U.S. Environmental Protection Agency's commitment to prohibit harassment of any kind, as clearly stated in our agency's anti-harassment policy. Harassment is unlawful when it is directed at an individual because of a lawfully protected basis and is sufficiently severe or pervasive that it creates a hostile work environment or takes the form of a tangible employment action. It is EPA policy to ensure that appropriate measures are implemented to prevent harassment, either sexual or nonsexual, in the workplace and to correct harassing conduct before it becomes severe or pervasive. EPA policy also strictly prohibits any retaliation against an employee who reports a concern about workplace harassment or assists in any inquiry about such a report.

For the purposes of this policy, unlawful harassment is defined as any unwelcome verbal or physical conduct based on race; color; sex, including pregnancy and gender identity/expression; national origin; religion; age; prior protected Equal Employment Opportunity activity; protected genetic information; sexual orientation or status as a parent when:

- · the behavior can reasonably be considered to adversely affect the work environment; or
- an employment decision affecting the employee is based upon the employee's acceptance or rejection of such conduct.

Sexual harassment can be either a form of harassment based on a person's sex that need not involve conduct of a sexual nature or harassment involving any unwelcome sexual advance, request for sexual favors or other verbal or physical conduct of a sexual nature when:

- submission to such conduct is made explicitly or implicitly a term or condition of an employee's
  job, pay or career;
- submission to or rejection of such conduct by an employee is used as a basis for career or employment decisions affecting that employee; or
- such conduct has the purpose or effect of unreasonably interfering with an employee's
  performance or creates an intimidating, hostile or offensive environment.

Sexual harassment need not involve members of the opposite sex and can be perpetrated by and against members of either sex.

### Examples of workplace harassment include:

- Oral or written communications that contain offensive name calling, jokes, slurs, negative stereotyping, hostility or threats. This includes comments or jokes that are distasteful or targeted at individuals or members of the lawfully protected bases set forth above.
- Nonverbal conduct, such as staring, leering and giving inappropriate gifts.
- Physical conduct, such as assault or unwanted touching.
- Visual images, such as derogatory or offensive pictures, cartoons or drawings. Such prohibited images include those in hard copy or electronic form.

The EPA does not permit harassment by or against anyone in the workplace. This includes any employee, applicant for EPA employment, grantee, contractor, Senior Environmental Employment enrollee or Federal Advisory Committee Act member. Workplace harassment should be reported immediately by the affected person to a first-line supervisor, a higher-level supervisor or manager in her or his cliain of command, the Office of Inspector General or Labor and Employee Relations staff, as appropriate. Supervisors, in consultation with their human resources or legal offices, must conduct prompt, thorough and impartial inquiries.

If necessary and to the extent possible, measures must be taken to safeguard the anonymity of employees who file complaints. If management, in consultation with legal counsel, determines that harassment has occurred, it must be corrected as soon as possible. Harassing conduct by EPA employees need not rise to the level of unlawful harassment for it to constitute misconduct subject to corrective or disciplinary action.

In addition, EPA employees or applicants for employment may also use the complaint process established by the U.S. Equal Employment Opportunity Commission to file a complaint of harassment based on race, color, sex, religion, national origin, age, disability, prior protected EEO activity and protected genetic information for individual redress. To invoke that process, EPA employees and applicants must contact an EEO counselor within 45 days of an alleged incident of harassment. Reporting harassment to a supervisor in accordance with the previous paragraph does not satisfy this requirement and does not invoke the EEOC's process. EPA employees or applicants for employment may also report harassment based on sexual orientation and status as a parent to the EPA Office of Civil Rights.

Should you have any questions or need additional information about this policy, please contact the EPA Office of Human Resources at (202) 564-4646 or the EPA Office of Civil Rights at (202) 564-7272. Additional resources are available by visiting intranet epa-gov/civilrights/lawsandstatus.htm.

### APPENDIX 3



### THE ADMINISTRATOR OF THE ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON D.C. 20460

DEC - 3 2014

### **MEMORANDUM**

SUBJECT: 2014 Equal Employment Opportunity Policy Statement

FROM:

Gina McCarthy

TO:

All Employees

I am proud to reaffirm the U.S. Environmental Protection Agency's commitment to equal employment opportunity in the workplace. Fostering a diverse and inclusive work environment through equal employment is essential to our work and our service to the American people,

The EPA cannot and will not tolerate discrimination based on race; color; religion; sex, including pregnancy, sex stereotyping, gender identity or gender expression; national origin; sexual orientation; physical or mental disability; age; protected genetic information; status as a parent; marifal status; political affiliation; or retaliation based on previous EEO activity. In addition, the EPA will not tolerate any type of harassment—either sexual or nonsexual—of any employee or applicant for employment. Employment decisions, including those related to hiring, training or awards, must be made in accordance with the merit-system principles in 5 U.S.C. § 2301.

I expect our management team to continue to provide first-class leadership in support of equalemployment opportunities. I ask that EPA managers and employees take responsibility for treating each other with dignity and respect, reporting discriminatory conduct and preventing all types of discrimination, including harassment.

The EPA promotes the use of alternative-dispute-resolution methods to resolve workplace disputes or EEO complaints. Managers are reminded that their participation in agency-approved alternative-dispute-resolution efforts to resolve employee EEO complaints is required, absent extraordinary circumstances as determined by the Office of Civil Rights' director or designee.

Any employee, manager or applicant for employment who believes he or she has been subjected to discrimination has a right to seek redress within 45 calendar days of the alleged discriminatory event by contacting the EPA's Office of Civil Rights Employment Complaints Resolution staff at (202) 564-7272 or an EEO officer at the regional or laboratory level. The agency will review any finding of discrimination and, when necessary, take appropriate disciplinary or corrective action.

A professional, productive and inclusive workplace is essential to the EPA's mission to protect human health and the environment. Unlawful discrimination in the workplace, including retaliation and harassment, undermines the achievement of our agency's mission. I appreciate your shared commitment to equal opportunity at the EPA and look forward to continuing our work together.

AL-16-000-0297

## Congress of the United States Washington, DC 20515

June 23, 2016

The Honorable Gina McCarthy Administrator Environmental Protection Agency 1200 Pennsylvania Avenue, N.W. Washington, D.C. 20460

Dear Administrator McCarthy:

We write regarding the Supreme Court's orders granting applications from states and stakeholders to stay the "Clean Power Plan" (CPP) and your statements in a March 2016 congressional hearing on the implications of the Court's action. Specifically, we seek clarification to ensure that your statements do not result in states and other stakeholders expending scarce resources to unnecessarily comply with the CPP's deadlines. It is our belief that such actions would undermine the very purpose of the Court's orders.

As you know, five applications for relief were submitted to the Court, each requesting a stay of the CPP. One of those applications also explicitly requested "an immediate stay of EPA's rule, extending all compliance dates by the number of days between publication of the rule and a final decision by the courts, including this Court, relating to the rule's validity." Another asked that the CPP be "be stayed, and all deadlines in it suspended, pending the completion of all judicial review." Every brief opposing the applications acknowledged the requests to extend the compliance deadlines.

Moreover, long-held precedence recognizes that any request for stay carries with it the inherent tolling of all compliance deadlines if that stay were lifted. Thus, the Department of Justice stated in its brief, "In requesting a 'stay,' however, applicants . . . explicitly or implicitly ask this Court to toll all of the relevant deadlines set forth in the Rule, even those that would come due many years after the resolution of their challenge, for the period between the Rule's publication and the final disposition of their lawsuits" (emphasis added). In fact, the Department of Justice told the Court that granting the applications "would necessarily and irrevocably extend every deadline set forth in the Rule" (emphasis added).

On February 9, 2016 the Court issued five separate and virtually identical orders on the applications. Each order stated, "The application for a stay... is granted." We agree with the Department of Justice that in granting these applications without limitation, the Supreme Court both stayed the CPP and necessarily and irrevocably extended all related CPP compliance deadlines.

In a March 22, 2016 hearing before two House Energy and Commerce subcommittees, you were asked whether—if the CPP was upheld—the various compliance deadlines would also be extended by the amount of time equal to the completion of judicial review. In your response, you

stated, "Well that's not what the Supreme Court said, but we assume that the courts will make that judgement over time or will leave that to EPA to make their own judgement." When pressed further, you responded by saying, ". . . the Supreme Court didn't speak to that issue. The only thing they spoke to was the stay of the rule. They didn't speak to any tolling or what it meant in terms of compliance time."

As the Department of Justice's own conclusions make clear, the Court did speak to tolling when it granted the applications for relief that explicitly or implicitly requested the tolling of compliance deadlines. Those Court orders necessarily and irrevocably extended the CPP's deadlines, allowing states to hit "pause" on compliance measures during legal challenge of the CPP, so that states are not required to spend billions of dollars on immense, and in many cases irreversible, actions to implement a regulation that may never come. This harm is what drove petitioners to request relief from the Supreme Court in the first place.

We are concerned that your statements before Congress undermine the certainty that the American people deserve and the Supreme Court was seeking to provide when it granted applications to stay the CPP and toll its deadlines. If ambiguity here drives states and stakeholders to meet all CPP compliance deadlines anyway, then the Court's action will be meaningless.

In order to provide clarity to the states, utilities, and other critical stakeholders, we respectfully ask you to provide answers to the following questions:

- 1. Two of the applications for relief from the CPP submitted to the Supreme Court explicitly asked the Court to extend all CPP deadlines for a period equal to that of the stay. The Department of Justice concluded that all of the applications made the same request, if not explicitly, then implicitly. The Court granted these requests for relief without any limitation. How do you reconcile these facts with your claim that "the Court didn't speak to any tolling"?
- 2. Did any EPA official review the Department of Justice's brief in response to the applications before that brief was submitted to the Supreme Court?
- 3. At any point before the Supreme Court issued its orders on February 9, 2016, did any EPA official object to language in the Department of Justice's brief concluding that granting the stay "would necessarily and irrevocably extend every deadline set forth in the Rule"? Does EPA now disagree with that conclusion? If so, please provide EPA's official legal interpretation.
- 4. Is EPA relying on specific precedent to conclude the stay order does not toll all deadlines outlined in the final CPP rule? If so, include any such examples or case law in EPA's interpretive memo as requested in question 3 above.
- 5. If EPA does not disagree with the Department of Justice's conclusion that the relief requested and granted by the Court "necessarily and irrevocably" extends all CPP deadlines, then what steps is EPA taking to prepare to extend all CPP deadlines in the event the stay is lifted?

- 6. Why is it necessary for the Court's orders staying the CPP to "speak to any tolling" if, by the Department of Justice's own admission, those orders "implicitly," "necessarily," and "irrevocably" "extend every deadline set forth in the Rule"?
- 7. The Supreme Court stayed the CPP to prevent states and stakeholders from being irreparably harmed by the rule's deadlines during the judicial challenge. How would the Court's order protect states and stakeholders from irreparable harm if, upon reinstatement of the rule, those states and stakeholders did not receive an equivalent length of time to comply with the CPP?
- 8. EPA officials have stated the agency is developing regulations expressly related to and arising out of the final CPP, specifically the Clean Energy Incentive Plan (CEIP). The program is intrinsically linked to the implementation of the CPP and a public request for comment through issuing a proposed rule would effectively obligate stakeholders to the current CPP litigation to dedicate resources to study and comment on the proposed regulation. Given that the CEIP's fate is directly tied to the CPP litigation, what authority is the EPA relying on to conclude these actions do not contravene the Supreme Court's stay of CPP?

We look forward to your response on this matter.

Sincerely,

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WESTERMAN Member of Congress

Member of Congress

DAVID B. MCKINLEY, P.E.

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Member of Congress

HIA M. LUMMIS

Member of Congress

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LOUIE GOHMERT Member of Congress

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DAVE BRAT Member of Congress

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COLLIN C. PETERSON Member of Congress

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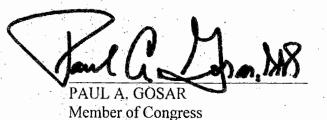
TRENT FRANKS

Member of Congress

SEAN P. DUFF Member of Congress

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TOM GRAVES



KEITH ROTHFUS
Member of Congress

STEVE PEARCE Member of Congress

DAVID SCHWEIKERT Member of Congress

RALPH ABRAHAM, M.D. Member of Congress

Mo BROOKS
Member of Congress

ANDY BARK
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Martha Mc Sally

MARTHA MCSALLY Member of Congress

DAVE TROTT
Member of Congress

RYAN ZINKE Member of Congress

EVAN H. JENKINS Member of Congress

BILLY LONG
Member of Congress

RANDY WEBER
Member of Congress

TRENT KELLY
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DIANE BLACK

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STEVE KING Member of Congress

BRIAN BABIN Member of Congress

JACKIE WALORSKI

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TIM MURPHY Member of Congress

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STEVE CHABOT Member of Congress

JOHN CULBERSON Member of Congress

MICK MULVANEY Member of Congress

GAR Y PIZMER Member of Congress

SAM JOHNSON Member of Congress

HAROLD ROGERS Member of Congress

EARL L. "BUDDY" CARTER

Member of Congress

KEVIN YODER

16-001-0297

Member of Congress

THOMAS J. ROONEY Member of Congress

MIKE BISHOP

Member of Congress

LYNN JENKINS, CPA

Member of Congress

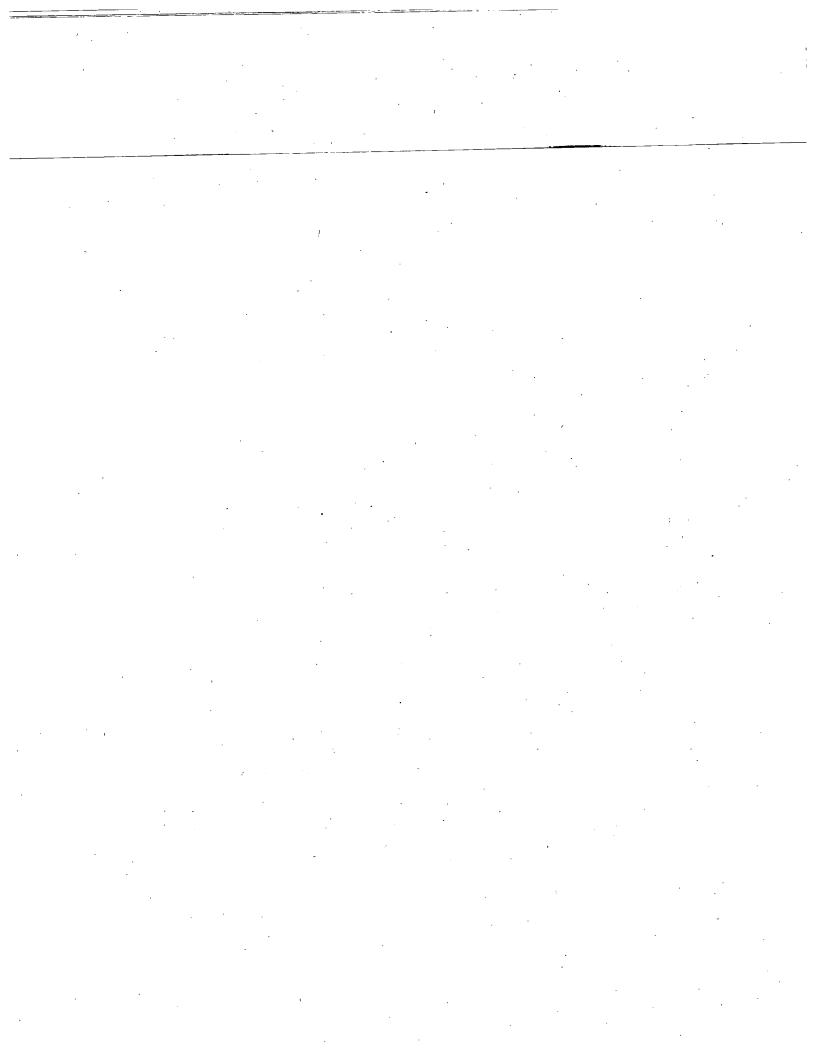
MIKE ROGERS

Member of Congress

LUKE MESSER

Member of Congress

SCOTT TIPTON
Member of Congress



Again, thank you for your letter. If you have further questions, please contact me or your staff may contact Kevin Bailey in the EPA's Office of congressional and Intergovernmental Relations at bailey.kevinj@epa.gov or at (202) 564-2998.

Sincerely,

1+ B. Pell

Janet G. McCabe Acting Assistant Administrator AC-10-001-6269

# Congress of the United States House of Representatives Washington, DC 20515

September 22, 2010

Lisa P. Jackson Administrator, U.S. Environmental Protection Agency Ariel Rios Bldg., 1200 Pennsylvania Ave., NW Washington, DC 20460

### Dear Administrator Jackson:

As members of the bipartisan Congressional Sportsmen's Caucus, the largest and most active caucus on Capitol Hill, we are writing to urge you to dismiss the petition to ban the use of lead in fishing products. The attached letter from leading hunting, fishing and conservation organizations clearly points out that there is no scientific basis to warrant such a far reaching ban on traditional fishing equipment. A similar proposal to ban lead fishing tackle was dismissed by the EPA in the mid-1990s, because there was insufficient data to support such a ban – there is no additional data to support a ban today.

The American wildlife management model is the best in the world, and one of the pillars of this model is that the states retain the authority to manage most of their fish and wildlife. These state agencies are already monitoring and addressing any of the localized issues surrounding lead, making this draconian ban not only unnecessary, but intrusive. In a letter to you on this very issue dated September 2nd, the Association of Fish and Wildlife Agencies, which represents the collective perspectives of the 50 state fish and wildlife agencies, concludes, "A national ban on lead fishing sinkers is therefore neither necessary nor appropriate."

The President's "America's Great Outdoors" initiative is aimed at reconnecting Americans to the outdoors; fishing is an accessible, fun, family oriented activity that should be embraced and encouraged as part of this initiative. A ban on traditional fishing tackle will drive up costs substantially and serve as a disincentive for more Americans to get outside and enjoy this great pastime.

There are 60 million recreational anglers in America that contribute \$125 billion to our economy annually. Penalizing these men, women and children that are the best stewards of our environment, as well as the financial backbone to fish and wildlife conservation in our country, would be a terrible and unnecessary injustice.

We urge you to deny the petition to ban the use of lead in fishing products.

Sincerely,

| Rep. Dan Boren                         | Rep. Paul Ryan   |
|--|--|
| Rep. Jerry Moran                       | Rep. Jo Bonner   |
| 10 Rossus                              | lik Sign   |
| R.p. John Boozman                      | Rep. Michael K. Simpson Rep. Gene Taylor                               |
| Rep. Donald A. Manzullo  Virginia Jayx | Rep. Gene Taylor   |
| Rep. Virginia Foxx                     | Rep. Charles A. Wilson  Rep. Charles A. Wilson  Rep. Charles A. Wilson |
| Rep. Enricopher P. Carney              | Rep. Ciro D. Rodriguez   |
| Rep Marsha Blackburn  11. LL 7. MCL    | Rep. Charles W. Boustany, Jr.  |
| Rep. Michael T. McCaul                 | Rep. Jeff Miller   |

| Rep. Bart Stupak                      | Hovard Coble  Rep. Howard Coble |
|---------------------------------------|---------------------------------|
| Rep. Fred Upton                       | Rep. Mike Pence                 |
| Rep. Steve Scalise                    | Hanld Ragers Rep. Harold Rogers |
| Rep. Adrian Smith                     | Rep. Robert E. Latta            |
| Rop. Solomon Police                   | Lew GT Thompson                 |
| Rep. Steve Austria                    | Rep. John B. Shadegg            |
| Sue Myrich<br>Rep. Sue Wilkins Morick | Rep. Ed Whitfield               |
| Rep. John A. Boehner                  | Ren. John Fleming               |
| Rep. Duncan Hunter                    | Rep. Shelley Moore Capito       |
|                                       |                                 |

| Rep. Dean Heller                      |  |
|---------------------------------------|--|
| Rep. John Sullivan                    |  |
| Rep. Tim Murphy                       |  |
| Rep. Don Yourg                        |  |
| Rep. Larry Kissell                    |  |
| Rep. Ike Skelton  Rep. Adam H. Putham |  |
| Rep. Steven C. LaTourette             |  |
| Man 74                                |  |

Rep. Geoff Davis

Matter B. Jones

Rep. Walter B. Jones



Rep. Jason Chaffetz

Rep. Joe Courtney

Rep. Paul C. Broun, M.D.

Rep. David P. Roe

Rep. Lynn Jenkins

Rep. K. Michael Consway

Rep. K. Michael Consway

Rep. Erik Paulsen

Rep. Erik Paulsen

Rep. Bob Goodlatte

Rep. Bob Goodlatte

Rep. Mike Coffman

Rep. Ron Kind



## UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

## NOV 1 2 2010

OFFICE OF CHEMICAL SAFETY AND POLLUTION PREVENTION

The Honorable Michael T. McCaul U.S. House of Representatives Washington, DC 20515-4310

Dear Congressman McCaul:

Thank you for your letter of October 1, 2010, to the U.S. Environmental Protection Agency's (EPA's) Administrator, Lisa Jackson, regarding an August 3, 2010, petition the Agency has received from the American Bird Conservancy and a number of other groups requesting that the EPA take action under the Toxic Substances Control Act (TSCA) to prohibit the manufacture, processing, and distribution in commerce of lead shot, bullets, and fishing sinkers. EPA denied the portion of the petition related to lead in ammunition on August 27, 2010, because the Agency does not have the legal authority to regulate this type of product under TSCA.

On behalf of the Administrator, I am writing to inform you that we have completed our review of the remaining portion of the petition and have determined that the petitioners did not demonstrate that the request for a uniform national ban of lead in fishing gear is necessary to protect against an unreasonable risk of injury to health or the environment, as required by TSCA section 21. EPA also determined that the petition did not demonstrate that the action requested is the least burdensome alternative to adequately protect against the concerns, as required by section 6 of TSCA. For these reasons, EPA denied the petitioners' request for a national ban on lead in all fishing gear.

EPA believes that the petition does not provide a sufficient justification for why a national ban of lead fishing sinkers and other lead fishing tackle is necessary given the actions being taken to address the concerns identified in the petition. There are an increasing number of limitations on the use of lead fishing gear on some Federal lands, as well as Federal outreach efforts. A number of states have established regulations that ban or restrict the use of lead sinkers and have created state education and fishing tackle exchange programs over the last decade. The emergence of these programs and activities over the past decade calls into question whether the broad rulemaking requested in the petition would be the least burdensome, adequately protective approach, as required by TSCA. We also noted to the petitioners that the prevalence of non-lead alternatives in the marketplace continues to increase.

Again, thank you for your letter and I hope the information on EPA's response to this petition is helpful to you. If you have additional questions, please feel free to contact me or your staff may contact Mr. Sven-Erik Kaiser in EPA's Office of Congressional and Intergovernmental Relations at (202) 566-2753.

Sincerely,

Stephen A. Owens Assistant Administrator AC-14-000-0792

# Congress of the United States House of Representatives

Washington, DC 20515

October 30, 2013

The Honorable Gina McCarthy Administrator U.S. Environmental Protection Agency Room 300, Ariel Rios Building 1200 Pennsylvania Avenue, N.W. Washington, D.C. 20460

## Dear Administrator McCarthy:

Nearly eight years ago, Congress approved the Energy Policy Act of 2005, establishing the first Renewable Fuel Standard ("RFS"). In 2007, Congress significantly expanded the 2005 law when it passed the Energy Independence and Security Act of 2007, which increased the mandate to 36 billion gallons of biofuels by 2022. Unfortunately, despite the best intentions of the RFS, its premise and structure were based on many assumptions that no longer reflect the current market conditions, and the imposition of the 2014 volumes now threatens to cause economic and environmental harm. As Congress continues its bi-partisan work to address these concerns, we are writing to request that the EPA use its authority to adjust the 2014 RFS volumes.

As you are aware, the U.S. corn market has been increasingly volatile since the expansion of the RFS in 2007. This reflects the reality that more than 40 percent of the corn crop now goes into ethanol production, a dramatic rise since the first ethanol mandates were put into place in 2005. While well intentioned, the rigid nature of the federal law has not allowed it to change as new realities emerge in the market place. Ethanol now consumes more corn than animal agriculture, a fact directly attributable to the federal mandate. Corn prices are just one example of the economic harm caused by the RFS.

Due to the dramatic expansion of corn ethanol, volatile corn prices have led to the conversion of millions of acres of sensitive wetlands and grasslands into production. According to the EPA's analysis, the lifecycle emissions of corn ethanol in 2012 were higher than those of gasoline – and will be for years to come. Despite promised environmental benefits when the RFS was implemented, the National Academy of Sciences has noted that overall ethanol production and use lowers air and water quality.

Perhaps the newest challenge is the imposition of the statutory requirement of 18.15 billion gallons of renewable fuels in 2014, of which approximately 14.4 billion gallons will be made up by corn ethanol. In particular, the combination of rising ethanol mandates and declining gasoline demand has exacerbated the onset of the E10 blendwall- the point at which the gasoline supply is saturated with the maximum amount of ethanol that current vehicles, engines, and infrastructure can safely accommodate. The EPA explicitly acknowledged this challenge in its final rule implementing the 2013 volumes—"EPA does not currently foresee a scenario in which the market could consume enough ethanol sold in blends greater than E10, and/or produce sufficient volumes of non-ethanol biofuels to meet the volumes of total renewable fuel and advanced biofuel as required by statute for 2014." We understand that the EPA signaled its intention to address these concerns in the 2014 rulemaking and commend the EPA's willingness to use the authority Congress granted to it when crafting the RFS.

While the blendwall is a pressing issue, the federal government can help avoid a dangerous economic situation by adjusting the normally rigid Renewable Fuel Standard mandate down to align with gasoline market conditions and realities. We therefore urge the EPA to consider a fair and meaningful nationwide adjustment to the ethanol mandate in the Renewable Fuel Standard. Prompt action by the EPA can help to ease short supply concerns, prevent engine damage, save jobs across many U.S. industries, and keep families fed. We strongly urge you to exercise your authority and take the necessary steps to protect American consumers and the economy. Thank you for your immediate consideration of this request.

Sincerely,

Bob Goodlatte

Member of Congress

Jim Costa

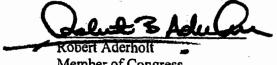
Member of Congress

Steve Womack

Member of Congress

Peter Welch

<sup>&</sup>lt;sup>1</sup> Regulation of Fuels and Fuel Additives: 2013 Renewable Fuel Standards, 78 Fed. Reg. 49,794, 49,823 (Aug. 15, 2013) (to be codified at 40 C.F.R. pt. 80).



Member of Congress

Robert Andrews Member of Congress

John Barrow Member of Congress

Bentivolio Member of Congress

Diane Black

Member of Congress

Charles Boustany, Jr.

Member of Congress

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Dan Benishek Member of Congress

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Kevin Brady Member of Congress

Mo Brooks

Michael Burgess Member of Congress Paul Broun Member of Congress on Carter Member of Congress Member of Congress Jason Chaffetz Member of Congress Member of Congress Chris Collins Member of Congress Member of Congress

Member of Congress

Gerry Connolly
Member of Congress

Tom Cotton
Member of Congress

Henry Cuellar Member of Congress

Steve Daines
Member of Congress

Jeff Polym Member of Congress

Ron DeSantis Member of Congress

Mario Diaz-Balart
Member of Congress

Paur Cook Member of Congress

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Rick Crawford Member of Congress

John Gulberson Member of Congress

Peter DeFazio
Member of Congress

Charles Dent Member of Congress

Scott DesJarlais Member of Congress

John Duncan Member of Congress

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Blake Farenthold Member of Congress

John Fleming Member of Congress

Virginia Foxx Member of Congress

Pete Gallego
Member of Congress

Scott Garrett Member of Congress Renee Ellmers

Member of Congress

Chuck Fleischmann Member of Congress

Bill Flores Member of Congress

Trent Franks
Member of Congress

John Garamendi Member of Congress

Chris Gibson

Chris Gibson
Member of Congress

Louie Gohmert Member of Congress

Paul Gosar Member of Congress

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Kay Granger Member of Congress

Member of Congress

Tom Graves

Gene Green Member of Congress

Member of Congress

H. Morgan Griffith Member of Congress

Ralph Hall Member of Congress

Richard Hanna

Member of Congress

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Andy Harris

Member of Congress

Joe Heck

Jeb Hensarling Member of Congress

Rubén Hinojosa Member of Congress

Randy Hultgren Member of Congress

Robert Hurt Member of Congress

Sam Johnson

Sam Johnson Member of Congress

Jack Kingston Member of Congress

Rail R. Labradon

Raul Labrador Member of Congress Jim Himes Member of Congress

George Holding Member of Congress

Duncan Hunter Member of Congress

Darrell Issa Member of Congress

Walter B. Jones
Walter Jones
Member of Congress

Ann McLane Kuster Member of Congress

Doug/LaMalfa

Doug Fambour

Doug Lamborn

Member of Congress

James Lankford
Member of Congress

Billy Long
Member of Congress

Cyntha Lummis
Member of Congress

Tom Marino
Member of Congress

Kevin McCarthy
Member of Congress

Tin McClintock
Member of Congress

Lord Lence

Leonard Lance Member of Congress

Frank LoBiondo Member of Congress

Ben Ray Luján Member of Congress

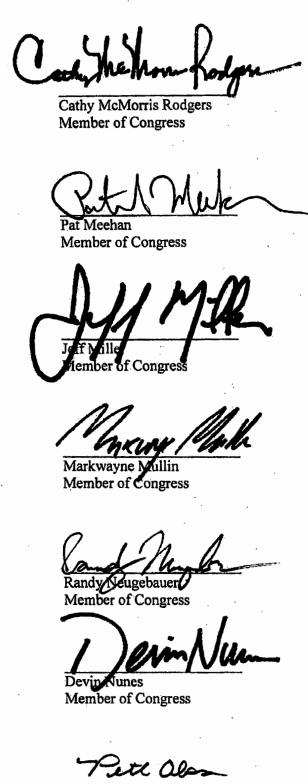
Kenny Marchant Member of Congress

Jim Matheson
Member of Congress

Michael McCaul Member of Congress

Patrick McHenry Member of Congress David McKinley Member of Congress Mark Meadows
Member of Congress Member of Congress Member of Congress Member of Congress Richard Nugent Member of Congress

Alan Nunnelee Member of Congress



Pete Olson Member of Congress Bill Owens
Member of Congress

Steve Péarce Member of Congress

Chellie Pingree
Member of Congress

Joe Pitts Member of Congress

Tom Price
Member of Congress

Tom Reed Member of Congress

Cedric Richmond Member of Congress Steven Palazzo
Member of Congress
Scott Perry

Robert Pittenger
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Ted Poe

Member of Congress

Trey Radel

Member of Congress

Tom Rice

Member of Congress

Scott Rigell
Member of Congress

Phil Roe Member of Congress

Dana Rohrabacher

Dennis Ross Member of Congress

Member of Congress

Loretta Sanchez Member of Congress

David Schweikert Member of Congress

Bobby Scott Member of Congress

ames Sensenbrenner Men ber of Congress Mike Rogers (MI)
Member of Congress

Tom Rooney Member of Congress

Keith Rothfus
Member of Congress

Kurt Schrader Member of Congress

Austin Scott Member of Congress

David Scott
Member of Congress

Pete Sessions

Bill Shuster
Member of Congress

Lamar Smith
Member of Congress

Bennie Thompson
Member of Congress

Mac Thornberry Member of Congress

Marc Veasey Member of Congress

Tim Walberg
Member of Congress

Bill Posey

Member of Congress

Mike Simpson
Member of Congress

Chris Stewart

Chris Stewart Member of Congress

Glenn Thompson Member of Congress

David Valadao Member of Congress

Friemon Vela Member of Congress

Greg Walden Member of Congress

Randy Weber

Daniel Webster Member of Congress Member of Congress Roger Williams Member of Congress Member of Congress Frank Wolf Member of Congress Member of Congress Rob Woodall Member of Congress Member of Congress Don Young Member of Congress Member of Congress Stephen Fincher Member of Congress Member of Congress

AL-14-000-9245

### Congress of the United States Washington, DC 20515

May 1, 2014

The Honorable Gina McCarthy Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue, NW Washington, D.C. 20460 The Honorable John M. McHugh Secretary Department of the Army The Pentagon, Room 3E700 Washington, D.C. 20310

Dear Administrator McCarthy and Secretary McHugh:

We write to express our serious concerns with the proposed rule re-defining the scope of federal power under the Clean Water Act (CWA) and ask you to return this rule to your Agencies in order to address the legal, economic, and scientific deficiencies of the proposal.

On March 25, 2014, the Environmental Protection Agency (EPA) and the U.S. Army Corps of Engineers (USACE) released a proposed rule that would assert CWA jurisdiction over nearly all areas with any hydrologic connection to downstream navigable waters, including man-made conveyances such as ditches. Contrary to your agencies' claims, this would directly contradict prior U.S. Supreme Court decisions, which imposed limits on the extent of federal CWA authority. Although your agencies have maintained that the rule is narrow and clarifies CWA jurisdiction, it in fact aggressively expands federal authority under the CWA while bypassing Congress and creating unnecessary ambiguity. Moreover, the rule is based on incomplete scientific and economic analyses.

The rule is flawed in a number of ways. The most problematic of these flaws concerns the significant expansion of areas defined as "waters of the U.S." by effectively removing the word "navigable" from the definition of the CWA. Based on a legally and scientifically unsound view of the "significant nexus" concept espoused by Justice Kennedy, the rule would place features such as ditches, ephemeral drainages, ponds (natural or man-made), prairie potholes, seeps, flood plains, and other occasionally or seasonally wet areas under federal control.

Additionally, rather than providing clarity and making identifying covered waters "less complicated and more efficient," the rule instead creates more confusion and will inevitably cause unnecessary litigation. For example, the rule heavily relies on undefined or vague concepts such as "riparian areas," "landscape unit," "floodplain," "ordinary high water mark" as determined by the agencies' "best professional judgment" and "aggregation." Even more egregious, the rule throws into confusion extensive state regulation of point sources under various CWA programs.

In early December of 2013, your agencies released a joint analysis stating that this rule would subject an additional three percent of U.S. waters and wetlands to CWA jurisdiction and that the rule would create an economic benefit of at least \$100 million annually. This calculation is seriously flawed. In this analysis, the EPA evaluated the FY 2009-2010 requests for jurisdictional determinations – a period of time that was the most economically depressed in

nearly a century. This period, for example, saw extremely low construction activity and should not have been used as a baseline to estimate the incremental acreage impacted by this rule. In addition, the derivation of the three percent increase calculation did not take into account the landowners who – often at no fault of their own – do not seek a jurisdictional determination, but rather later learn from your agencies that their property is subject to the CWA. These errors alone, which are just two of many in EPA's assumptions and methodology, call into question the veracity of any of the conclusions of the economic analysis.

Compounding both the ambiguity of the rule and the highly questionable economic analysis, the scientific report – which the agencies point to as the foundation of this rule – has been neither peer-reviewed nor finalized. The EPA's draft study, "Connectivity of Streams and Wetlands to Downstream Waters: A Review and Synthesis of the Scientific Evidence," was sent to the EPA's Science Advisory Board to begin review on the same day the rule was sent to OMB for interagency review. The science should always come before a rulemaking, especially in this instance where the scientific and legal concepts are inextricably linked.

For all these reasons, we ask that this rule be withdrawn and returned to your agencies. This rule has been built on an incomplete scientific study and a flawed economic analysis. We therefore ask you to formally return this rule to your agencies.

Sincerely,

CHRIS COLLINS

Member of Congress

KURT SCHRADER Member of Congress

BILL SHUSTER

Chairman

House Committee on Transportation and Infrastructure LAMAR SMITH

Chairman

House Committee on

Science, Space, and Technology

FRED UPTON Chairman

House Committee on Energy and Commerce

DOC HASTINGS

Chairman

House Committee on

Natural Resources

FRANK LUCAS

Chairman

House Committee on Agriculture

COLLIN PETERSON

Ranking Member

House Committee on Agriculture

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| Member                                | Party            | District       |
|---------------------------------------|------------------|----------------|
| Don Young                             | R                | AK-AL          |
| Bradley Byrne                         | R                | AL-1           |
| Martha Roby                           | R                | AL-2           |
| Mike Rogers                           | R                | AL-3           |
| Robert Aderholt                       | R                | AL-4           |
| Mo Brooks                             | R                | AL-5           |
| Spencer Bachus                        | R                | AL-6           |
| Terri Sewell                          | -                | AL-7           |
| Rick Crawford                         | R                | AR-1           |
| Tim Griffin                           | R                | AR-2           |
| Steve Womack                          | R                | AR-3           |
| Tom Cotton                            | R                | AR-4           |
| Paul Gosar                            | R                | AZ-4           |
| Matt Salmon                           | R                | AZ-4           |
| David Schweikert                      | R                | AZ-5           |
| Trent Franks                          | R                | AZ-8           |
| Doug LaMalfa                          | R                | CA-1           |
| Jeff Denham                           | R                | CA-10          |
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| Jim Costa                             | R                | CA-18          |
| David Valadao Devin Nunes             | R                | CA-21          |
|                                       | <del>+ - :</del> | CA-22          |
| Kevin McCarthy                        | R                | CA-22          |
| Howard "Buck" McKeon                  | R                |                |
| Gary Miller Tom McClintock            | R                | CA-31<br>CA-4  |
| Ken Calvert                           | +                |                |
| Dana Rohrabacher                      | R                | CA-42          |
|                                       | R                | CA-48          |
| Darrell issa                          | R                | CA-49          |
| Paul Cook                             | R                | CA-8           |
| Scott Tipton                          | R                | CO-3           |
| Cory Gardner                          | R                | CO-4           |
| Doug Lamborn Mike Coffman             | R                | CO-5           |
| Jeff Miller                           | R                | CO-6<br>FL-1   |
| Rich Nugent                           | R                | FL-11          |
| Gus Bilirakis                         | R                | FL-12          |
| Tom Rooney                            | R                | FL-12          |
| Steve Southerland                     | R                | FL-17          |
| Mario Diaz-Balart                     | R                | FL-25          |
| Ileana Ros-Lehtinen                   | R                | FL-23          |
| Ted Yoho                              | R                | FL-3           |
| Ron DeSantis                          | R                | FL-5           |
| John Mica                             | R                | FL-7           |
| <del></del>                           | <del> </del>     |                |
|                                       | <del>   </del>   |                |
|                                       | -                |                |
| Jack Kingston Paul Broun Phil Gingrey | R<br>R<br>R      | GA-10<br>GA-11 |

| John Barrow       | D   | GA-12 |
|-------------------|-----|-------|
| David Scott       | D   | GA-13 |
| Tom Graves        | R   | GA-14 |
| Sanford Bishop    | D   | GA-2  |
| Lynn Westmoreland | R   | GA-3  |
| Tom Price         | R   | GA-6  |
| Rob Woodall       | R   | GA-7  |
| Austin Scott      | R   | GA-8  |
| Doug Collins      | R   | GA-9  |
| Tom Latham        | R   | IA-3  |
| Steve King        | R   | IA-5  |
| Raul Labrador     | R   | ID-1  |
| Michael Simpson   | R   | ID-2  |
| William Enyart    | D   | IL-12 |
| Rodney Davis      | R   | IL-13 |
| Randy Hultgren    | R   | IL-14 |
| John Shimkus      | R   | IL-15 |
| Adam Kinzinger    | R   | IL-16 |
| Aaron Schock      | R   | IL-18 |
| Peter Roskam      | R   | IL-6  |
| Jackie Walorski   | R   | IN-2  |
| Marlin Stutzman   | R   | IN-3  |
| Todd Rokita       | R   | IN-4  |
| Susan Brooks      | R   | IN-5  |
| Luke Messer       | R   | IN-6  |
| Larry Bucshon     | R   | IN-8  |
| Todd Young        | R   | IN-9  |
| Tim Huelskamp     | R   | KS-1  |
| Lynn Jenkins      | R   | KS-2  |
| Kevin Yoder       | R   | KS-3  |
| Mike Pompeo       | R   | KŚ-4  |
| Ed Whitfield      | R   | KY-1  |
| Brett Guthrie     | R   | KY-2  |
| Thomas Massie     | R   | KY-4  |
| Hal Rogers        | R   | KY-5  |
| Andy Barr         | R   | KY-6  |
| Cedric Richmond   | D   | LA-2  |
| Charles Boustany  | R   | LA-3  |
| John Fleming      | R   | LA-4  |
| Vance McAllister  | R · | LA-5  |
| Bill Cassidy      | R   | LA-6  |
| Andy Harris       | R   | MD-1  |
| Dan Benishek      | R   | MI-1  |
| Candice Miller    | R   | MI-10 |
| Kerry Bentivolio  | R   | MI-11 |
| Bill Huizenga     | R   | MI-2  |
| Justin Amash      | R   | MI-3  |

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| Dave Comm          | 1 5      | 1     |
|--------------------|----------|-------|
| Dave Camp          | R        | MI-4  |
| Fred Upton         | R        | MI-6  |
| Tim Walberg        | - R      | MI-7  |
| Mike Rogers        | R        | MI-8  |
| John Kline         | R        | MN-2  |
| Erik Paulsen       | R        | MN-3  |
| Michele Bachmann   | R        | MN-6  |
| Collin Peterson    | D        | MN-7  |
| Ann Wagner         | R        | MO-2  |
| Blaine Luetkemeyer | R        | MO-3  |
| Vicky Hartzler     | R        | MO-4  |
| Sam Graves         | R        | MO-6  |
| Billy Long         | R        | MO-7  |
| Jason Smith        | R        | MO-8  |
| Alan Nunnelee      | R        | MS-1  |
| Bennie G. Thompson | <u> </u> | MS-2  |
| Gregg Harper       | R        | MS-3  |
| Steven Palazzo     | R        | MS-4  |
| Patrick McHenry    | R        | NC-10 |
| Mark Meadows       | R        | NC-11 |
| George Holding     | R        | NC-13 |
| Renee Elimers      | R        | NC-2  |
| Walter Jones       | R        | NC-3  |
| Virginia Foxx      | R        | NC-5  |
| Howard Coble       | R        | NC-6  |
| Mike McIntyre      | D        | NC-7  |
| Richard Hudson     | R        | NC-8  |
| Robert Pittenger   | R        | NC-9  |
| Kevin Cramer       | R        | ND-AL |
| Lee Terry          | R        | NE-2  |
| Adrian Smith       | R        | NE-3  |
| Scott Garrett      | R        | NJ-5  |
| Steve Pearce       | R        | NM-2  |
| Mark Amodei        | R        | NV-2  |
| Joe Heck           | R        | NV-3  |
| Michael Grimm      | R        | NY-11 |
| Chris Gibson       | R        | NY-19 |
| Peter King         | R        | NY-2  |
| Bill Owens         | D        | NY-21 |
| Richard Hanna      | R        | NY-22 |
| Tom Reed           | R        | NY-23 |
| Chris Collins      | R        | NY-27 |
| Steve Chabot       | R        | OH-1  |
| Michael Turner     | R        | OH-10 |
| Patrick Tiberi     | R        | OH-12 |
| David Joyce        | R        | OH-14 |
| Steve Stivers      | R        | OH-15 |

| Brad Wenstrup R OH-2 Jim Jordan R OH-4 Robert Latta R OH-5 Bill Johnson R OH-6 Bob Gibbs R OH-7 Jim Bridenstine R OK-1 Markwayne Mullin R OK-2 Frank Lucas R OK-3 James Lankford R OK-5 Greg Walden R OR-2 Kurt Schrader D OR-5 Tom Marino R PA-10 Lou Barletta R PA-11 Keith Rothfus R PA-12 Charlie Dent R PA-15 Joe Pitts R PA-16 Tim Murphy R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R PA-6 Patrick Meehan R PA-7 Mike Fitzpatrick R PA-8 Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R SC-5 Tom Rice R SC-7 Kristi Noem R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. R TN-2 Chuck Fleishmann R TN-3 Scott DesJarlais R TN-4 Diane Black R TN-6 Marsha Blackburn R TN-7 Stephen Fincher R TN-8 Loule Gohmert R TX-10 K. Michael Conaway R TX-11 Kay Granger R TX-12 Ruben Hinojosa D TX-15 Bill Flores R TX-17 Randy Weber R TX-17 Randy Neugebauer R TX-19  | Jim Renacci                           | l R         | OH-16  |
|---|---------------------------------------|-------------|--|
| Jim Jordan R OH-4 Robert Latta R OH-5 Bill Johnson R OH-6 Bob Gibbs R OH-7 Jim Bridenstine R OK-1 Jim Bridenstine R OK-2 Frank Lucas R OK-3 James Lankford R OK-5 Greg Walden R OR-2 Kurt Schrader D OR-5 Tom Marino R PA-10 Lou Barletta R PA-11 Kelth Rothfus R PA-12 Charlie Dent R PA-15 Joe Pitts R PA-16 Tim Murphy R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R PA-7 Mike Fitzpatrick R PA-8 Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R SC-5 Tom Rice R TN-1 John J. Duncan, Jr. R TN-2 Chuck Fleishmann R TN-3 Scott Desjarlais R TN-4 Diane Black R TN-6 Marsha Blackburn R TN-7 Stephen Fincher R TN-8 Louie Gohmert R TX-10 K. Michael Conaway R TX-11 Kay Granger R TX-14 Ruben Hinojosa D TX-15 Bill Flores R TX-17 Bill Flores R TX-15 Bill Flores  | Brad Wenstrup                         | R           |  |
| Robert Latta R OH-5 Bill Johnson R OH-6 Bob Gibbs R OH-7 Jim Bridenstine R OK-1 Markwayne Mullin R OK-2 Frank Lucas R OK-3 James Lankford R OK-5 Greg Walden R OR-2 Kurt Schrader D OR-5 Tom Marino R PA-10 Lou Barletta R PA-11 Keith Rothfus R PA-15 Joe Pitts R PA-15 Joe Pitts R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R PA-6 Patrick Meehan R PA-7 Mike Fitzpatrick R PA-8 Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R SC-5 Tom Rice R SC-7 Kristl Noem R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. R TN-2 Chuck Fleishmann R TN-3 Scott DesJarlais R TN-4 Diane Black R TN-6 Marsha Blackburn R TX-1 Michael McCaul R TX-10 K. Michael Conaway R TX-11 Kay Granger R TX-14 Ruben Hinojosa D TX-15 Bill Flores R TX-17 Bill Flores  |                                       | R           | <del>                                     </del> |
| Bill Johnson R OH-6 Bob Gibbs R OH-7 Jim Bridenstine R OK-1 Markwayne Mullin R OK-2 Frank Lucas R OK-3 James Lankford R OK-5 Greg Walden R OR-2 Kurt Schrader D OR-5 Tom Marino R PA-10 Lou Barletta R PA-11 Keith Rothfus R PA-15 Joe Pitts R PA-16 Tim Murphy R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R PA-6 Patrick Meehan R PA-7 Mike Fitzpatrick R PA-8 Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R SC-5 Tom Rice R SC-7 Kristi Noem R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. R TN-2 Chuck Fleishmann R TN-3 Scott DesJariais R TN-4 Diane Black R TN-6 Marsha Blackburn R TN-7 Stephen Fincher R TN-8 Loule Gohmert R TX-10 K. Michael Conaway R TX-11 Michael McCaul R TX-10 K. Michael Conaway R TX-11 Michael McCaul R TX-12 Mac Thornberry R TX-13 Randy Weber R TX-15 Bill Flores R TX-17  | Robert Latta                          | R           | <del></del>                                      |
| Bob Gibbs R OH-7  Jim Bridenstine R OK-1  Markwayne Mullin R OK-2  Frank Lucas R OK-3  James Lankford R OK-5  Greg Walden R OR-2  Kurt Schrader D OR-5  Tom Marino R PA-10  Lou Barletta R PA-11  Keith Rothfus R PA-12  Charlie Dent R PA-15  Joe Pitts R PA-16  Tim Murphy R PA-18  Mike Kelly R PA-3  Scott Perry R PA-4  Glenn 'GT' Thompson R PA-5  Jim Gerlach R PA-6  Patrick Meehan R PA-7  Mike Fitzpatrick R PA-8  Bill Shuster R PA-9  Mark Sanford R SC-1  Joe Wilson R SC-2  Jeff Duncan R SC-3  Mick Mulvaney R SC-5  Tom Rice R SC-7  Kristi Noem R SD-AL  Phil Roe R TN-1  John J. Duncan, Jr. R TN-2  Chuck Fleishmann R TN-3  Scott DesJarlais R TN-4  Diane Black R TN-6  Marsha Blackburn R TN-7  Stephen Fincher R TN-8  Louie Gohmert R TX-10  K. Michael Conaway R TX-11  Michael McCaul R TX-10  K. Michael Conaway R TX-11  Michael McCaul R TX-10  K. Michael Conaway R TX-11  Michael McCaul R TX-10  K. Michael Conaway R TX-11  Michael McCaul R TX-10  K. Michael Conaway R TX-11  Michael Hinojosa D TX-15  Bill Flores R TX-17  | Bill Johnson                          | R           |  |
| Jim BridenstineROK-1Markwayne MullinROK-2Frank LucasROK-3James LankfordROK-5Greg WaldenROR-2Kurt SchraderDOR-5Tom MarinoRPA-10Lou BarlettaRPA-11Keith RothfusRPA-12Charlie DentRPA-15Joe PittsRPA-16Tim MurphyRPA-18Mike KellyRPA-3Scott PerryRPA-4Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-7Stephen FincherRTN-8Louie GohmertRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-15Bill FloresRTX-17   | Bob Gibbs                             |             |  |
| Markwayne Mullin  Frank Lucas  James Lankford  R  OK-3  James Lankford  R  OK-5  Greg Walden  R  OR-2  Kurt Schrader  D  Lou Barletta  Keith Rothfus  R  PA-10  Lou Barletta  R  PA-11  Keith Rothfus  R  PA-12  Charlie Dent  R  PA-15  Joe Pitts  R  PA-16  Tim Murphy  R  PA-18  Mike Kelly  R  PA-3  Scott Perry  R  Glenn 'GT' Thompson  Jim Gerlach  Patrick Meehan  R  PA-6  Patrick Meehan  R  Mike Fitzpatrick  R  Bill Shuster  R  PA-9  Mark Sanford  R  SC-1  Joe Wilson  R  SC-2  Jeff Duncan  R  SC-3  Mick Mulvaney  R  SC-5  Kristi Noem  R  Phil Roe  R  TN-1  John J. Duncan, Jr.  R  TN-2  Chuck Fleishmann  R  TN-3  Scott DesJarlais  R  TN-4  Diane Black  R  TN-6  Marsha Blackburn  R  TN-7  Stephen Fincher  R  TN-7  Stephen Fincher  R  TX-10  K. Michael Conaway  R  TX-11  Kay Granger  R  TX-12  Randy Weber  R  TX-15  Bill Flores  R  TX-17   |                                       | <del></del> | <del></del>                                      |
| Frank Lucas R OK-3 James Lankford R OK-5 Greg Walden R OR-2 Kurt Schrader D OR-5 Tom Marino R PA-10 Lou Barletta R PA-11 Keith Rothfus R PA-12 Charlie Dent R PA-15 Joe Pitts R PA-16 Tim Murphy R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R PA-6 Patrick Meehan R PA-7 Mike Fitzpatrick R PA-8 Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R SC-5 Tom Rice R SC-7 Kristi Noem R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. R TN-2 Chuck Fleishmann R TN-3 Scott Desjarlais R TN-4 Diane Black R TN-6 Marsha Blackburn R TN-7 Stephen Fincher R TN-8 Louie Gohmert R TX-10 K. Michael Conaway R TX-11 Kay Granger R TX-12 Mac Thornberry R TX-13 Randy Weber R TX-15 Bill Flores R TX-17  | Markwayne Mullin                      |             | <del> </del>                                     |
| James LankfordROK-5Greg WaldenROR-2Kurt SchraderDOR-5Tom MarinoRPA-10Lou BarlettaRPA-11Keith RothfusRPA-12Charlie DentRPA-15Joe PittsRPA-16Tim MurphyRPA-18Mike KellyRPA-3Scott PerryRPA-4Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTN-8Louie GohmertRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-17Bill FloresRTX-17   |                                       |             | <del></del>                                      |
| Greg WaldenROR-2Kurt SchraderDOR-5Tom MarinoRPA-10Lou BarlettaRPA-11Kelth RothfusRPA-12Charlie DentRPA-15Joe PittsRPA-16Tim MurphyRPA-16Mike KellyRPA-3Scott PerryRPA-4Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTN-8Louie GohmertRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-15Bill FloresRTX-17  |                                       |             | <del></del>                                      |
| Kurt SchraderDOR-5Tom MarinoRPA-10Lou BarlettaRPA-11Keith RothfusRPA-12Charlie DentRPA-15Joe PittsRPA-16Tim MurphyRPA-16Mike KellyRPA-3Scott PerryRPA-3Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-5Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesjarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTN-8Louie GohmertRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-14Ruben HinojosaDTX-15Bill FloresRTX-17  |                                       | R           | <del></del>                                      |
| Tom Marino Lou Barletta R PA-11 Keith Rothfus R PA-12 Charlie Dent R PA-15 Joe Pitts R PA-16 Tim Murphy R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach Patrick Meehan R PA-7 Mike Fitzpatrick R Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R FA-5 Tom Rice R FA-7 Kristi Noem R PA-7 Kristi Noem R PA-9 R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. Chuck Fleishmann R TN-3 Scott DesJarlais R TN-4 Diane Black R Marsha Blackburn R TN-7 Stephen Fincher R TN-8 Louie Gohmert R R TX-10 K. Michael Conaway R TX-11 Kay Granger R TX-13 Randy Weber R R TX-15 Bill Flores R TX-17  |                                       |             | +  |
| Lou BarlettaRPA-11Keith RothfusRPA-12Charlie DentRPA-15Joe PittsRPA-16Tim MurphyRPA-18Mike KellyRPA-3Scott PerryRPA-4Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTN-8Louie GohmertRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-14Ruben HinojosaDTX-15Bill FloresRTX-17  |                                       |             | <del> </del>                                     |
| Keith RothfusRPA-12Charlie DentRPA-15Joe PittsRPA-16Tim MurphyRPA-18Mike KellyRPA-3Scott PerryRPA-4Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTN-8Louie GohmertRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-14Ruben HinojosaDTX-15Bill FloresRTX-17  |                                       |             | <del></del>                                      |
| Charlie Dent R PA-15 Joe Pitts R PA-16 Tim Murphy R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R PA-6 Patrick Meehan R PA-7 Mike Fitzpatrick R PA-8 Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R SC-5 Tom Rice R SC-7 Kristi Noem R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. R TN-2 Chuck Fleishmann R TN-3 Scott DesJarlais R TN-4 Diane Black R TN-6 Marsha Blackburn R TN-7 Stephen Fincher R TN-8 Louie Gohmert R TX-10 K. Michael Conaway R TX-11 Kay Granger R TX-12 Mac Thornberry R TX-13 Randy Weber R TX-15 Bill Flores R TX-15   | Keith Rothfus                         |             | +  |
| Joe Pitts Tim Murphy R PA-18 Mike Kelly R PA-3 Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach Patrick Meehan R PA-7 Mike Fitzpatrick R Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R Tom Rice R SC-7 Kristi Noem R Phil Roe R R Phil Roe R R R R R R R R R R R R R R R R R R  |                                       |             | <del> </del>                                     |
| Tim Murphy  Mike Kelly  R  PA-3  Scott Perry  R  PA-4  Glenn 'GT' Thompson  R  PA-6  Patrick Meehan  R  PA-7  Mike Fitzpatrick  R  Bill Shuster  R  PA-9  Mark Sanford  R  SC-1  Joe Wilson  Jeff Duncan  R  SC-3  Mick Mulvaney  R  SC-5  Tom Rice  R  SC-7  Kristi Noem  R  Phil Roe  R  TN-1  John J. Duncan, Jr.  Chuck Fleishmann  Scott DesJarlais  R  TN-4  Diane Black  R  TN-7  Stephen Fincher  Louie Gohmert  R  R  R  TX-10  K. Michael Conaway  R  R  TX-12  Mac Thornberry  R  R  TX-13  Randy Weber  R  TX-15  Bill Flores  R  TX-15  Bill Flores  R  TX-17  |                                       |             |  |
| Mike Kelly Scott Perry R PA-4 Glenn 'GT' Thompson R PA-5 Jim Gerlach R Patrick Meehan R PA-7 Mike Fitzpatrick R Bill Shuster R Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R Tom Rice R FA-9 Kristi Noem R Phil Roe R Phil Roe R R TN-1 John J. Duncan, Jr. Chuck Fleishmann R Scott DesJarlais R Diane Black R Marsha Blackburn R Stephen Fincher R Louie Gohmert R KA-10 K. Michael Conaway R R R TX-12 Mac Thornberry R R R TX-13 R Randy Weber R R TX-15 Bill Flores R TX-15 Bill Flores R R TX-15 R R R TX-17   |                                       |             | <del></del>                                      |
| Scott Perry Glenn 'GT' Thompson R PA-5 Jim Gerlach R Patrick Meehan R PA-7 Mike Fitzpatrick R Bill Shuster R PA-9 Mark Sanford R SC-1 Joe Wilson R SC-2 Jeff Duncan R SC-3 Mick Mulvaney R Tom Rice R SC-7 Kristi Noem R SD-AL Phil Roe R TN-1 John J. Duncan, Jr. Chuck Fleishmann R Scott DesJarlais R TN-4 Diane Black Marsha Blackburn R Stephen Fincher Louie Gohmert R Kay Granger R R R R TX-12 Randy Weber R R TX-15 Bill Flores R R PA-6 R PA-6 R PA-6 R PA-7 R PA-8 R PA-7 R PA-8 R PA-9 R PA-6 R PA-5 R PA-9 R PA-9 R PA-9 R PA-9 R PA-6 R PA-5 R PA-6 R PA-6 R PA-5 R PA-6 R PA-6 R PA-5 R PA-6 R PA-6 R PA-6 R PA-6 R PA-7 R PA-6 R PA-7 R PA-9 R PA-7 R PA-9 R |                                       |             | <del></del>                                      |
| Glenn 'GT' ThompsonRPA-5Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTX-1Louie GohmertRTX-1Michael McCaulRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-14Ruben HinojosaDTX-15Bill FloresRTX-17  |                                       |             | <del> </del>                                     |
| Jim GerlachRPA-6Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTX-1Louie GohmertRTX-1Michael McCaulRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-14Ruben HinojosaDTX-15Bill FloresRTX-17  |                                       | <del></del> | <del> </del>                                     |
| Patrick MeehanRPA-7Mike FitzpatrickRPA-8Bill ShusterRPA-9Mark SanfordRSC-1Joe WilsonRSC-2Jeff DuncanRSC-3Mick MulvaneyRSC-5Tom RiceRSC-7Kristi NoemRSD-ALPhil RoeRTN-1John J. Duncan, Jr.RTN-2Chuck FleishmannRTN-3Scott DesJarlaisRTN-4Diane BlackRTN-6Marsha BlackburnRTN-7Stephen FincherRTX-1Louie GohmertRTX-1Michael McCaulRTX-10K. Michael ConawayRTX-11Kay GrangerRTX-12Mac ThornberryRTX-13Randy WeberRTX-14Ruben HinojosaDTX-15Bill FloresRTX-17  |                                       |             | <del></del>                                      |
| Mike Fitzpatrick  Bill Shuster  R PA-9  Mark Sanford  R SC-1  Joe Wilson  R SC-2  Jeff Duncan  R SC-3  Mick Mulvaney  R SC-5  Tom Rice  R SC-7  Kristi Noem  R SD-AL  Phil Roe  R TN-1  John J. Duncan, Jr.  Chuck Fleishmann  R TN-3  Scott DesJarlais  R TN-4  Diane Black  R Marsha Blackburn  R TN-7  Stephen Fincher  Louie Gohmert  R K, Michael Conaway  R TX-10  K, Michael Conaway  R TX-12  Mac Thornberry  R R R TX-13  Randy Weber  R R TX-15  Bill Flores  R TX-17   |                                       |             | ·  |
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| Blake Farenthold              | R  | TX-27 |
| Henry Cuellar                 | D  | TX-28 |
| Sam Johnson                   | R  | TX-3  |
| John Carter                   | R  | TX-31 |
| Pete Sessions                 | R  | TX-32 |
| Marc Veasey                   | D  | TX-33 |
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| Steve Stockman                | R  | TX-36 |
| Ralph Hall                    | R  | TX-4  |
| Jeb Hensarling                | R  | TX-5  |
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| Kevin Brady                   | R  | TX-8  |
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| Chris Stewart                 | R  | UT-2  |
| Jason Chaffetz                | R  | UT-3  |
| Jim Matheson                  | D  | UT-4  |
| Robert Wittman                | R  | VA-1  |
| Frank Wolf                    | R  | VA-10 |
| Scott Rigell                  | R  | VA-2  |
| J. Randy Forbes               | R  | VA-4  |
| Robert Hurt                   | R  | VA-5  |
| Bob Goodlatte                 | R  | VA-6  |
| Morgan Griffith               | R  | VA-9  |
| Jaime Herrera Beutler         | R  | WA-3  |
| Doc Hastings                  | R  | WA-4  |
| <b>Cathy McMorris Rodgers</b> | R  | WA-5  |
| Dave Reichert                 | R  | WA-8  |
| Paul Ryan                     | R  | WI-3  |
| Jim Sensenbrenner             | R  | WI-5  |
| Tom Petri                     | R  | WI-6  |
| Sean Duffy                    | R  | WI-7  |
| Reid Ribble                   | R  | WI-8  |
| David McKinley                | R. | WV-1  |
| Shelly Moore Capito           | R  | WV-2  |
| Nick Rahall                   | D  | WV-3  |
| Cynthia Lummis                | R  | WY-AL |

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AL-14-201-0099

## Congress of the United States Washington, DC 20515

May 22, 2014

The Honorable Gina McCarthy Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue, NW Washington, D.C. 20460

Dear Administrator McCarthy:

We write to express our concerns with the U.S. Environmental Protection Agency's (EPA) planned regulation of carbon dioxide (CO<sub>2</sub>) emissions from existing electric generating units (EGUs) under Section 111(d) of the Clean Air Act (CAA). As we understand, a draft proposed rule, which could have a serious economic impact on the State of Texas, was forwarded by EPA to the Office of Management and Budget (OMB) for interagency review on March 31, 2014 with the timetable for a Notice of Proposed Rulemaking to be issued by June 1, 2014.

It is our position that climate change policy should be directed by Congress. The decision by EPA to move forward with rulemaking to regulate CO<sub>2</sub> emissions from existing EGUs raises serious legal and implementation questions. We share the view expressed by the Texas Commission on Environmental Quality and the Public Utility Commission of Texas that CAA Section 111(d) is not the appropriate vehicle for regulating CO<sub>2</sub> emissions from existing EGUs.<sup>1</sup>

Texas leads the nation in population growth and electricity demand. With a robust manufacturing base, and as the leading producer of oil, gas and petrochemical products, our state is an economic engine for the entire nation. Texas has been able to take this leading role in large part due to the availability of reliable and affordable electricity generated by fossil-fuels such as coal, lignite, and petroleum coke. Given such growth and potential, EPA should recognize that Texans require an all-of-the-above approach to power generation, not one that will raise the cost of electricity by selectively eliminating certain types of fossil fuels.

Affordable and reliable energy is essential to future growth. Beyond ensuring a stable power supply, the industries built around fossil energy have a direct economic impact on local communities in Texas, including in rural areas. Consumer owned electric cooperatives, whose role it is to ensure delivery of affordable electricity, are particularly vulnerable to new power sector regulations. If regulatory changes result in the retirement of a significant amount of generation capacity, it will lead to higher electricity rates, threats to grid reliability, and a loss of jobs.

<sup>&</sup>lt;sup>1</sup> Texas Commission on Environmental Quality and Public Utility Commission of Texas. Comments on CO<sub>2</sub> emissions for EGUs, Section 111(d) of the Clean Air Act. Submitted to the U.S. Environmental Protection Agency on January 14, 2014.

We are troubled by EPA's interpretation of its authority under the CAA. EPA should recognize the authority of states under Section 111(d) to determine for themselves standards of performance for existing sources. To the extent that EPA proceeds with regulations under Section 111(d) for EGUs, we request that EPA work with Congress and the State of Texas to ensure that the rights and interests of the state and its citizens are fully protected. Thank you for your prompt attention to our concerns. Should you have any questions, please contact Brandon Mooney in Congressman Barton's Office at (202) 225-2002 or Wendell Frank White Jr. in Congressman Cuellar's Office at (202) 225-1640.

Sincerely,

| Joe Barton Member of Congress | Henry Cuella<br>Henry Cuella<br>Member of Gongress |
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#### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

AUG 2 8 2014

OFFICE: OF AIR AND RADIATION

The Honorable Michael T. McCaul U.S. House of Representatives Washington, DC 20515

Dear Congressman McCaul:

Thank you for your letter of May 22, 2014, to U.S. Environmental Protection Agency Administrator Gina McCarthy on the Clean Power Plan for Existing Power Plants, which was signed by the Administrator on June 2, 2014. The Administrator asked that I respond on her behalf.

Climate change induced by human activities is one of the greatest challenges of our time. It already threatens human health and welfare and our economic well-being, and if left unchecked, it will have devastating impacts on the United States and the planet. Power plants are the largest source of carbon dioxide emissions in the United States, accounting for roughly one-third of all domestic greenhouse gas emissions.

The Clean Power Plan aims to cut energy waste and leverage cleaner energy sources by doing two things. First, it uses a national framework to set achievable state-specific goals to cut carbon pollution per megawatt hour of electricity generated. Second, it empowers the states to chart their own paths to meet their goals. The proposal builds on what states, cities and businesses around the country are already doing to reduce carbon pollution, and when fully implemented in 2030, carbon emissions will be reduced by approximately 30 percent from the power sector across the United States when compared with 2005 levels. In addition, we estimate the proposal will cut the pollution that causes smog and soot by 25 percent, avoiding up to 100,000 asthma attacks and 2,100 heart attacks by 2020.

Before issuing this proposal, the EPA heard from more than 300 stakeholder groups from around the country, including several from Texas, to learn more about what programs are already working to reduce carbon pollution. These meetings, with states, utilities, labor unions, nongovernmental organizations, consumer groups, industry, and others, reaffirmed that states are leading the way. The Clean Air Act provides the tools to build on these state actions in ways that will achieve meaningful reductions and recognizes that the way we generate power in this country is diverse, complex and interconnected.

We appreciate your providing your views about the effects of the proposal. As you know, we are currently seeking public comment on the proposal, and we encourage you and all interested parties to provide us with detailed comments on all aspects of the proposed rule. The public comment period will remain open for 120 days, until October 16, 2014. We have submitted your letter to the rulemaking docket, but you can submit additional comments via any one of these methods:

- Federal eRulemaking portal: <a href="http://www.regulations.gov">http://www.regulations.gov</a>. Follow the online instructions for submitting comments.
- E-mail: <u>A-and-R-Docket@epa.gov</u>. Include docket ID number HQ-OAR-2013-0602 in the subject line of the message.
- Fax: Fax your comments to: 202-566-9744. Include docket ID number HQ-OAR-2013-0602 on the cover page.
- Mail: Environmental Protection Agency, EPA Docket Center (EPA/DC), Mailcode 28221T, Attention Docket ID No. OAR-2013-0602, 1200 Pennsylvania Avenue, NW, Washington, DC 20460.
- Hand Delivery or Courier: Deliver your comments to: EPA Docket Center, Room 3334, 1301
  Constitution Ave., NW, Washington, DC, 20460. Such deliveries are only accepted during the
  Docket's normal hours of operation, and special arrangements should be made for deliveries of
  boxed information.

Again, thank you for your letter. If you have further questions, please contact me or your staff may contact Cheryl Mackay in the EPA's Office of Congressional and Intergovernmental Relations at mackay.cheryl@epa.gov or at (202) 564-2023.

Sincerely,

Janet G. McCabe

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Acting Assistant Administrator

LAMAR S. SMITH, Texas CHAIRMAN AL-14-000-3283

EDDIE BERNICE JOHNSON, Texas RANKING MEMBER

# Congress of the United States House of Representatives

COMMITTEE ON SCIENCE, SPACE, AND TECHNOLOGY

2321 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515-6301

> (202) 225-6371 www.science.house.gov

December 19, 2013

The Honorable Gina McCarthy Administrator Environmental Protection Agency 1200 Pennsylvania Avenue, NW Washington, D.C. 20004

Dear Administrator McCarthy,

Science is a valuable tool to help policymakers navigate complex issues. However, when inconvenient facts are disregarded or when dissenting voices are muzzled, a frank discussion becomes impossible. The Environmental Protection Agency (EPA) cannot continue to rush ahead with costly regulations without allowing time for a real-world look at the science.

We are concerned about the Agency's apparent disregard for the concerns of its science advisors. On December 3, 2013, Chairman Smith wrote to you about the troubling findings of the Science Advisory Board's (SAB) Work Group highlighting problems with the science that underlies the proposed New Source Performance Standards (NSPS) for power plants. The Work Group showed that EPA rushed ahead with its costly power plant proposal without waiting for the advice of its independent science advisors and that the underlying science lacked adequate peer review.

These discoveries raised serious questions about EPA's proposed rule and clearly merited further review. However, when these concerns were raised, a senior official in the EPA Air Office sought to distance the Agency from the criticisms leveled by the SAB Work Group. Specifically, the EPA claimed that the NSPS is not "setting any requirements on sequestration and not providing any analysis as such because we don't speak to the sequestration." The claim that the rule doesn't need to address storage concerns highlights your Agency's continued lack of transparency and consistent attempts to avoid accountability.

<sup>&</sup>lt;sup>1</sup> Standards of Performance for Greenhouse Gas Emission from New Stationary Sources: Electric utility Generating Units (Sept. 20, 2013).

<sup>&</sup>lt;sup>2</sup> Memorandum from SAB Work Group on EPA Planned Actions for SAB Consideration of the Underlying Science to Members of the Chartered SAB and SAB Liaisons, Nov. 12, 2013.

<sup>&</sup>lt;sup>3</sup> SAB Suggests Dropping Review Of CCS In Utility NSPS After EPA Pushback, InsideEPA, Dec. 5, 2013 (quoting Peter Tsirigotis, Director, Sector Policies and Programs Division, Office of Air and Radiation, US EPA).

While the Agency admitted that there are some unanswered scientific issues regarding carbon capture and storage (CCS) systems, the official noted that "most of those things are outside of this rulemaking." Because long-term geologic storage encompasses new science and lacks a proven regulatory framework, EPA attempted to avoid the obvious questions regarding storage of carbon. In particular, EPA deflects the concerns raised by its science advisors by claiming that the charges of inadequate peer-review relate to studies beyond the scope of the NSPS proposal. In other words, EPA wants people to believe that the rule's regulatory footprint only covers carbon capture, without addressing what happens to the captured carbon.

The Agency's distinction rings hollow. The new mandates in the NSPS rule will create regulatory burdens and litigation risks that could make carbon dioxide from power plants no longer economically viable for use in enhanced oil recovery (EOR) operations. But since EOR is currently the only way to comply with the new power plant rule, this would impede both the practical operation of the rule and erect unnecessary barriers to the use of EOR. As you know, the Committee has already raised concerns with the Agency's premature declaration of adequate demonstration of CCS under the Clean Air Act; unintended burdens on EOR further complicate the analysis.

In order to operate as intended, the proposed NSPS rule demands that carbon captured by CCS technology be made available for use in EOR. In fact, EPA notes in the proposed rule that "the cost of 'full capture' CCS without EOR is outside the range of costs that companies are considering for comparable generation and therefore should not be considered [a Best System of Emissions Reduction] for CO2 emissions for coal-fired power plants." Further, EPA recently argued before the U.S. Supreme Court that its Clean Air Act authority should "ensure that the reductions that had to take place were done in the most cost-effective manner possible."

The importance of being able to use carbon dioxide from power plants in EOR operations was confirmed at the Science Committee's October 29, 2013, hearing on the NSPS proposal. The hearing identified a range of concerns about whether the CCS technology necessary to comply with the proposed rule is commercially ready. In response to our concerns, we were assured that the use of carbon dioxide in EOR operations would be an important part of the way that the NSPS rule would function. For example, Kurt Waltzer, of the Clean Air Task Force, stated that "wide use of carbon dioxide captured from power and industrial plants is vital to expanded use of [EOR] in the U.S. that will increase U.S. oil production and decrease dependence on foreign oil."

Furthermore, testimony in our October hearing made the point that the cost of CCS related operations will be an important part of whether the rule, and the President's larger climate

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⁴Id.

<sup>&</sup>lt;sup>5</sup> In fact, no one has ever successfully obtained the necessary permit to permanently store carbon dioxide under EPA's Class VI injection wells. Consequently, Enhanced Oil Recovery (EOR) is currently the only means of satisfying the terms of the NSPS mandate.

<sup>6</sup> See supra at n. 4.

<sup>&</sup>lt;sup>7</sup> Standards of Performance for Greenhouse Gas Emission from New Stationary Sources: Electric Utility Generating Units (Sept. 20, 2013), prepublication version at 30-31.

<sup>&</sup>lt;sup>8</sup> Transcript of US EPA, et al. v. EME Homer City Generation, L.P., et al., (U.S. Dec. 10, 2013)(No. 12-1182)(argument of Deputy Solicitor General on behalf of EPA) at 32.

<sup>&</sup>lt;sup>9</sup> EPA Power Plant Regulations: Is the Technology Ready?, Subcomm. On Env. Of the H. Comm. On Science, Space, and Technology, 113th Cong. (Oct. 29, 2013) (testimony of Kurt Walzer at 2).

initiatives, can operate effectively. Charles McConnell, from Rice University and a former Assistant Secretary of Energy in the Obama Administration, explained that the President's carbon-related objectives "can only be achieved through the broad global deployment of <u>low cost</u>, commercially viable technology for capturing and permanently and safely storing/utilizing CO<sub>2</sub> from all fossil energy sources." 10

Indeed, the most widely cited example of a CCS development project—the Kemper County, Mississippi project—is predicated on integrating carbon capture with state-of-the-art use of the carbon for EOR purposes. When you testified before our Committee on November 14th, the only domestic project you could name was, in fact, this same project. Although there have been significant delays and cost-overruns, as with any untested technology, we believe the Kemper County project holds promise and will advance our understanding of the science and economics of CCS. However, given the prohibitions of the Energy Policy Act of 2005 (EPAct), <sup>11</sup> this project alone cannot form the basis of adequate demonstration under the Act. Moreover, the encumbrances the NSPS rule unnecessarily places on EOR operations further calls into question whether Kemper can be the basis for such a regulation.

Given the importance EPA places on using EOR to offset the incredible costs of CCS technologies, <sup>12</sup> we are confounded as to why the NSPS rule includes language that would impose new regulatory burdens on EOR operators who seek to use carbon captured from power plants. Specifically, the proposal would require EOR operators to meet new reporting obligations under Subpart RR of the Greenhouse Gas (GHG) reporting rules. <sup>13</sup> Although these Subpart RR reporting rules have always been voluntary, the NSPS would make them mandatory for EOR operators. With this new requirement the EPA quietly declares war on EOR.

This new Agency mandate—placed only on carbon captured to satisfy the NSPS rule for power plants—creates a variety of new regulatory costs. For example, Subpart RR reporting requires that operators draft and obtain EPA approval for monitoring, reporting, and verification (MRV) plans. Not only will such MRV plans be costly to create and administer, the process for approving these plans is likely to result in litigation that will add both costs and delays for EOR operators.

All of these burdens are being imposed on an industry unrelated to power plants and with no clear justification. As EPA noted in the 2010 final GHG rule, the reporting mandates do not directly advance public health.<sup>14</sup> These unnecessary additional costs and delays would be avoided if EPA continued to allow EOR operators accepting power plant CO<sub>2</sub> to report under Subpart UU, which EPA identified in its final GHG reporting rule as the more appropriate for EOR operators.<sup>15</sup>

<sup>&</sup>lt;sup>10</sup> EPA Power Plant Regulations: Is the Technology Ready?, Subcomm. On Env. Of the H. Comm. On Science, Space, and Technology, 113<sup>th</sup> Cong. (Oct. 29, 2013) (testimony of Charles D. McConnell at 3).

 <sup>&</sup>lt;sup>11</sup> 42 U.S.C. § 15962(i). See also Letter from Chairman Lamar Smith to Administrator McCarthy, Nov. 6, 2013.
 <sup>12</sup> Standards of Performance for Greenhouse Gas Emission from New Stationary Sources: Electric utility Generating Units (Sept. 20, 2013), prepublication version at 30-31.
 <sup>13</sup> Id. at 279.

 <sup>&</sup>lt;sup>14</sup> Instead, the Agency claimed that the "greatest benefit of mandatory reporting...will be realized in developing future GHG policies." Mandatory Reporting of Greenhouse Gases: Injection and Geologic Sequestration of Carbon Dioxide; Final Rule, 75 Fed. Reg. 75,060 (Dec. 1, 2010) at 75,075.
 <sup>15</sup> Id. at 75,076.

Further, the NSPS mandates that the EPA imposes on EOR operators are not the only new regulatory burdens operators must shoulder. The NSPS rule must be placed in the context of other rules EPA is pushing through. For example, the Office of Management and Budget has completed its review of an EPA final rule that addresses whether compressed carbon dioxide should be treated as a hazardous waste under the Resource Conservation and Recovery Act (RCRA). We understand that this rule would potentially grant conditional exclusions to particular types of carbon dioxide streams.

While, such a rule seems sensible, it may in fact create substantial uncertainties. For example despite their constructive and commercially important use in EOR, EPA's rule may classify these carbon dioxide streams as "solid waste." Practically speaking, that would mean exposing EOR operators to potential liability under RCRA. If the Agency merely creates a narrow carve-out for Class VI storage wells, it may fail to protect the use of carbon dioxide incidentally stored or injected for EOR purposes. The Agency must ensure that RCRA doesn't create additional obstacles to the use of anthropogenic carbon for EOR activities. The EPA cannot afford to ignore the complex consequences of its rules in real-world applications. Ultimately, the American people will bear the burden if the Agency ignores the cumulative effects of the rule-making web EPA continues to weave.

It is unacceptable that the Agency's power plant rule would create new obstacles to the very technology that the rule purports to advance. Accordingly, we look forward to your explanation regarding the justification for including the new reporting requirements in the proposed rule. We also request any analysis prepared by EPA on the costs associated with this specific provision and how those costs may affect the economic viability of the use of power plant CO<sub>2</sub> in EOR operations. Clearly, this rule covers the entire system of emissions reductions, and as such, EPA must address both the feasibility of new capture technologies and the unanswered concerns about storage of captured carbon.

The EPA's proposed power plant regulations will put Americans out of work and will make electricity more expensive and less reliable. It is misleading and dangerous for EPA to quietly dismiss inconvenient facts and ignore the real-world consequences of its costly regulations. Americans deserve honesty.

Thank you for your prompt attention to this matter.

Sincerely,

Lamar Smith Chairman

WADON

Rep. Raibh M. Hall

Rep. Dana Rohrabacher

Vice Chair

Rep. F. James Sensenbrenner, Jr.

Rep. David Schweikert Rep. Thomas Massie Rep. Jim Bridenstine

Rep. Chris Collins

CC:

David T. Allen, Chair, Science Advisory Board.

James R. Mihelcic, Chair, Science Advisory Board Work Group on EPA Planned Actions

Rep. Eddie Bernice Johnson, Ranking Member, Committee on Science, Space, and Technology



### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

AUG 2 1 2014

OFFICE OF AIR AND RADIATION

The Honorable Michael T. McCaul U.S. House of Representatives Washington, D.C. 20515

Dear Congressman McCaul:

Thank you for your letter dated December 19, 2013, to U.S. Environmental Protection Agency Administrator Gina McCarthy about the EPA's proposed New Source Performance Standards for power plants, and issues raised by our independent science advisors about whether additional review of the science behind the proposal was needed. In addition, your letter discusses the extent to which the proposed standards may impose additional requirements on enhanced oil recovery operations. The EPA refers to the proposed standards as the Carbon Pollution Standards. The Administrator has asked that I respond on her behalf.

As you know, the Carbon Pollution Standards, which are proposed under Section 111(b) of the Clean Air Act, are based on an evaluation of the technology that is available to limit carbon pollution emissions at new power plants. The EPA proposed numeric standards for carbon pollution at new power plants by following a well-established process to determine the "best system of emission reduction ... adequately demonstrated" to limit pollution.

When the Science Advisory Board (SAB) and its workgroups raise questions, the EPA takes them seriously. We use the SAB's routine, transparent, and well-established processes to better understand the nature of the questions and how we can address them. An SAB workgroup asked for information on the potential adverse impacts of carbon capture and sequestration (CCS) in November 2013 and how that issue is addressed in the proposed Carbon Pollution Standards. The SAB workgroup also asked about the adequacy of peer review of U.S. Department of Energy (DOE) National Energy Technology Laboratory (NETL) studies, which the EPA relied on to develop cost estimates for carbon capture technology in the proposed rule. The SAB's transparent, deliberative process provided an opportunity for us to engage in a dialogue to better understand the workgroup's concerns and to provide a clearer explanation of the scope of the proposed rule. The EPA clarified that we are not proposing to set any new requirements related to sequestration in this rule and thus, this rule does not include any new analysis related to such requirements. The EPA also provided some additional information on the basis of the DOE NETL cost studies that the EPA used in developing the proposed rule and the peer review process followed by DOE NETL for that study. The DOE's robust process included outside input from knowledgeable stakeholders including industry, academia and government experts in the design of the study and a peer review of the final report by a wide range of similar experts. While the EPA did not conduct additional peer review of these studies, the different levels of multi-stakeholder technical input and final review meet the requirements to support the analyses as defined by the EPA Peer Review Handbook.

After consideration of the clarifying information and thorough discussion about the issues during several meetings of the SAB that were open to the public, the workgroup recommended to the full SAB that additional review of the science of sequestration was not necessary in the proposed Carbon Pollution Standards. The full SAB agreed with the workgroup's assessment that the EPA did not propose to set any new requirements for sequestration in the Carbon Pollution Standards and that peer review of the DOE cost studies was sufficient. In a memo dated January 29, 2014, the SAB informed the EPA that it will not undertake further review of the science supporting this action. A copy of the memo is attached for your reference.

While the EPA has confidence that geologic sequestration is technically feasible and available, we recognize the need to continue to advance the understanding of various aspects of the technology. The EPA will continue to work with other agencies, researchers, and industry to ensure that our regulations are based on the best available science. The EPA plans to provide a briefing on these activities and periodically update the SAB on the status of its geologic sequestration regulations, ongoing permitting, and collaboration with DOE and other agencies.

Your letter also expresses concerns that, in your view, the proposed standards put additional requirements on enhanced oil recovery (EOR) operations. The proposed standards do not change what is expected of EOR facilities nor do they change any regulatory requirements for the industry. The proposed Carbon Pollution Standards rely on the existing EPA requirements that are already in place for monitoring and permitting CO<sub>2</sub> injection and geologic sequestration. Under the proposed Carbon Pollution Standards, if a new power plant decides to use CCS to comply with the standard, captured CO<sub>2</sub> must be sent to a facility that meets the existing regulatory requirements for monitoring and reporting geologic sequestration. The EPA has an existing permitting framework in place under the Safe Drinking Water Act governing these kinds of projects and has been working closely with states and some facilities in the permitting process. Pilot projects have been permitted under the existing regulatory framework, providing valuable experience and technical information to the EPA and states.

In order to be recognized as conducting geologic sequestration under the existing requirements (Subpart RR of the Greenhouse Gas Reporting Program), all facilities, including EOR, must conduct monitoring and reporting to show that the CO<sub>2</sub> remains underground. For CO<sub>2</sub> that is not recognized as being sequestered, EOR facilities can continue to report under the requirements for CO<sub>2</sub> injection (Subpart UU of the Greenhouse Gas Reporting Program). The EPA believes that it is appropriate to rely on these same, existing requirements for the proposed new source rule, and will closely evaluate comments that we receive on this issue.

Finally, your letter references a provision in the Energy Policy Act of 2005 regarding demonstration projects that received funding under the Department of Energy's Clean Coal Power Initiative. The EPA believes the Carbon Pollution Standards proposal is legally sound and that the provisions in the Energy Policy Act of 2005 do not alter it. In the proposal, the EPA determined that the best system of emission reduction (BSER) for new fossil fuel-fired boilers and integrated gasification combined cycle electric utility generating units is a new efficient unit implementing partial CCS. The EPA based this determination on a review of existing projects that implement CCS, existing projects that implement various components of CCS, planned CCS projects, and scientific and engineering studies of CCS. The determination relies on a wide range of data, information and experience well beyond that generated by projects receiving financial assistance under the Energy Policy Act of 2005 and thus does not depend solely on those projects.

To provide the public with additional information on the Energy Policy Act of 2005 and the proposed standards, the EPA published a Notice of Data Availability (NODA) in the Federal Register on February 26, 2014. Through this NODA and an accompanying technical support document (TSD), the EPA clarifies and solicits comment on its proposed views as to the meaning and significance of relevant provisions of the Energy Policy Act of 2005, including how these provisions may affect the rationale for the proposed BSER determination. We have enclosed copies of the NODA and the TSD for your reference.

Coal-fired power plants are the largest contributor to U.S. greenhouse gas emissions, and climate change poses a serious threat to human health and the environment. The EPA's proposed Carbon Pollution Standards would ensure that progress toward a cleaner, safer, and more modern power sector continues through the deployment of the same types of modern technologies that power companies are already using to build the next generation of power plants.

Again, thank you for your letter. If you have further questions, please contact me or your staff may contact Josh Lewis in the EPA's Office of Congressional and Intergovernmental Relations at lewis.josh@epa.gov or (202) 564-2095.

Sincerely,

7 & G. U.C.

Janet G. McCabe Acting Assistant Administrator

**Enclosures** 



# UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON D.C. 20460

OFFICE OF THE ADMINISTRATOR SCIENCE ADVISORY BOARD

January 29, 2014

EPA-SAB-14-003

The Honorable Gina McCarthy Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue, N.W. Washington, D.C. 20460

Subject: Science Advisory Board (SAB) Consideration of EPA Planned Actions in the Spring 2013 Unified (Regulatory) Agenda and their Supporting Science

### Dear Administrator McCarthy:

As part of its statutory duties, the Science Advisory Board (SAB) recently concluded a series of discussions about possible review of the science supporting major EPA planned actions. The EPA Office of Policy provided notice of release of the Spring 2013 Semiannual Regulatory Agenda on July 3, 2013. Since that time, the SAB held a public meeting on December 4-5, 2013 and public teleconference on January 21, 2014 to discuss whether to review the science supporting any of the planned regulatory actions in that agenda in order to provide advice and comment on the adequacy of the science, as authorized by section (c) of the Environmental Research, Development and Demonstration Authorization Act.

The SAB appreciates the information provided by the EPA Office of Policy and the EPA program offices describing the planned actions, associated scientific questions, and agency plans for scientific analyses and peer review. The SAB also appreciates information provided by the public regarding the planned actions. The written information provided and the results of fact-finding discussions with EPA Staff are available on the SAB website.

The SAB focused its attention on 11 major actions identified by the EPA Office of Policy as being planned but not yet proposed as of the date the Semiannual Regulatory Agenda was published in the *Federal Register* on July 3, 2013. After discussions held at the public meeting on December 4-5, 2013 and the public teleconference on January 21, 2014, the SAB decided that it will not undertake review of the science supporting any actions in the semi-annual regulatory

agenda at this time. However, the SAB wishes to communicate three important points related to the review of major planned actions included in the Spring 2013 Semiannual Regulatory Agenda.

First, in regard to the planned action entitled Revision of 40 CFR Part 192 -- Health and Environmental Protection Standards for Uranium and Thorium Mill Tailings and Uranium In Situ Leaching Processing Facilities (2060-AP43), the SAB wishes to evaluate the science supporting the proposed rule after it is proposed, when more information about the proposed rule and the science supporting it are made available. At that time the SAB will determine whether it wishes to offer advice and comment to the Administrator. The SAB made this decision because there was insufficient information provided by the agency to date about the scientific and technical basis for this planned action.

Second, in regard to the action entitled Standards of Performance for Greenhouse Gas Emissions from New Stationary Sources: Electric Utility Generation Units (2060-AQ91), the SAB defeas to EPA's legal view, communicated to the SAB by staff from EPA's Office of Air and Radiation, that the portion of the rulemaking addressing coal-fired power plants focuses on carbon capture and that the regulatory mechanisms for addressing potential risks associated with carbon sequestration are not within the scope of the Clean Air Act. Carbon sequestration, however, is a complex process, particularly at the scale required under this rulemaking, which may have unintended multi-media consequences. The Board's strong view is that a regulatory framework for commercial-scale carbon sequestration that ensures the protection of human health and the environment is linked in important systematic ways to this rulemaking. Research and information from the EPA, Department of Energy, and other sources related to carbon sequestration merit scientific review by the National Research Council or the SAB. Indeed, the Board notes that Section 704 of the Energy Independence and Security Act of 2007 directly calls for the National Research Council to review such research conducted by the Department of Energy and that this review has not yet occurred. The SAB asks the EPA to explore options for conducting such a review in a timely manner. The Board also advises the agency to monitor technological progress on carbon capture as the regulation is implemented.

Third, and more generally, the SAB is seeking ways to improve the process for future review of the semi-annual regulatory agenda. The Board requests that the EPA describe in a more complete and consistent manner the scientific and technological bases for major planned actions and associated peer review. More complete and timely agency information when the Board begins considering the regulatory agenda will enable the SAB to make informed decisions in an expeditious manner about whether to provide advice and comment on science supporting planned agency actions. The SAB Staff Office will be meeting soon with EPA program offices to discuss improved processes to provide the SAB with the information needed for the Board's deliberations.

On behalf of the SAB, I thank you for the opportunity to support EPA through consideration of the science supporting actions in the agency's regulatory agenda.

Sincerely,

//s//

Dr. David T. Allen, Chair Science Advisory Board

Enclosure

(1) Roster of SAB Members

## U.S. Environmental Protection Agency Science Advisory Board

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**Dr. Gina Solomon**, Deputy Secretary for Science and Health, Office of the Secretary, California Environmental Protection Agency, Sacramento, CA

**Dr. Daniel O. Stram**, Professor, Department of Preventive Medicine, Division of Biostatistics, University of Southern California, Los Angeles, CA

**Dr. Peter S. Thorne**, Director, Environmental Health Sciences Research Center and Professor and Head, Department of Occupational and Environmental Health, College of Public Health, University of Iowa, Iowa City, IA

**Dr. Paige Tolbert**, Professor and Chair, Department of Environmental Health, Rollins School of Public Health, Emory University, Atlanta, GA

**Dr. Jeanne VanBriesen**, Professor, Department of Civil and Environmental Engineering, Carnegie Mellon University, Pittsburgh, PA

Dr. John Vena, University of Georgia Foundation Professor in Public Health and Head, Department of Epidemiology and Biostatistics, Georgia Cancer Coalition Distinguished Scholar, College of Public Health, University of Georgia, Athens, GA

**Dr. Peter J. Wilcoxen**, Associate Professor, Economics and Public Administration, The Maxwell School, Syracuse University, Syracuse, NY

## SCIENCE ADVISORY BOARD STAFF

**Dr. Angela Nugent**, Designated Federal Officer, U.S. Environmental Protection Agency, Washington, DC,

AL-11-001-0182

## Congress of the United States Mashington, DC 20515

June 13, 2011

The Honorable Lisa P. Jackson Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue, NW Washington D.C., 20460

## Dear Administrator Jackson:

For almost two decades, the EPA has required permit applicants to conduct whole effluent toxicity (WET) tests and has required that permits issued in accordance with the National Pollutant Discharge Elimination System (NPDES) comply with Title 40 Code of Federal Regulations Part 122.44(d) with respect to WET. There has been no change in this regulation. However, EPA Region 6 has recently made significant changes in its requirements with respect to how the WET program is implemented pursuant to this regulation. The changes are a requirement to include a sublethal WET permit limit based on the results of sublethal WET tests and a requirement to do studies to identify the cause of failures and corrective programs when only sublethal effects are present.

While we understand, and share, EPA's goal of protecting our waterways from instream toxicity caused by pollutant discharges, we are concerned that the costs and regulatory burden of implementing EPA's policy with regard to sublethal WET test failures is not justified given the apparent lack of environmental benefits based on the following:

- Implementing this policy could cost Texas communities in excess of \$20 million per year.
- EPA's own studies indicate that there is no demonstrated correlation between sublethal WET testing in the laboratory and actual instream impacts.
- Toxicity investigations attempting to identify the causes of test failures when only sublethal effects are present can cost hundreds of thousands to millions of dollars, and to the limited extent that such studies have been attempted; they have typically been unsuccessful in identifying, and eliminating the causes of sublethal WET test failures.
- Subjethal WFT permit limits subject a permit applicant to potential enforcement by state
  agencies, EPA and to third-party citizen suit liability for test failures that may simply be
  the result of the statistical error rate of the test.

Given that the regulatory burden imposed in meeting a sublethal WET limit can be substantial, we urge you to revisit this EPA policy and work with representatives of the regulated community and the Texas Commission on Environmental Quality to refine the policy in a manner that meets the requirements of the federal Clean Water Act but provides more flexibility to the State and takes into consideration the environmental significance and the technical challenges posed by sublethal WET permit limits.

Possible approaches include the following:

- Suspend the imposition of sublethal WET limits until additional studies are conducted that clearly demonstrate a correlation between sublethal test results and instream sublethal toxicity
- Only impose a sublethal WET limit after a permit applicant has conducted a successful study to identify the cause of, and corrective measures to eliminate, test failures.

It is our understanding that TCEQ is supportive of alternatives such as these. In addition, there may be other approaches that reflect the unique challenges of subjethal WET testing while providing adequate protection against instream subjethal toxicity.

We see this not as a request to lessen the regulatory commitment to clean water, but rather an opportunity to refocus our public entities' limited resources in a manner that will most effectively protect water quality. In this challenging economic time of budget cuts and identification of cost-saving opportunities, we seek your help in ensuring that tax-payer and rate-payer funded scientific investigations and capital investments go to measures that clearly result in water quality protection and enhancement.

Thank you for your attention to this matter.

(TX-34)

Solator Kay Mailey Hutchison

Rep. Nenry Quellar (TX-28)

Senator John Cornyn

Rep. Silvestre Reyes (TX-16)

Rep. Mike Conaway (X-11

Rep. Louic Gohmert (TX-01) Rep. Kenny Marchant (TX-24) Rcp. Pete Sessions (TX-32) Rep. Sam Johnson (TX-03) Rep. Rankly Neugebouer (TX-19) Rep. Ted Poe (TX-02) Rep. Kay Granger (TX-12)

Rep. Bill Flores (TX-17).

canseco (TX-23)



## UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 6 1445 ROSS AVENUE, SUITE 1200 DALLAS TX 75202-2733

JUL 1 3 2011

The Honorable Michael T. McCaul House of Representatives Washington, D.C. 20515

Dear Congressman McCaul:

Thank you for your letter dated May 6, 2011, to the U.S. Environmental Protection Agency (EPA) Administrator Lisa P. Jackson regarding sublethal whole effluent toxicity (WET) limits and requirements in wastewater permits issued under the National Pollutant Discharge Elimination System (NPDES) program of the federal Clean Water Act (CWA). Your letter was forwarded to me for response because Texas is within the jurisdiction of Region 6.

We appreciate your interest in this issue and we welcome the opportunity to address the concerns you have raised. For more than six years, the EPA has been meeting with, and providing training to, representatives of the Texas Commission on Environmental Quality (TCEQ), Texas municipalities, industries and environmental groups on the issue of WET permit limits based on chronic sublethal toxicity effects to aquatic organisms. Unfortunately, the TCEQ had not satisfactorily implemented this portion of the CWA, and the result had been excess pollution into Texas waterways.

Your letter suggests that implementing sublethal WET requirements could cost Texas communities in excess of \$20 million per year. The EPA cannot verify the validity of the cost estimate, but we can share with you that across the nation, over 40 states and one territory have successfully incorporated sublethal WET limits into their programs, including states with large industrial economies, including California, Florida, and North Carolina. Through our experience, we have found that typical implementation costs are substantially lower than the figure in your letter suggests.

Your letter indicates that you have "significant concerns that the regulatory burden imposed by sublethal WET limits based on sublethal test results will do little more than expose permittees to significant expenses and liability without any related enhancement to water quality protection." The EPA acknowledges your concern about the "regulatory burden" of sublethal WET limits, but notes that such limits are mandated by the CWA and the EPA's implementing regulations in order to meet Texas water quality standards. The CWA section 301(b)(1)(C) requires that permits include "any more stringent limitation... necessary to meet water quality standards." See also 40 C.F.R. 122.44(d)(1) (same). Texas water quality standards, in turn, contain narrative criteria that specifically require protection against sublethal toxicity. Accordingly, where a discharger has the reasonable potential to cause or contribute to

<sup>19</sup> The following information is from the currently applicable Texas water quality standards. The Texas water quality standards provide protection against chronic total toxicity, which is defined in the standards to include both lethal and sublethal effects. Specifically, the standards provide that "[c]hronic total toxicity, as determined from biomonitoring of effluent samples at appropriate dilutions, must be sufficiently controlled to preclude chronic toxicity in all water in the state with an existing or designated aquatic life use . . . ." 30 Texas Administrative Code (TAC) 307.6(e)(1) (2010). See also TAC 307.6(b)(2) (2000) ("Water in the state with designated or existing aquatic life uses shall not be chronically toxic to aquatic life..."). The standards specifically define chronic toxicity as: "sub-lethal effects, such as growth impairment and reduced reproductive success, but it may also produce lethality." 30 TAC 307.3(a)(11) (2010).

an instream excursion above this narrative criterion, permits must include limits as stringent as necessary to protect against sublethal toxicity, as required by state standards.

Second, your letter asserts that "EPA's own studies indicate that there is no demonstrated correlation between sublethal WET testing in the laboratory and actual instream impacts." This argument – that there is no reliable correlation between sublethal WET testing and instream toxicity – has been specifically addressed and rejected by the D.C. Circuit Court of Appeals. See *Edison Electric Inst. v. EPA*, 391 F.3d 1267 (D.C. Cir. 2004). In that case, industry petitioners had made similar arguments regarding the lack of correlation between laboratory toxicity and instream impacts, particularly at lower levels of toxicity, but the D.C. Circuit Court found that the EPA had successfully demonstrated such correlation with regard to chronic toxicity. Specifically, the *Edison Electric Inst.* court upheld the WET test methods in full, holding that "[b]efore implementing a test method, the EPA must establish that the measured characteristic bears a rational relationship to real-world conditions; the available studies reasonably support such a conclusion with regard to chronic toxicity." *Edison Electric Inst.* at 1274. We are confident in the scientific basis of improving water quality through implementing effluent toxicity testing and limits.

Third, your letter indicates that "[t]oxicity investigations attempting to identify the causes of test failures when only sublethal effects are present are costly and have typically been unsuccessful in identifying and eliminating the causes of sublethal WET test failures." The EPA disagrees with this statement and is aware of multiple successful sublethal-only toxicity studies conducted in Region 6 within the last two years. Those studies, completed by laboratories in Region 6 for Texas permittees, successfully identified the sources of sublethal toxicity. Many of the EPA WET methods are being used by industrial and municipal permittees nationally to successfully identify and eliminate the causes of chronic sublethal WET test failures.<sup>2</sup> Many states have been effectively implementing sublethal toxicity study requirements and limits, and reducing the toxic effects of undifferentiated waste streams on receiving waters. The low—and declining—rate of noncompliance with those toxicity limits indicates that the cause of lethal and sublethal toxicity can in fact be identified and controlled. This program has developed a national track record for identifying and then reducing the toxicity of discharges into the waters of the U.S.

Finally, your letter indicates that "[s]ublethal WET permit limits subject a permittee to potential enforcement action for test failures that may simply be the result of the statistical error rate of the test.' The EPA respectfully disagrees that sublethal WET test failures would simply be the result of statistical error. As discussed above, the D.C. Circuit Court specifically upheld the EPA's WET test methods for sublethal toxicity, finding that the EPA had successfully demonstrated the correlation between laboratory toxicity and instream impacts. See Edison Electric Institute, et al, v. EPA, 391 F. 3d 1267 (D.C. Cir. 2004). In supporting this conclusion, the court pointed to the EPA's Technical Support Document for Water Quality Based Toxics Control (March 1991), which had found that the likelihood that the data may be explained by randomness, rather than actual correlation, to be only 0.1 percent. In other words, there is a strong likelihood that data indicating laboratory toxicity is correlated to instream impacts and cannot be explained away by statistical error. Furthermore, the EPA does not recommend initial response to a single exceedance of a WET limit, causing no known harm, be a formal enforcement action with a civil penalty. See National Policy Regarding Whole Effluent Toxicity Enforcement, Memorandum from Robert Van Heuvelen (Director, Office of Regulatory Enforcement, EPA) and Michael Cook (Office of Wastewater Management, EPA) (August 14, 1995). In addition, the U.S. Supreme Court has found that citizens cannot bring suit against permittees on the basis of a single past violation of a permit limit, where such violation is not part of continuous or intermittent violations reasonably likely to occur in the future. See Gwaltney

<sup>&</sup>lt;sup>2</sup> Toxicity Identification Evaluation: Characterization of Chronically Toxic Effluents, Phase I, Marine Toxicity Identification (TIE) Guidance Document, Phase I, and Methods for Aquatic Toxicity Identification Evaluations: Phase I Toxicity Characterization Procedures, Second Edition

of Smithfield v. Chesapeake Bay Foundation, 484 U.S. 49 (1987). Any violation of a WET limit is of concern and should receive immediate, professional review. However, a single violation does not necessarily require that a formal enforcement action be taken. The enforcement authority has discretion on selecting an appropriate response.

As an alternative to including sublethal WET limits in permits, you suggest suspending the imposition of sublethal WET limits until additional studies are conducted that demonstrate a correlation between sublethal test results and instream sublethal toxicity. These studies already exist, and, as discussed above, the D.C. Circuit Court in the *Edison Electric* case has found that the EPA has already demonstrated this correlation. One such study includes Mr. James D. Horne's paper titled *Sublethal Toxicity Identification – Texas Case Studies* (Presented at the Society of Environmental Toxicology and Chemistry [SETAC] 31<sup>st</sup> Annual Meeting in North America 2010, abstract available at Horne, James D. *Sublethal Toxicity Identification – Texas Case Studies* [Abst RP104], Abstract book SETAC North America 31<sup>st</sup> Annual Meeting, held at the Oregon Convention Center, Portland, Oregon, USA., 07 - 11 November 2010, page 404).

Another alternative you suggest is to impose a sublethal WET limit only after a permittee has conducted a successful Toxicity Reduction Evaluation. However, this would not be consistent with the regulation at 40 CFR 122.44(d)(1)(v), which requires that where a discharge has the reasonable potential to cause or contribute to an instream excursion above a narrative criterion within the applicable state water quality standards, "the permit must contain *effluent limits* for whole effluent toxicity" (emphasis added).

Clean water is the most essential component of healthy Texas ecosystems, wildlife, and the state's economy. The EPA is committed to working constructively with the TCEQ and permit holders to implement all CWA requirements as quickly as possible, and when necessary, to provide technical assistance or funding through federal programs. However, neither inaction nor additional delay — on top of the six years already committed by the agency to resolve WET issues with the state — are viable solutions. The EPA's approach has been successfully implemented by states all over the country, is grounded in sound science, and has been upheld by the federal courts. Fishermen, hunters, and all Texans deserve the agency's and the state's focused attention on bringing this matter to closure.

If you have any further questions, please contact me at (214) 665-2100, or your staff may contact Ms. Cynthia Fanning at (214) 665-2142.

Sincerely yours,

Al Armendariz

Regional Administrator

Identical letters sent to: Please see page 4

The Honorable John Cornyn United States Senate

The Honorable John R. Carter United States House of Representatives

The Honorable Silvestre Reyes
United States House of Representatives

The Honorable Henry Cuellar United States House of Representatives

The Honorable Mike Conaway
United States House of Representatives

The Honorable Louie Gohmert United States House of Representatives

The Honorable John Culberson
United States House of Representatives

The Honorable Lamar Smith United States House of Representatives

The Honorable Kenny Marchant United States House of Representatives

The Honorable Pete Sessions United States House of Representatives

The Honorable Ralph M. Hall United States House of Representatives

The Honorable Kevin Brady United States House of Representatives The Honorable Kay Bailey Hutchison United States Senate

The Honorable Joe Barton
United States House of Representatives

The Honorable Ted Poe
United States House of Representatives

The Honorable Jeb Hensarling
United States House of Representatives

The Honorable Randy Neugebauer United States House of Representatives

The Honorable Pete Olson United States House of Representatives

The Honorable Kay Granger United States House of Representatives

The Honorable Mac Thornberry
United States House of Representatives

The Honorable Blake Farenthold United States House of Representatives

The Honorable Fransisco "Quico" Canseco United States House of Representatives

The Honorable Bill Flores United States House of Representatives

The Honorable Sam Johnson United States House of Representatives AL-14-000-1911



### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

DEC - 2 2013

OFFICE OF THE CHIEF FINANCIAL OFFICER

The Honorable Michael McCaul Chairman Committee on Homeland Security U.S. House of Representatives Washington, D.C. 20515

Dear Mr. Chairman:

I am writing to inform you of the availability of the draft of the U.S. Environmental Protection Agency's (EPA) FY 2014-2018 Strategic Plan, which supports the four-year update required by the Government Performance and Results Act (GPRA) Modernization Act of 2010 (Public Law 11-352). The agency's Strategic Plan identifies measurable environmental and human health outcomes the agency expects to achieve over the next four years. This draft plan updates the previous plan by making targeted revisions that seek to strengthen the agency's partnerships, and convey how the EPA will do business more effectively and efficiently to advance environmental and human health protection.

We are making the draft plan available in accordance with the requirements of the GPRA Modernization Act. Pursuant to the requirements of that Act, the draft plan is additionally being made available for public comment through January 3, 2014.

We will consider feedback we receive during the comment process as we prepare the final FY 2014-2018 EPA Strategic Plan for anticipated release in February/March 2014. For your convenience, the draft of the plan is accessible through <a href="http://www2.epa.gov/planandbudget/strategicplan">http://www2.epa.gov/planandbudget/strategicplan</a>.

If you have any questions or concerns or wish to obtain a hard copy of the draft plan, please contact me or have your staff contact Carolyn Levine in EPA's Office of Congressional and Intergovernmental Relations at <a href="mailto:levine.carolyn@epa.gov">levine.carolyn@epa.gov</a> or (202) 564-1859.

Sincerely,

Maryann Froehlich

Acting Chief Financial Officer

Mayan Froller



AL-17-000-6988

## UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

MAR 3.1 2017

OFFICE OF CIVIL RIGHTS

The Honorable Michael McCaul Chairman Committee on Homeland Security U.S. House of Representatives Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to submit the enclosed copy of the U.S. Environmental Protection Agency's Fiscal Year 2016 annual report prepared in accordance with Section 203 of the Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (No FEAR Act), Public Law 107-174.

This report provides information regarding the number of cases arising under the respective areas of law cited in the No FEAR Act where discrimination was alleged; the amount of money required to be reimbursed by the EPA to the Judgment Fund in connection with such cases; the number of employees disciplined for discrimination, retaliation, harassment or any other infractions of any provision of law referred to under the No FEAR Act; an analysis of trends and knowledge gained; and accomplishments.

An identical letter has been sent to each entity designated to receive this report as listed in Section 203 of the No FEAR Act. The U.S. Attorney General, the Chair of the U.S. Equal Employment Opportunity Commission, and the Director of the U.S. Office of Personnel Management will also be sent a copy of the report.

If you have any questions, please contact me, or your staff may contact Thea J. Williams in EPA's Office of Congressional and Intergovernmental Relations at williams.thea@epa.gov or (202) 564-2064.

Sincerely,

Tanya A. Lawrence

Janya a. Lawrence

Acting Director

Enclosure

MICHAEL C. BURGESS, M.D. 26TH DISTRICT, TEXAS

WASHINGTON OFFICE: 1721 LONGWORTH HOUSE OFFICE BUILDING WASHINGTON, DC 20515 (202) 225-7772

DISTRICT OFFICE:
1660 SOUTH STEMMONS STREET
SUITE 230
LEWISVILLE, TX 75067
(972) 434-9700

www.house.gov/burgess

AC-08-000-8149

# Congress of the United States House of Representatives

Washington, DC 20515-4326

COMMITTEES: TRANSPORTATION AND INFRASTRUCTURE

SUBCOMMITTEES: HIGHWAYS, TRANSIT, AND PIPELINES

ECONOMIC DEVELOPMENT, PUBLIC BUILDINGS, AND EMERGENCY MANAGEMENT

SCIENCE

SUBCOMMITTEES:
SPACE AND AERONAUTICS

ENVIRONMENT, TECHNOLOGY, AND STANDARDS

HOUSE REPUBLICAN POLICY COMMITTEE

June 11, 2008

The Honorable Stephen Johnson Administrator Environmental Protection Agency Ariel Rios Building 1200 Pennsylvania Avenue, N.W. Washington, D.C. 20460

Dear Administrator Johnson:

Please accept the attached letter signed by 24 Members of the United States House of Representatives representing the people of the state of Texas.

Please include this letter in the appropriate administrative record.

Sincerely,

Michael C. Burgess, M.

Member of Congress

## Congress of the United States Washington, DC 20515

June 11, 2008

The Honorable Stephen Johnson Administrator U.S. Environmental Protection Agency Washington, D.C. 20460

### Dear Administrator Johnson:

We are writing to comment on the State of Texas' petition to reduce the volume of the renewable fuel standard (RFS) mandate required to be used in motor vehicles and other engines. Governor Perry's request to reduce the mandate, citing adverse economic impact in Texas, is consistent with Section 211 (o) of the Clean Air Act as amended by the Energy Independence and Security Act of 2007 (EISA).

Under the EISA, the RFS was expanded to require the blending of 36 billion gallons of renewable fuel in the nation's fuel supply by 2022. While we strongly support alternative sources of energy to diversify America's energy supplies, we remain deeply concerned with recent economic studies and news reports that highlight the unintended consequences that certain biofuels may have on global food prices, our environment, and the economy of our state.

In the span of a year, working families have had to tighten their budgets as the price of a dozen eggs rose by 35%, a gallon of milk by 23%, and a loaf of bread by 16%. Livestock producers and family ranches have endured increasing prices for a bushel of corn for feed, which can negatively impact segments of the agricultural industry. These increased costs are falling on the economy at the same time that skyrocketing energy prices are taking a toll on our constituent's pocketbooks.

While we recognize there are several factors contributing to rising food and feed prices, we are concerned with any additional potential impacts certain biofuels may have on consumers and our economy as the RFS mandate increases in the years ahead. Our nation must do more to advance alternative energy sources, like cellulosic ethanol and advanced biofuels from non-food feedstocks, that offer real solutions to the "food versus fuel" debate.

As you know, Section 211 (o) of the Clean Air Act enables the EPA to grant a full or partial waiver if implementation of the RFS would severely harm the economy or environment of a state, region, or the entire country.

We respectfully request EPA to conduct a thorough and complete investigation into Governor Perry's request, with consideration of the economic effect that the expansion of the renewable fuels standard may have upon the state of Texas. We also support the development of alternatives like cellulosic and advanced biofuels to meet the RFS requirements that do not contribute to rising food costs or economic harm concerns.

\_ no fa Buton The The Pete Sessions Duman Outy Lamar Smith The Honorable Michael C. Burgess, M.D.

The Honorable Joe Barton

The Honorable Gene Green

The Honorable Kay Granger

The Honorable Michael T. McCaul

The Honorable John Abney Culberson

The Honorable Ron Paul

The Honorable Sam Johnson

The Honorable Kenny Marchant

The Honorable Pete Sessions

The Honorable Soloman P. Ortiz

The Honorable Lamar Smith

The Honorable John Carter

The Honorable Michael K. Conaway

The Honorable Mac Thornberry

The Honorable Louie Gohmert

The Honorable Al Green

The Honorable Charles A. Gonzalez

The Honorable Jeb Hensarling

The Honorable Sheila Jackson-Lee

The Honorable Nick Lampson

The Honorable Randy Neugebauer

The Honorable Ralph M. Hall

The Honorable Ruben Hinojosa



### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

JUL 1 1 2008

OFFICE OF AIR AND RADIATION

The Honorable Michael T. McCaul U.S. House of Representatives Washington, D.C. 20515

Dear Congressman McCaul:

Thank you for your letter of June 11, 2008, co-signed by 23 of your colleagues, to Stephen L. Johnson, Administrator of the U.S. Environmental Protection Agency (EPA). Your letter requests that EPA conduct a thorough and complete investigation of the request by Governor Perry to waive a portion of the renewable fuels standard (RFS), with consideration of the economic effects on the State of Texas. Let me assure you that EPA is conducting such a review, utilizing the public notice and comment process required by the Energy Independence and Security Act of 2007 (EISA).

EPA received the Governor's waiver request, related to the current RFS requirements, on April 25, 2008. A copy of the *Federal Register* notice announcing receipt of the waiver request and soliciting public comment is enclosed. This notice calls for comment on any matter that may be relevant to EPA action on the petition, including whether compliance with RFS is causing severe harm to the economy of Texas and to what extent, if any, a waiver approval would change demand for ethanol and affect corn and feed prices. Please be assured that we will take your concerns into consideration in this matter and will place your letter in the docket for the waiver request.

EPA's Office of Air and Radiation is also considering new and revised RFS requirements, as required by EISA. We are working expeditiously on this matter and, as with our development of the first RFS program, a key part of this effort is extensive outreach to stakeholders from industry, state and local governments, and non-governmental organizations. The issues raised in your letter will be discussed and analyzed as part of this rulemaking effort.

Again, thank you for your letter. If you have further questions please contact me or your staff may call Patricia Haman, in EPA's Office of Congressional and Intergovernmental Relations, at 202-564-2806.

Sincerely,

Robert J Meyer

Principal Deputy Assistant Administrator

**Enclosure** 

On April 11, 2008, notice was published that the Commonwealth of Massachusetts had petitioned the Regional Administrator, Environmental Protection Agency, to determine that adequate facilities for the safe and sanitary removal and treatment of sewage from all vessels are reasonably available for the state waters of Scituate, Marshfield, Cohasset, and the tidal portions of the North and South Rivers. No comments were received on this petition.

The petition was filed pursuant to Section 312(f)(3) of Public Law 92–500, as amended by Public Laws 95–217 and 100–4, for the purpose of declaring these waters a "No Discharge Area" (NDA).

Section 312(f)(3) states: After the effective date of the initial standards and regulations promulgated under this section, if any State determines that the protection and enhancement of the quality of some or all of the waters within such States require greater environmental protection, such State may completely prohibit the discharge from all vessels of any sewage, whether treated or not, into such waters, except that no such prohibition shall apply until the Administrator determines that adequate facilities for the safe and sanitary removal and treatment of sewage from all vessels are reasonably available for such water to which such prohibition would apply.

The information submitted to EPA by the Commonwealth of Massachusetts certifies that there are ten pumpout facilities located within the proposed area. A list of the facilities, with phone numbers, locations, and hours of operation is appended at the end of this determination.

Based on the examination of the petition, its supporting documentation, and information from site visits conducted by EPA New England staff, EPA has determined that adequate facilities for the safe and sanitary removal and treatment of sewage from all vessels are reasonably available for the area covered under this determination.

This determination is made pursuant to Section 312(f)(3) of Public Law 92–500, as amended by Public Laws 95–217 and 100–4.

### PUMPOUT FACILITIES WITHIN PROPOSED NO DISCHARGE AREA

| Name                                    | Location                 | Contact info        | Hours                     | Mean low<br>water depth |
|---|--------------------------|---------------------|---------------------------|-------------------------|
| Cohasset Harbormaster                   | Cohasset Harbor          | (781) 383–0863      | 15 May-1 Nov              | N/A.                    |
|   |                          | VHF 10, 16          | 9:00 a.m9:00 p.m          | Boat Service.           |
| Cole Parkway Marina                     | Scituate Harbor          | (781) 545–2130      | 15 May-15 October         | 6 ft.                   |
| •                                       |                          | VHF 9               | 8:00 a.m4:00 p.m          |                         |
| Harbor Mooring Service                  | North and South Rivers   | (781) 544–3130      | 15 April-1 November       | N/A.                    |
|   | ,                        | Cell (617) 281-4365 | Service provided on-call  | Boat Service.           |
|   |                          | VHF 9               | ·                         |                         |
| James Landing Marina                    | Herring River, Scituate  | (781) 545-3000      | 1 May-15 Oct              | 6 ft.                   |
|   |                          | , ,                 | 8 a.m4:30 p.m             |                         |
| Waterline Mooring                       | Scituate Harbor          | (781) 545-4154      | 15 May-15 Oct             | N/A.                    |
| ••••••••••••••••••••••••••••••••••••••• |                          | VHF 9, 16           | 8 a.m5 p.m                | Boat Service.           |
|   |                          | ,                   | Or by appointment         |                         |
| Green Harbor Town Pier                  | Green Harbor, Marshfield | (781) 834–5541      | 1 April-15 Nov 24/7 Self- | 4 ft.                   |
| ,                                       | ,                        | VHF 9, 16           | Serve 15 May-30 Sept.     |                         |
|   |                          |                     | Attendant Service 8 a.m   |                         |
|   |                          |                     | 11:30 p.m                 |                         |
| Bridgewaye Manna                        | South River, Marshfield  | (781) 837–9343      | 15 June-15 October        | 6 ft.                   |
|   |                          | VHF 9, 11           | 9–5 p.m                   |                         |
| Erickson's Marina                       | South River, Marshfield  | (781) 837–2687      | 15 March-15 November      | 4 ft.                   |
|   |                          | , ==, ===           | 8 a.m5 p.m                | -                       |
| White's Ferry Marina                    | South River, Marshfield  | (781) 837-9343      | 15 June-15 October        | 4 ft.                   |
|   |                          | VHF 9, 11           | 9–5 p.m                   |                         |
| Mary's Boat Livery                      | North River, Marshfield  | (781) 837-2322      | 15 May-1 Oct              | 4 ft.                   |
| ,,                                      |                          | VHF 9, 16           | 8 a.m4 p.m                |                         |
| " Marshfield Yacht Club                 | South River, Marshfield  | TBA                 | TBA                       | TBA.                    |
| "South River Boat Ramp                  | South River, Marshfield  | TBA                 | TBA                       | TBA.                    |

<sup>&</sup>quot; Pending facilities.

Dated: May 14, 2008.

Robert W. Varney,

Regional Administrator, Region 1.

[FR Doc. E8-11485 Filed 5-21-08; 8:45 a.m.]

BILLING CODE 8560-50-P

## ENVIRONMENTAL PROTECTION AGENCY

[EPA-HQ-OAR-2008-0380; FRL-8569-5]

Notice of Receipt of a Request From the State of Texas for a Walver of a Portion of the Renewable Fuel Standard

AGENCY: Environmental Protection Agency (EPA). ACTION: Notice.

SUMMARY: In accordance with section 211(o)(7) of the Clean Air Act (the Act), 42 U.S.C. 7545(o)(7), EPA is issuing a

notice of receipt of a request for a waiver of 50 percent of the renewable fuel standard (RFS) "mandate for the production of ethanol derived from grain." The request has been made by the Governor of the State of Texas. Section 211(o)(7)(A) of the Act allows the Administrator of the EPA to grant the waiver if implementation of the national RFS requirements would severely harm the economy or environment of a state, a region, or the United States, or if EPA determines that there is inadequate domestic supply of renewable fuel. EPA is required by the Act to provide public notice and

opportunity for comment on this request.

DATES: Comments. Written comments must be received on or before June 23,

ADDRESSES: Submit your comments, identified by Docket ID No. EPA-HQ-OAR-2008-0380, by one of the following methods:

- http://www.regulations.gov: Follow the on-line instructions for submitting comments
  - E-mail: a-and-r-docket@epa.gov.
  - Fax: (202) 566-1741.
- Mail: Air and Radiation Docket, Docket ID No. EPA-HQ-OAR-2008-0380, Environmental Protection Agency, Mailcode: 6102T, 1200 Pennsylvania Avenue, NW., Washington, DC 20460. Please include a total of two copies.
- Hand Delivery: EPA Docket Center, Public Reading Room, EPA West Building, Room 3334, 1301 Constitution Avenue, NW., Washington, DC 20460. Such deliveries are only accepted during the Docket's normal hours of operation, and special arrangements should be made for deliveries of boxed information.

Instructions: Direct your comments to Docket ID No. EPA-HQ-OAR-2008-0380. EPA's policy is that all comments received will be included in the public docket without change and may be made available online at http:// www.regulations.gov, including any personal information provided, unless the comment includes information claimed to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute. Do not submit information that you consider to be CBI or otherwise protected through http:// www.regulations.gov or e-mail. The http://www.regulations.gov Web site is an "anonymous access" system, which means EPA will not know your identity or contact information unless you provide it in the body of your comment. If you send an e-mail comment directly to EPA without going through http:// www.regulations.gov, your e-mail address will be automatically captured and included as part of the comment that is placed in the public docket and made available on the Internet. If you submit an electronic comment, EPA recommends that you include your name and other contact information in the body of your comment and with any disk or CD-ROM you submit. If EPA cannot read your comment due to technical difficulties and cannot contact you for clarification, EPA may not be able to consider your comment. Electronic files should avoid the use of special characters, any form of

encryption, and be free of any defects or viruses. For additional information about EPA's public docket visit the EPA Docket Center homepage at http:// www.epa.gov/epahome/dockets.htm.

FOR FURTHER INFORMATION CONTACT: James W. Caldwell, Office of Transportation and Air Quality, Mailcode: 6406], Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, DC 20460; telephone number: (202) 343-9303; fax number: (202) 343-2802; e-mail address: caldwell.jim@epa.gov.

#### SUPPLEMENTARY INFORMATION:

#### (A) How Can I Access the Docket and/ or Submit Comments?

EPA has established a public docket for this ICR under Docket ID No. EPA-HQ-OAR-2008-0380, which is available for online viewing at http:// www.regulations.gov, or in person viewing at the EPA/DC Docket Center Public Reading Room, 1301 Constitution Avenue, NW., Room 3334, Washington, DC. The EPA/DC Public Reading Room is open from 8:30 a.m. to 4:30 p.m., Monday through Friday, excluding legal holidays. The telephone number for the Reading Room is 202-566-1744, and the telephone number for the Air and Radiation Docket is 202-566-1742.

Use http://www.regulations.gov to obtain a copy of the waiver request, submit or view public comments, access the index listing of the contents of the docket, and to access those documents in the public docket that are available electronically. Once in the system, select "search," then key in the docket ID number identified in this document.

#### (B) What Information Is EPA Particularly Interested In?

On April 25, 2008, the Governor of Texas submitted a request to the Administrator under section 211(o) of the Act for a waiver of 50 percent of the RFS "mandate for the production of ethanol derived from grain." The request includes statements regarding the economic impact of higher corn prices in Texas. This request has been placed in the public docket.

Pursuant to section 211(o)(7) of the Act, EPA specifically solicits comments and information to enable the Administrator to determine if the statutory basis for a waiver of the national RFS requirements has been met and, if so, the extent to which EPA should exercise its discretion to grant a waiver. Section 211(o)(7) of the Act allows the Administrator, in consultation with the Secretary of Agriculture and the Secretary of Energy, to waive the requirements of the

national RFS at 40 CFR 80.1105, in whole or in part, upon petition by one or more States. A waiver may be granted if the Administrator determines, after public notice and an opportunity for public comment, that implementation of the RFS requirements would severely harm the economy or environment of a state, a region, or the United States; or that there is an inadequate domestic supply of renewable fuel. The Administrator, in consultation with the Secretary of Agriculture and the Secretary of Energy, shall approve or disapprove a State petition for a waiver within 90 days of receiving it. If a waiver is granted, it can last no longer than one year unless it is renewed by the Administrator after consultation with the Secretary of Agriculture and the Secretary of Energy. The RFS for 2008 was published in the Federal Register on February 14, 2008 (73 FR 8665) and was intended to lead to the use of nine (9) billion gallons of renewable fuel in 2008.

EPA requests comment on any matter that might be relevant to EPA's action on the petition, specifically including (but not limited to) information that will enable EPA to:

(a) Evaluate whether compliance with the RFS is causing severe harm to the economy of the State of Texas:

(b) evaluate whether the relief requested will remedy the harm; (c) determine to what extent, if any,

a waiver approval would change demand for ethanol and affect corn or feed prices; and

(d) determine the date on which a waiver should commence and end if it

were granted.

In addition to inviting comments on the above issues, EPA recognizes that it has discretion in deciding whether to grant a waiver, as the statute provides that "[t]he Administrator \* \* \* may waive the requirements of [section 211(o)(2)] in whole or in part' (emphasis supplied) if EPA determines that the severe harm criteria has been met. EPA also recognizes that a waiver would involve reducing the national volume requirements under section 211(o)(2), which would have effects in areas of the country other than Texas. including areas that may be positively impacted by the RFS requirements. Given this, EPA invites comment on all issues relevant to deciding whether and how to exercise its discretion under this provision, including but not limited to the impact of a waiver on other regions or parts of the economy, on the environment, on the goals of the renewable fuel program, on appropriate mechanisms to implement a waiver if a waiver were determined to be

appropriate, and any other matters considered relevant to EPA's exercise of discretion under this provision.

Commenters should include data or specific examples in support of their comments in order to aid the Administrator in determining whether to grant or deny the waiver. Data that shows a quantitative link between the use of corn for ethanol and corn prices, and on the impact of the RFS mandate on the amount of ethanol produced, would be especially helpful.

Dated: May 16, 2008.

#### Robert J. Meyers,

Principal Deputy Assistant Administrator, Office of Air and Radiation.

[FR Doc. E8-11486 Filed 5-21-08; 8:45 am]

## FEDERAL COMMUNICATIONS COMMISSION

Public Information Collection Requirement Submitted to OMB for Review and Approval, Comments Requested

May 19, 2008.

SUMMARY: The Federal Communications Commission, as part of its continuing effort to reduce paperwork burden, invites the general public and other Federal agencies to take this opportunity to comment on the following information collection, as required by the Paperwork Reduction Act of 1995, Public Law 104-13. An agency may not conduct or sponsor a collection of information unless it displays a currently valid control number. No person shall be subject to any penalty for failing to comply with a collection of information subject to the Paperwork Reduction Act (PRA) that does not display a valid control number. Comments are requested concerning: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information shall have practical utility; (b) the accuracy of the Commission's burden estimate; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on the respondents, including the use of automated collection techniques or other forms of information technology.

DATES: Written Paperwork Reduction Act (PRA) comments should be submitted on or before June 23, 2008. If you anticipate that you will be submitting comments, but find it difficult to do so within the period of time allowed by this notice, you should advise the contacts listed below as soon as possible.

ADDRESSES: Direct all PRA comments to Nicholas A. Fraser, Office of Management and Budget, via Internet at Nicholas\_A.\_Fraser@omb.eop.gov or via fax at (202) 395-5167 and to Cathy Williams, Federal Communications Commission, Room 1-C823, 445 12th Street, SW., Washington, DC or via Internet at Cathy.Williams@fcc.gov or PRA@fcc.gov. To view a copy of this information collection request (ICR) submitted to OMB: (1) Go to the Web page http://www.reginfo.gov/public/do/ PRAMain; (2) look for the section of the Web page called "Currently Under Review;" (3) click on the downwardpointing arrow in the "Select Agency" box below the "Currently Under Review" heading; (4) select "Federal Communications Commission" from the list of agencies presented in the "Select Agency" box; (5) click the "Submit" button to the right of the "Select Agency" box; and (6) when the list of FCC ICRs currently under review appears, look for the title of this ICR (or its OMB control number, if there is one) and then click on the ICR Reference Number to view detailed information about this ICR.

FOR FURTHER INFORMATION CONTACT: For additional information or copies of the information collection(s), contact Cathy Williams at (202) 418–2918.

SUPPLEMENTARY INFORMATION:

OMB Control Number: 3060–0009.
Title: Application for Consent to
Assignment of Broadcast Station
Construction Permit or License or
Transfer of Control of Corporation
Holding Broadcast Station Construction
Permit or License.

Form Number: FCC Form 316.
Type of Review: Revision of a currently approved collection.

Respondents: Business or other forprofit entities; Not-for-profit institutions; State, local or Tribal government.

Number of Respondents and Responses: 750 respondents, 750 responses.

Frequency of Response: On occasion

reporting requirement.

Obligation To Respond: Required to obtain benefits—Statutory authority for this collection of information is contained in Sections 154(i) and 310(d) of the Communications Act of 1934, as amended.

Estimated Time per Response: 1-4 hours.

Total Annual Burden: 855 hours. Total Annual Costs: \$425,150. Confidentiality: No need for confidentiality required. Privacy Impact Assessment: No impact(s).

Needs and Uses: On March 17, 2005. the Commission released a Second Order on Reconsideration and Further Notice of Proposed Rulemaking, Creation of a Low Power Radio Service. MB Docket No. 99-25 (FCC 05-75). The Further Notice of Proposed Rulemaking ("FNPRM") proposed to permit the assignment or transfer of control of Low Power FM (LPFM) authorizations where there is a change in the governing board of the permittee or licensee or in other situations corresponding to the circumstances described above. This proposed rule was subsequently adopted in a Third Report and Order and Second Further Notice of Proposed Rulemaking, MB Docket No. 99-25 (FCC 07-204) (Third Report and Order), released on December 11, 2007.

FCC Form 316 has been revised to encompass the assignment and transfer of control of LPFM authorizations, as proposed in the FNPRM and subsequently adopted in the Third Report and Order, and to reflect the ownership and eligibility restrictions applicable to LPFM permittees and licensees.

Filing of the FCC Form 316 is required when applying for authority for assignment of a broadcast station construction permit or license, or for consent to transfer control of a corporation holding a broadcast station construction permit or license where there is little change in the relative interest or disposition of its interests; where transfer of interest is not a controlling one; there is no substantial change in the beneficial ownership of the corporation; where the assignment is less than a controlling interest in a partnership; where there is an appointment of an entity qualified to succeed to the interest of a deceased or legally incapacitated individual permittee, licensee or controlling stockholder; and, in the case of LPFM stations, where there is a voluntary transfer of a controlling interest in the licensee or permittee entity. In addition, the applicant must notify the Commission when an approved transfer of control of a broadcast station construction permit or license has been consummated.

OMB Control Number: 3060–0031.

Title: Application for Consent to
Assignment of Broadcast Station
Construction Permit or License;
Application for Consent to Transfer
Control of Entity Holding Broadcast
Station Construction Permit or License;
Section 73.3580, Local Public Notice of
Filing of Broadcast Applications.

AL-10-001-0221

# Congress of the United States

Washington, DC 20515

June 17, 2010

The Honorable Lisa Jackson Administrator U.S. Environmental Protection Agency 1200 Pennsylvania Avenue, N.W. Washington, DC 20460

Dear Administrator Jackson:

As you know, the State of Texas has been extremely successful in improving air quality over the past decade, and has been a national leader in reducing emissions and known pollutants. Since 2000, the State has achieved a 22 percent reduction in ozone and a 46 percent decrease in nitrogen oxide (NOx) emissions, compared to an 8 percent reduction in national ozone levels and a 27 percent reduction in national NOx levels between 2000 and 2008. Currently there are no Texas counties in nonattainment for fine particulate matter (PM 2.5) which is one of the pollutants with the greatest impact on human health. Carbon dioxide (CO2) emissions from fossil fuel usage have also fallen by more than almost any other state, and Texas is ranked among the highest in the nation for clean energy jobs and clean energy venture capital investments. Texas has achieved major pollution and emissions reductions while at the same time promoting economic prosperity and job creation despite population growth of nearly 3.5 million over the past decade.

While most would regard the Texas air quality successes as commendable and a model for other states, the Environmental Protection Agency (EPA) has recently threatened to take over the State's delegated Clean Air Act Title V operating permit program. Although air quality permitting under the federal Clean Air Act is delegated to the Texas Commission on Environmental Quality (TCEQ), EPA took the unprecedented step on May 25, 2010, of circumventing TCEQ and notifying a refinery in Texas, which has an operating permit issued by TCEQ, that to continue operations the refinery must obtain a new operating permit directly from your agency. EPA Region 6 has directed the facility to submit a new operating permit application and additional detailed information directly to the EPA not later than September 15, 2010, or be subject to potential EPA or Department of Justice enforcement actions or penalties. According to press reports, EPA's new regional administrator for EPA Region 6 has threatened to federalize operating permits for other major Texas facilities as well.

We are not aware of similar actions by EPA to take over a delegated Title V permitting program from any other state. EPA's actions appear to relate primarily to the agency's objections to TCEQ's longstanding "flexible permitting program" adopted in 1994. That program facilitates emissions reductions at plants and other facility sites by setting overall emissions caps and allowing companies to meet their business needs while demonstrating their compliance with the overall caps and with both state and federal law. This approach gives companies operational flexibility to reduce emissions cost-effectively and efficiently without triggering excessive, unwarranted permitting activities, and regulatory burdens. The program is particularly well suited to Texas where there are many complex facilities, including refineries, chemical, and petrochemical facilities, which may have hundreds or thousands of individual pieces of equipment or individual emissions sources on site, and where additional permitting and regulatory burdens would achieve no net environmental benefit.

We believe the Texas flexible permitting program is consistent with the provisions of the Clean Air Act and has played a critical role in the significant and continuing success of the Texas air quality program. Mandating individual permitting and pollution technology controls for each piece of equipment or unit that is a source of emissions at a large site would be extraordinarily complicated, expensive, and inefficient, and undermine environmental protection by discouraging appropriate upgrades and operational improvements at those facilities. Such additional regulatory permitting burdens would also result in costs that will be passed on to consumers in the form of higher prices for fuel, electricity, and other goods and services.

As a practical matter, your agency's actions on May 25, 2010, and EPA threats to take over operating permits at Texas facilities, are putting on hold major new projects (including pollution control projects), stalling the creation of thousands of associated new jobs, and creating substantial regulatory uncertainty for many facilities across the state that directly employ tens of thousands of workers. These facilities are critical not only to the State's economy, but also to the nation because Texas supplies more than one-fifth of the nation's crude oil, refines more than a quarter of the nation's fuel supply, provides more than a quarter of the nation's natural gas (more than any state), and manufactures approximately 60 percent of the chemicals used in the United States.

We understand TCEQ, which in the past has had a cooperative working relationship with EPA, has been participating in ongoing discussions with your agency to address EPA concerns with the flexible permitting and other aspects of the State's air quality program. We are informed TCEQ has provided EPA with detailed and extensive written responses, as well as additional rule proposals, to attempt to resolve specific issues your agency has raised and that TCEQ continues to try to address EPA's evolving issues and concerns.

We do not believe EPA should be setting a precedent to supersede a successful state program that has reduced emissions and improved the air quality. Given Texas' strong record of success, particularly compared to other states with large populations and metropolitan areas, and in view of the regulatory uncertainty and adverse economic and job impacts resulting from EPA recent and threatened permitting actions, we urge EPA to reconsider the permitting action taken on May 25, 2010, and to refrain from any further actions to take over other operating permits in Texas. We further request your assurances that EPA will continue to work collaboratively with TCEQ to resolve EPA's outstanding issues with the Texas air permitting program. Thank you for your attention to this matter and we look forward to your response.

Sincerely,

Kay Bailey Hutchison

U.S. Senator

John Cornyn U.S. Senator

Joe Barton

Member of Congress

Randy Neugebauer Member of Congress

| Lamar Smith Member of Congress      | Ralph Hall Member of Congress              |
|-------------------------------------|--|
| Mike Conaway Member of Congress     | John Culberson  Member of Congress         |
| Kenny Marchant Member of Congress   | Pete Sessions Member of Congress           |
| Ted Po<br>Member of Congress        | Mac Thornberry Member of Congress          |
| Jeffleyel: Jef Hensarling           | John Carter                                |
| Member of Congress  Michael McCaul  | Member of Congress  Dr. Michael Burgess    |
| Member of Congress                  | Member of Congress  Paul                   |
| Kay Gringer Member of Congress      | Dr. Ron Paul Member of Congress  Zeww Seem |
| Pete Olson Member of Congress       | Kevin Brady Member of Concress             |
| Louie Gohmert<br>Member of Congress | Sam Johnson<br>Member of Congress          |



## UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

### REGION 6 1445 ROSS AVENUE, SUITE 1200 DALLAS TX 75202-2733

AUG 4 2010

The Honorable Michael McCaul House of Representatives Washington, D.C. 20515

Dear Congressman McCaul:

Thank you for your letter dated June 17, 2010, to the U.S. Environmental Protection Agency (EPA) Administrator Lisa P. Jackson regarding EPA's efforts to enforce the provisions of the Clean Air Act in Texas. Administrator Jackson forwarded your letter to me for reply because Texas is within the jurisdiction of EPA Region 6.

In your letter, you outlined your concerns about EPA's actions as it works with the Texas Commission on Environmental Quality (TCEQ) to ensure that the Texas air permitting program complies with the Clean Air Act. EPA is responsible for guaranteeing that the people of Texas receive the health protection they deserve – the same level of protection established for all Americans in the Clean Air Act. Unfortunately, several TCEQ air permitting rules and practices have contributed to permits that do not provide this guarantee. Local governments and citizens throughout Texas have publicly decried the implementation of the State's air permitting program and the difficulty of enforcing permits issued under it.

This is not a new or partisan issue. In 2002, EPA began formally identifying concerns to the predecessor of the Texas Commission on Environmental Quality (TCEQ) about whether changes to the air permitting program, including the addition of the flexible permit rules, provided the same level of public health protection that is provided by federal law. EPA and the State continued this dialogue for many years without resolution. In September 2007, EPA sent letters putting companies with flexible permits on notice that we believed their permits did not comply with the federal Clean Air Act (see enclosure). On August 25, 2008, the Business Coalition for Clean Air, the Texas Association of Business, and the Texas Oil and Gas Association filed a complaint in federal court seeking a final resolution to this dialogue. This lawsuit resulted in a settlement requiring EPA to take action on numerous Texas air permitting provisions. One such action was the June 30, 2010, final disapproval of the flexible permit program.

In addition to these program actions, on October 30, 2009, EPA began to issue objections to operating permits for major sources of air pollution. The objections were made to permits that relied on flawed regulations and where permits did not satisfy the minimum operating permit requirements contained in prior TCEQ rules approved by EPA. Under the Clean Air Act a

permitting authority has 90 days from the date of an EPA objection to an operating permit to correct that permit. If the correction is not made within the 90-day window, EPA is required to issue or deny the permit. For approximately eight months, TCEQ did not respond to EPA objections. In a letter to EPA dated May 24, 2010, TCEQ's Executive Director wrote, "It seems the only way EPA or TCEQ will be able to understand what is expected to alleviate any Title V [operating permit] programmatic objections is for EPA to issue a Title V permit." He continued, "This will also ensure the timely issuance of permits." It was then that EPA made the difficult decision to begin sending federal permit applications where significant deficiencies had not been corrected. To date, EPA has not issued or revoked a single permit in the State of Texas. We have simply asked three companies to submit permit applications addressing noted deficiencies. We will continue to evaluate whether to send additional permit application requests in the near future.

EPA made these difficult decisions against a backdrop of regular meetings with the State, the regulated community, environmental organizations and community members to discuss program deficiencies and possible resolutions. We believe these meetings have allowed an open dialogue with TCEQ, the regulated community, environmental organizations and community members about our program concerns. The Clean Air Act envisions state control of clean air programs, and we welcome the state's leadership on clean air. TCEQ must exercise its authorized authorities within the framework established by Congress. We cannot overlook state permitting programs that are inconsistent with the Clean Air Act.

You also wrote that you believe the TCEQ flexible permitting program is consistent with the provisions of the Clear Air Act and has played a critical role in the significant and continuing success of the Texas air quality program. Despite the intended benefits of creating flexibility throughout the air permitting program, several rules have resulted in problems for the public and EPA, including a lack of clarity and practical enforceability of permits. Many of the companies with flexible air permits in Texas also operate in other states. These other states, including ones with heavy industrial activity, have not ignored the minimum protections provided by America's Clean Air Act, and these same companies have continued to operate profitably.

We continue to believe that TCEQ and EPA can work together to find common ground for a permitting program that meets federal requirements, as well as the needs of the public and business community in Texas. We are currently working cooperatively with TCEQ and a number of companies, including oil refiners and petrochemical companies, to begin the process of correcting their permits through submittal of revised permits to the TCEQ. EPA took the initiative to create an open dialogue with industry and will continue to meet with any business seeking to resolve ongoing compliance issues. The result will be state and federally enforceable permits that include clear unit-specific emission limitations, monitoring, recordkeeping and reporting requirements.

## Letter to Congressman McCaul Page 3

Collaboration between TCEQ and EPA has resulted in national environmental successes in the past and we believe it will in the future. We can protect the health of Texans while at the same time promoting economic growth and jobs. Please be assured that we are committed to our continued work with TCEQ, the public and Texas businesses in a spirit of partnership to provide every Texan the health protection they deserve.

In your subsequent letters dated June 29, and 30, 2010, you asked that EPA present a briefing on the issues addressed in this response. We will quickly accommodate this request and schedule a briefing later this month. If you have any further questions please contact me at (214) 665-2100, or your staff may contact Ms. Cynthia Fanning of my staff at (214) 665-2142.

Sincerely yours,

Al Armendariz

Regional Administrator

### **Enclosure**

## Identical Letter Sent To:

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The Honorable Joe Barton

The Honorable Kevin Brady

The Honorable Michael Burgess, M.D.

The Honorable John Carter

The Honorable Mike Conaway

The Honorable John Culberson

The Honorable Louie Gohmert

The Honorable Kay Granger

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The Honorable Jeb Hensarling

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The Honorable Randy Neugebauer

The Honorable Pete Olson

The Honorable Ron Paul, M.D.

The Honorable Ted Poe

The Honorable Pete Sessions

The Honorable Lamar Smith

The Honorable Mac Thornberry

AL-10-001-2843

## Congress of the United States

Washington, DC 20515

July 29, 2010

The Honorable Lisa P. Jackson Administrator U.S. Environmental Protection Agency Ariel Rios Building 1200 Pennsylvania Avenue, NW Washington, D.C. 20460

#### Dear Administrator Jackson:

We write to renew our request for information on the EPA Region VI administrator's action with respect to air quality in Texas. On June 17, 2010, House and Senate Texas Republicans sent you a letter in support of the Texas Commission on Environmental Quality's (TCEQ) air permitting program. In that letter, we explained that the Texas flexible permitting program is consistent with the provisions of the Clean Air Act and recommended that the EPA not supersede a successful state program that has reduced emissions and improved air quality. We urged the EPA to reconsider the permitting action taken on May 25, 2010, and to refrain from any further actions taking over operating permits in Texas. We requested a prompt response to our inquiries.

We were therefore surprised to learn that Region VI Administrator Armendariz briefed select Democrat Members of the Texas Delegation yesterday. We assume that this briefing was in response to a June 24, 2010 letter sent to you by Democrat Members of the Texas Delegation on the very same issue addressed in our June 17 letter. The EPA's decision to brief Democrats and not Republicans will not improve dialogue nor will it improve air quality in Texas. Like all Texans, we are extremely concerned that the EPA will impose excessive and unnecessary costs on refiners and other businesses in an arbitrary attempt to supersede TCEQ.

We believe that including Texans of both parties in yesterday's briefing would have been the appropriate response to the June 17 and June 24 letters. We regret that we were not included. As you have still not answered our concerns outlined in our June 17 letter, we again request a briefing on the issues outlined in that letter, attached herewith for your reference.

Sincerely.

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Rep Joe Barton 202 225 3052

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http://republicans.onergycommerce.house.gov

# Congress of the United States House of Representatives Washington, **BC** 20515-4306

## **FACSIMILE**

**Cover Sheet** 

| TO: My David McIntosh, Associate  Administrator for Congressional  and Intergovernmental Relations  DATE: 7/30/10    |  |   |  |  |  |  |
|--|--|---|--|--|--|--|
| FROM:  Ron Wright  Ryan Thompson  Julieann Scarborough  Emmanual Guillory  Sarah Whiting  PAGES (Incl. Cover Sheet): |  | Martie Climer<br>Michael Weems<br>Sean Brown<br>Nina Shelut |  |  |  |  |
| Comments:  |  |   |  |  |  |  |

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